Application of the DIY carbon footprint calculator to a wastewater treatment works

Siva Chetty^{1*} and Kaverajen Pillay²

¹Principal Water Technology Consultant: Royal HaskoningDHV, 6 Payne Street, Pinetown, 3610 ²Acting Works Area Engineer, eThekwini Water and Sanitation, PO Box 1038, Durban, 4000

ABSTRACT

The provision of water and wastewater treatment services exerts a huge operational cost on public financial resources. A substantial portion of the operational budget is made up of carbon-intensive energy costs. Energy is consumed in this sector in pumping, aeration, motor drives, administration, transportation and in the manufacture of chemicals such as polyelectrolyte, chlorine and ozone. The high electrical power consumption exerts added pressure on the environment in terms of greenhouse gas emissions. In order to manage the energy budget and develop climate-friendly technological options, Royal HaskoningDHV (RHDHV) has developed a do-it-yourself (DIY) Excel-based carbon footprint calculator to estimate the carbon equivalent emissions for a waterworks, a wastewater treatment works or a pumping station. The DIY carbon calculator computes Scope 1, Scope 2 and Scope 3 emissions. The DIY calculator starts with establishing the baseline carbon footprint of a works and shows the relative carbon equivalent emissions for different treatment stages. The next step involves the development of strategies to reduce the carbon footprint. Inherent within a wastewater treatment works is its ability to potentially generate its own 'green' energy by using anaerobically produced methane gas as a green energy alternative. This investigation demonstrates how the baseline carbon footprint of a wastewater treatment works can be reduced by considering viable options such as biogas to power generation, process re-design and drives to improve energy efficiency. Results show that the carbon calculator was able to demonstrate the effectiveness of carbon-reducing strategies in this energy-intensive sector. This further implies that the carbon calculator can be used as an additional management and decision support tool to assist an organisation towards a low carbon footprint.

Keywords : carbon footprint calculator, carbon equivalent emissions, greenhouse gas, green energy, Scope 1, 2 and 3 emissions, emission factor, sustainability, energy factory

INTRODUCTION

The treatment of wastewater can impact significantly on the global environment and the economy in terms of its contribution to greenhouse gases. From an energy point of view, wastewater treatment works (WWTW), consume a significant amount of energy derived from fossil fuels. With the recent climate change focus, the escalating cost of electricity and the need to reduce the carbon footprint of an organisation or an activity, the wastewater treatment works is viewed dualistically as a challenge and an opportunity.

The challenge in a WWTW is the amount of energy consumed, directly and indirectly, to achieve its final effluent discharge standards. It has been reported (Guo et al., 2009) that as much as 25% of the cost of treatment is attributable to energy costs, primarily in the form of electricity. The use of electricity from a non-renewable resource such as coal is a problem in terms of climate change impact. Related processes and services executed in the course of treatment such as use of chemicals, fuel for travel and operations, consumption of paper, disposal of residual waste and release of biogas exert a carbon footprint on the global environment. The DIY carbon footprint tool has been developed to compute an organisation's direct and indirect emissions. The DIY carbon footprint tool is a first step towards quantifying how much carbonequivalent emissions (CO₂ eq.) a WWTW is responsible for per cubic metre of wastewater treated. Once the computation has been undertaken, the next step is to identify opportunities to reduce the carbon footprint on a systematic and continuous basis.

The wastewater environment inherently holds the opportunity to reduce its carbon footprint. It has been postulated that a treatment works has the potential to be an 'energy factory', or a net generator of up to 10 times the amount of energy it consumes. The DIY carbon footprint tool is the first step towards realising the energy-generating potential in a WWTW. The DIY carbon footprint tool also gives meaning to the adage that 'what you can measure, you can manage'!

METHODS

The carbon footprint for a WWTW is computed using a do-ityourself (DIY) carbon footprint (CF) calculator. The DIY CF calculator operates on a Microsoft Excel macro-driven spreadsheet. The user can select an organisation or a sub-unit of an organisation such as a WWTW. The main building blocks of the calculus consist of input data, output data, transformation, conversions, emission factors, individual reports and integrated reports. The tool is also able to run a calculation and e-mail it to a targeted recipient.

This paper was originally presented at the 2014 Water Institute of Southern Africa (WISA) Biennial Conference, Mbombela, 25–29 May 2014.

^{*} To whom all correspondence should be addressed. e-mail: siva.chetty@rhdhv.com

Input data

Input data covers the treatment works' process and operational parameters, a sample of which is reported in Table 1. Input values can be chosen for different intervals of time (day, month, and year).

Emission factors

The emission factors are used to compute the carbon equivalent emissions represented as CO_2 eq. For a particular activity Ai, the carbon equivalent emissions are represented by the equation:

Ai = emission factor * consumption

The application of some of the emission factors is shown in Tables 2 and 3.

Carbon dioxide equivalent emissions

The carbon footprint is calculated from the greenhouse gas (GHG) protocol (Govender and Meijer, 2012) which is based on the Intergovernmental Panel on Climate Change (IPCC) guidelines.

In the process of wastewater treatment, carbon dioxide (CO_2) , methane (CH_4) and nitrous oxide (N_2O) are released, all of which have a CO_2 equivalent $(CO_2 \text{ eq.})$ burden on the global warming potential (GWP). The greenhouse potential (GHP) of methane and nitrous oxide have 25 and 298 times the global warming potential of CO_2 respectively (Gupta and Singh, 2012).

		TABLE 1 Input parameters for carbon footprint calculation		
		Parameter	Unit	Value ⁽¹⁾
		Total inflow	Mℓ/month	1 830
		Biochemical oxygen demand (BOD) (influent)	mg/ℓ	350
		Chemical oxygen demand (COD) (influent)	mg/ℓ	600
		Total Kjeldahl nitrogen (TKN) (influent)	mg/ℓ	45
		Biogas (direct release)	m³/month	0
		Biogas (flared)	m³/month	0
Scope No				
1	1.1	Coal consumption	t/month	0
	1.2	Diesel consumption in vehicles	ℓ/month	2 240
	1.3	Petrol consumption in vehicles	ℓ/month	5 600
	1.4	Fluidised bed reactor (FBR) incineration of sludge: dry	dry t/month	0
2	2.1	Electricity	kWh/month	666 000
	2.2	Potable water consumed	kℓ/month	3 000
3		Consumables		
	3.1	Chlorine	kg/month	5 400
	3.2	Polyelectrolyte	kg/month	2 697
	3.3	Paper consumed	kg/month	15

(1)

⁽¹⁾Typical input values for Northern WWTW (July 2013)

TABLE 2 Emission factors for consumables used in wastewater treatment				
Carbon dioxide generating consumables	Unit	kg CO ₂		
Transport				
Diesel	l	2.66		
Petrol	e	2.32		
Energy & Fuel				
Coal CV	MJ/kg	26.00		
Coal	kg CO ₂ /MJ	0.087		
Power	1 kWh	0.99		
Chemicals				
Chlorine	kg	0.68		
Sodium hydroxide	kg	0.96		
Polyelectrolyte	kg	1.15		
Aluminium sulphate	kg	0.51		

In the process of COD treatment, a certain amount of CH₄ is released (0.0085 kg CH₄/kg COD treatment, see Table 3). The CO₂ eq. is then 25 times the global warming potential of CH₄. Although CO₂ is also released in the course of COD reduction, it is not taken into account as the CO₂ is considered biogenic (Gupta et al., 2012) as the CO₂ is consumed through photosynthesis in the food production process.

For electrical power consumption, the emission factor used is 0.99 kg CO, per kWh consumed in the South African context. In the USA and Europe the emission factor for electrical energy can be as low as 0.55 kg $\rm CO_2$ per kWh due to a more efficient power production process which is achieved by combined heat and power (CHP) production.

Scope of emissions

The tool measures 3 different scopes of carbon emissions (Table 4). Scope 1 emissions are those emissions an organisation is

TABLE 3 Emission factors for wastewater treatment				
Emissions related to wastewater treatment processes	Unit	N ₂ O	CH4	CO ₂ eq. factor
Nitrogen treatment	kg TKN	0.01		298
Carbon treatment	kg COD		0.0085	25
Nitrogen treatment	kg N total	0.015714		298
Biogas	m³/d	0.0023	0.0233	
Transportation of chemicals	kg/d			0.013
Incineration of waste		0.99		298
	kg/ton dry solids		1.1	25
Transportation of waste to hazardous waste disposal site	kg/d			0.0011
Transportation of waste to general disposal site	kg/d			0.0011

TABLE 4 Carbon equivalent emissions			
Name of organisation	eThekwini Municipality		
2013 Carbon Footprint Study	30 January 2014		
Name of sub-unit	Northern WWTW		
Carbon equivalent emissions (kg/month)			
Coal consumption	0.00		
Diesel consumption vehicles	5 958.40		
Petrol consumption vehicles	12 992.00		
FBR incineration of sludge	0.00		
Treatment of wastewater	458 415.00		
Discharge of wastewater	68 096.91		
Flaring of biogas	0.00		
Total: Scope 1	545 462.3		
Electricity: Scope 2	181 764.00		
Potable water: Scope 2	1 809.00		
Total: Scope 2	183 573.00		
Chemicals	6 773.55		
Transportation of chemicals	105.26		
Incineration of wastewater sludge	0.00		
Transportation of waste	734.06		
Transportation of sludge	1 981.97		
Commute: home to work	7 866.00		
Paper usage	28.61		
Total: Scope 3	17 489.45		
Scope 1+ Scope 2 +Scope 3	746 524.76		

directly responsible for, such as fuel consumption in the treatment works, emissions due to aerobic or anaerobic treatment processes, and incineration of sludge. Scope 2 emissions are those measured according to the energy use of a company where the energy is supplied by a utility such as South Africa's state-owned power utility, Eskom. Scope 3 emissions are those for which an organisation is indirectly responsible, such as emissions produced during the manufacturing process of chemicals that are purchased by a WWTW. The chemicals typically used in a WWTW are polyelectrolyte, chlorine and aluminium sulphate.

Key features of the DIY CF tool

The tool could be used to measure the carbon footprint of a multitude of treatment works in a municipality or a water treatment plant and its distribution network. It enables easy computation of a baseline and thereafter generation of various options aimed at reducing an organisation's carbon footprint. The tool can establish where the most emissions are generated and implement strategies to reduce them. The tool provides a package of interventions which can be considered to reduce the carbon footprint in a WWTW.

Case studies

The CF tool was used to compute the base case (the situation as of July 2013) for the Northern and KwaMashu WWTWs in the eThekwini Municipality.

The Northern WWTW (NWWTW) has an installed capacity of 70 Ml/d with current average dry weather flow of 60 Ml/d. The NWWTW key unit operations consist of primary sedimentation, activated sludge treatment and anaerobic digestion. The secondary effluent is clarified in a system of secondary clarifiers and then discharged into the environment after chlorination. The waste activated sludge from the aerobic process and the primary sludge are anaerobically digested in 3 anaerobic digesters. The digesters are heated to mesophillic temperature (37°C) using about 40% of the biogas generated. The remaining biogas, comprising of approximately 65% CH and 25% CO₂ is flared to atmosphere. The digested sludge is dewatered and transported to land application. Electricity is used primarily in the aeration plant and for pumping equipment throughout the works. The power consumption at the activated sludge plant is about 480 000 kWh/month which is about 70% of the treatment works' total consumption.

The KwaMashu WWTW (KWWTW) has an installed capacity of 70 Ml/d, comprising of a conventional 50 Ml/d activated sludge plant and a 15 Mℓ/d bio-filter plant. The current average dry weather flow is about 60 Mℓ/d. The KWWTW's key unit operations consist of primary sedimentation, activated sludge treatment, bio-filtration and anaerobic digestion. The secondary effluent is clarified in a system of secondary clarifiers and then discharged into the environment after chlorination. The waste activated sludge from the aerobic process is thickened and dewatered using belt presses. A portion of the primary sludge (30%) is dewatered and incinerated in a fluidised bed reactor (FBR). The remaining primary sludge is anaerobically digested in 2 digesters. The digesters are heated to mesophilic temperature (37°C) using about 40% of the biogas. The remaining biogas, comprising of approximately 65% methane and 25% CO₂ is flared to atmosphere. The digested sludge is dewatered and stockpiled on site. Electricity is used primarily

in the aeration plant and in pumping equipment throughout the works. Coal is used in the FBR to support the combustion process. The works consume chlorine and polyelectrolyte in the treatment process.

RESULTS AND DISCUSSION

The CF for the Northern WWTW was computed using operational and process data for July 2013 and is reported in Table 5. The CF for the KwaMashu WWTW was also computed using operational and process data for July 2013, and is shown in Table 8.

At Northern WWTW, the major emissions are due to treatment of wastewater (33%), discharge of treated water (4.7%), flaring of biogas (14%) and electricity consumption (45.7%). The CF tool points to the major emission sources and highlights potential areas of focus. The primary objective of wastewater treatment is for carbon and nitrogen reduction, as a result of which carbon dioxide and residual levels of nitrous oxide and methane are released. Carbon dioxide is not accounted for in the net contribution to greenhouse gases as it is largely derived from the food chain. Crops consume carbon dioxide from the atmosphere and build cell mass such as carbohydrates and sugars through the process of photosynthesis. The residual organic matter in wastewater is represented as COD. The breakdown of COD in wastewater will produce CO, which in turn is cycled back into the food chain. The IPCC has declared that CO, releases in the food chain are not considered as a contributor to GWP (Gupta et al., 2012). However, methane and nitrous oxides do contribute to the GWP as they have much longer cycling times on earth (greater than 100 years) and so they are accounted for.

The process-related emissions values, made up of N_2O , CH_4 and CO_2 eq. values, are shown in Table 6.

Although the N₂O contribution appears relatively small compared to CH4, its global warming potential is magnified 298 times to be equivalent to 1 kg of CO₂. Similarly the CH₄ contribution has a factor 25 contribution to be CO₂ equivalent. In the net contribution to CO₂ eq. emissions, N₂O and CH₄ account for 51% and 49%, respectively, of the process-related emissions under the wastewater treatment category within Scope 1 emissions, for NWWTW. The discharge of wastewater accounts for less than 5% of total emissions, mainly contributed by N₂O emissions. The discharge-based CO₂ eq. contribution is a function of treatment; if there is poor reduction in nitrogen as represented by Total Kjeldahl Nitrogen (TKN), then the CO, eq. emissions will increase. Flaring of biogas is made up of N₂O and CH, residual gases in the flared gas stream. The flaring of biogas accounts for 14.9% of the total CO₂ eq. emissions. If the biogas were to be discharged directly to the atmosphere, then the methane component of biogas (65% volume basis) would have to be accounted for.

The reduction in CO_2 eq. emissions for the NWWTW between the current situation and Option 1 is shown in Fig. 1 and Table 7. The total emissions reduce by 47%. The reduction between current emissions and Option 1 emissions is 26%, 72% and 4% for Scope 1, Scope 2 and Scope 3 emissions respectively. The significant contributor to Scope 2 emission reduction is a scenario whereby biogas is used for electricity generation with no flaring of biogas.

Currently, about 40% of the biogas is consumed in heating the digester to mesophilic temperature. If the biogas of 5 500 m^3/d were to be used for electricity generation in a combined heat and power system (CHP), there would be maximal energy

TABLE 5 Carbon footprint study for Northern WWTW				
2013 Carbon Footprint Study				
Options	Current situation: Biogas to flare	Possible biogas to electricity; improved dewatering		
Name of sub-unit	NWWTW	NWWTW		
Carbon equivalent emissions	kg/month	kg/month		
Coal consumption	0	0		
Diesel consumption vehicles	5 958	5 958		
Petrol consumption vehicles	12 992	12 992		
FBR incineration of sludge	0	0		
Treatment of wastewater	477 081	477 081		
Discharge of wastewater	68 097	68 097		
Flaring of biogas	200 865	0		
Total: Scope 1	764 993	564 128		
Electricity: Scope 2	659 340	181 764		
Potable water: Scope 2	1 809	1 809		
Total: Scope 2	661 149	183 573		
Chemicals	6 774	6 774		
Transportation of chemicals	105	105		
Incineration of wastewater sludge	0	0		
Transportation of waste (screenings)	734	734		
Transportation of sludge	2 643	1 982		
Commute: home to work	7 866	7 866		
Paper usage	29	29		
Total: Scope 3	18 150	17 489		
Scope 1+ Scope 2 +Scope 3	1 444 292	765 191		

TABLE 6 Process-related emissions: NWWTW			
	N ₂ O (kg/ month)	CH₄ (kg/ month)	CO₂ eq. ⁽¹⁾ (kg/month)
Treatment of wastewater	824	9 333	477 081
Discharge of wastewater	230.1	Negligible	68 097
Flaring of biogas	365.7	3 704.7	5

 $^{(1)}CO_2 eq. = 298*N_2O + 25*CH_4$

gain from the biogas in terms of electrical and thermal output. The heat from the reciprocating engines would be used to heat the anaerobic digesters and the electrical power would be used to feed into the works' electrical grid which partly reduces dependence on mains grid power supply.

CHP versus conventional power generation

Mains power is sourced from Eskom's coal-fired power stations with a carbon equivalent emission of 0.99 kg CO_2 per kWh electricity generated. A conventional power station is less efficient than a CHP system. The comparison is illustrated in Fig. 2. There is an overall improvement in efficiency in a CHP system compared to a conventional power station system. By way of illustration, in a CHP system, from an input of 100 units



TABLE 7 Total and scope-based emissions: Northern WWTW			
Emission category	Current emissions in CO ₂ eq. (kg/month)	Option 1 emissions in CO ₂ eq. (kg/ month)	Reduction in emissions (%)
Total emissions	1 444 292	765 191	47
Scope 1 emissions	764 993	564 128	26
Scope 2 emissions	661 149	183 573	72
Scope 3 emissions	18 150	17 489	4

TABLE 8 Carbon footprint study for KwaMashu WWTW			
Scenario	Current situation (coal, FBR)	Possible biogas to electricity	
Name of sub-unit:	KWWTW	KWWTW	
Carbon equivalent emissions:	kg/month	kg/month	
Coal consumption	675 072	0	
Diesel consumption vehicles	5 958	5 958	
Petrol consumption vehicles	12 992	12 992	
FBR incineration of sludge	48 378	0	
Treatment of wastewater	624 536	624 536	
Discharge of wastewater	72 562	72 562	
Flaring of biogas	94 748	0	
Total: Scope 1	1 534 246	716 049	
Electricity: Scope 2	840 823	508 183	
Potable water: Scope 2	1 538	1 538	
Total: Scope 2	842 360	509 720	
Chemicals	8 420	7 523	
Transportation of chemicals	119	109	
Incineration of wastewater sludge	0	0	
Transportation of waste (screenings)	73	73	
Transportation of sludge	6 313	1 909	
Commute: home to work	7 245	7245	
Paper usage	29	29	
Total: Scope 3	22 199	16 887	
Scope 1+ Scope 2 +Scope 3	2 398 806	1 242 657	



Figure 2 CHP versus conventional power station

of energy, there is an output of 35 units of electrical energy and 45 units of thermal with an overall energy efficiency of 80%. In contrast, for a power plant to achieve the same energy output as a CHP system, there has to be 144 units of energy input made up of 56 units of input to the boiler and 88 units of input to the power station, resulting in an overall efficiency of 55%. By using the biogas, there is a net gain in energy conversion, which ultimately results in less CO_2 eq. emissions. If Eskom's power stations operated on a CHP system, as in many European and American countries, then the energy utilisation efficiency would improve which would result in an almost 50% reduction in CO_2 eq. emission per kWh generated. Currently, most Eskom thermal energy is vented to atmosphere.

The reduction in CO_2 eq. emissions for KWWTW between the current situation and Option 1 is shown in Fig. 3. The total emissions reduce by 48%. The reduction is made up of contributions in Scope 1 emissions (53%), Scope 2 emissions (39%) and Scope 3 emissions (24%). The significant contributor to the Scope 2 emissions reduction is a scenario whereby biogas is used for electricity generation and no flaring of biogas takes place. The reduction in Scope 1 emissions is due to substituting coal feed to the FBR with a drier sludge. Under the current situation, coal is used as an energy supplement to support the combustion process. One possible option is to improve the total solids in the dewatered sludge from 18% to 24% total solids and to use the waste heat from the FBR emissions to dry the sludge cake further from 24% to 40% total solids. Under this option,



it is possible to avoid the use of coal and reduce CO_2 emissions from the combustion of coal. The DIY carbon footprint tool is useful in showing how different options can be compared and contrasted. The reduction in Scope 3 emissions for KwaMashu WWTW is relatively greater than for Northern WWTW because the sludge dryer in Option 1 reduces the frequency of transporting sludge off site which results in a corresponding reduction in vehicle fuel consumption and lower CO_2 eq. emissions.

The data for the KWWTW case study show that the use of biogas to electricity yields the maximum energy gain. The improved energy efficiency is shown in Fig. 3.

In Table 11 the percentage contribution to the CO₂ eq. is shown for the current situation and a possible option. The actual values are shown in Table 8. In the current situation, coal is used as an energy supplement to the FBR, and the digester biogas is flared. In Option 1, the CO₂ eq. is computed under a scenario where biogas is used for electricity generation and coal is replaced with a drier sludge feed to the FBR. In the current situation, electricity usage, coal consumption, and treatment of wastewater account for 35%, 28% and 26% of the CO₂ eq. emissions is treatment of wastewater and electricity consumption. The CF analysis shows how there is a shift from fuel-based emissions dominating the carbon footprint to emissions being dominated by wastewater treatment and electricity consumption.

TABLE 9 Total and scope-based emissions: KwaMashu WWTW			
Emission categoryCurrent emissions in CO eq. (kg/month)Option 1 emissions in CO eq. (kg/month)Reduction in emissions			
Total emissions	2 398 806	1 235 653	48
Scope 1 emissions	1 534 246	716 049	53
Scope 2 emissions	842 360	509 720	39
Scope 3 emissions	22 199	16 887	24

TABLE 10 Process-related emissions: KWWTWS			
	N ₂ O (kg/month)	CH ₄ (kg/month)	CO ₂ eq. (kg/month)
Treatment of wastewater	780.0	15 746.2	624 536
Discharge of wastewater	245.1	negligible	72 561
Flaring of biogas	172.5	1 747.5	94 748

 $CO_2 eq. = 298*N_2O+25*CH_4$

TABLE 11 Relative contribution to CO2 eq. emissions at KWWTW			
	Current situation (coal, FBR) (%)	Option 1: Possible biogas to electricity (%)	
Coal consumption	28.1	0.0	
Diesel consumption vehicles	0.2	0.5	
Petrol consumption vehicles	0.5	1.1	
FBR incineration of sludge	2.0	0.0	
Treatment of wastewater	26.0	50.5	
Discharge of wastewater	3.0	5.9	
Flaring of biogas	3.9	0.0	
Electricity: Scope 2	35.1	41.1	

Specific emissions

The specific emissions, reported as kg CO₂ eq. per m³ treated, are shown in Fig. 4. KwaMashu and Northern WWTW have a specific CF emission of 1.23 and 0.79 kg CO₂ eq./m³ treated respectively. KwaMashu WWTW has 55% higher emissions than Northern works due to its coal usage and higher electricity consumption. The relatively higher electricity consumption is due to pumping the large volume of raw sewage to the primary settling tanks which are on an elevated platform. In contrast, at Northern WWTW the flow to the primary sedimentation tanks is gravity fed. After considering biogas to electricity generation as an option, the specific CO₂ eq. emissions normalise to between 0.637 and 0.418 for KwaMashu and Northern WWTWs respectively. The reduced specific emissions are comparable to previously reported work on specific CO₂ eq. emissions as 0.773 kg CO₂ eq./m³ (Govender and Meijer 2012) and a United Kingdom reported figure of 0.7 kg CO, eq./m³ (Defra, 2011).

Exploring energy saving in the activated sludge plant

An activated sludge plant consumes a significant amount of nonrenewable energy in the form of electricity to transfer oxygen into the aeration plant. For Northern works, the power required for the aeration plant is as much as 70% of the total electrical power consumption. This plant accounts for a significant portion of the carbon footprint in the WWTW. There is the opportunity to optimise the oxygenation efficiency by considering the inter-related parameters of dissolved oxygen control, influent COD load trends, final effluent quality and time of day. CarCON software, developed by RHDHV, is an advanced process control system which optimises nutrient removal, minimises aeration energy and chemical consumption, and enhances treatment efficiency in a WWTW. If the aeration-based power consumption for Northern works is about 473 766 kWh/month out of the total of 666 000 kWh/month (July 2013), and assuming that CarCON control system can deliver a 10% saving in aeration energy, then through the CF DIY tool, there is a reduction of 47 377 kg CO₂ eq. emissions per month, which is a saving of 7% in aerationbased CO₂ eq. emissions.

CarCON predicts the required oxygenation capacity and adjusts the setpoint according to the measurements taken, such as ammonia, nitrate, oxygen, and phosphate (Fig. 5). This



Figure 4 CO, eq. specific emissions (kg CO./m³ treated)

system is useful in optimising the energy-intensive oxygenation process as a function of variable influent load during the diurnal and seasonal cycles.

Energy factory concept

The DIY carbon footprint tool is useful for analysing the energy pathways in wastewater treatment and seeking opportunities for reduction. The energy consumption in a WWTW can be up to 25% of operating and maintenance costs (Guo et al., 2009). In addition to the carbon footprint burden, there is an escalating burden in the cost of electricity and national pressure on the mains supply system. The DIY CF tool points to the areas of intensive CO, eq. output. After exploiting biogas to electricity and heat generation in a CHP system, the CO₂ eq. emissions (Fig. 1) show that there is a shift in the carbon footprint from Scope 2 emissions (electricity consumption) to Scope 1 emissions (wastewater treatment). This analysis presents an opportunity to investigate options to lower the carbon footprint involved in wastewater treatment. One opportunity lies in the fact that the anaerobic treatment process is more energy efficient that the aerobic process and the anaerobic process is a net generator of 'green' energy (Fig. 6). It has been suggested that, in theory, a treatment works has an inherent potential to generate up to 5 times the amount of energy it consumes (Guo et al., 2009). This is possible if more of the COD in the influent can be directed to the anaerobic digestion process. This can be partially achieved by improving the performance of the primary settling tanks to increase COD removal.

The data in Fig. 6 show that for every 100 kg of COD, the aerobic process consumes 60 kW of electrical energy for aeration, and generates about 40 kg biomass, whereas for the same 100 kg of COD input, the anaerobic digestion process generates a net equivalent of 280 KWh energy and 5 kg biomass. It is possible to shift the status of a WWTW from a net consumer of non-renewable, fossil fuel energy to an 'energy factory' by systematically following these steps:

- Use biogas for electricity generation and heat recovery through a CHP system
- Introduce process control in the aeration plant (carCON) to



Figure 5 CarCON process optimisation concept

reduce energy consumption

- Maximise COD treatment anaerobically rather than aerobically
- Undertake research on advanced anaerobic treatment on medium strength COD influents such as the upflow anaerobic sludge blanket (UASB) digester concept

The wastewater and water treatment sector is a substantial contributor to global GHGs in the form of carbon dioxide, methane and nitrous oxide. There are several possibilities which can reduce the cost of water and sanitation services and also reduce their carbon footprint. The toolbox of opportunities to reduce the carbon footprint in a WWTW is presented in Table 12.

The use of biogas for energy generation, coupled with combined heat and power (CHP) systems will drive up efficiency and reduce dependence on fossil fuel energy. Conventional power plants only convert 37% of the energy in fuels to useful energy in the form of electricity, the rest is transferred to the environment as heat.

CONCLUSIONS

The DIY carbon footprint tool developed for eThekwini Water and Sanitation is useful in terms of institution building, technical capacity building in carbon footprint assessment and for strategic planning in the era of the carbon market. The DIY carbon footprint tool is useful in educating the political, strategic, management and operational personnel involved in wastewater management particularly in the context of climate change mitigation efforts, the clean development mechanism and options for non-renewable energy consumption. The tool offers a scientific basis for considering the activities that



Figure 6

Energy flow: aerobic versus anaerobic processes

	TABLE 12 Toolbox of opportunities to reduce the carbon footprint in a WWTW
Efficiency drives	Compressed air systems: use blowers for aeration instead of motorised aerators
	Introduce variable speed drives for pumps and aerators
	Heating, ventilation and air-conditioning systems (HVAC) – investigate tri-generation whereby a CHP can generate heat and cold for use on site
	Intelligent management systems: aeration of the activated sludge process
	Energy awareness campaigns
	Convert to heat pumps and solar water geysers for hot water requirements
	Increase efficiency in the transformation of energy and materials into products and services
Biomass conversion to energy supply	Wastewater sludge for sustainable bio-energy production avoids/offsets conventional energy use
Changes in lifestyle	Changes in consumer behaviour and green procurements
	Increased awareness
	Systematic building design in terms of lighting, ventilation, HVAC systems, passive heating

contribute the most significant emissions. The tool provides an analytical platform for comparing and contrasting different carbon-reducing strategies and scenarios. Once a baseline has been established, the DIY calculator can be used to determine the trend in the carbon footprint and to delineate what activity might have contributed to an increase or decrease in the carbon footprint.

ACKNOWLEDGEMENTS

The authors would like to thank the following organisations in the preparation of this paper: eThekwini Water and Sanitation for permitting use of the Northern and KwaMashu WWTWs as case studies in the application of the model, and the use of its data sets; the Centre of Expertise for awarding RHDHV the opportunity to develop the DIY carbon footprint assessment tool; and Royal HaskoningDHV for permitting the author to develop this manuscript.

REFERENCES

- DEFRA (DEPARTMENT FOR ENVIRONMENT, FOOD AND RURAL AFFAIRS, UK) (2014) Measuring scope 3 carbon emissions – water and waste a guide to good practice: Defra/ DECC's greenhouse gas conversion factors for company reporting guidelines. URL: www.defra.gov.uk/environment/economy/businessefficiency/reporting/ (Accessed 14 August 2014).
- GOVENDER D and MEIJER L (2012) eThekwini Water Services, the carbon footprint of eThekwini Water and Sanitation. URL: http:// www.coe.org.za (Accessed 21 April 2014).
- GUO H, KOORNNEEF E and LUE B (2009) Dutch Approach: Energy Recovery from Sewage Sludge. Orbit, Amersfoort, The Netherlands.
- GUPTA D and SINGH SK (2012) Greenhouse gas emissions from wastewater treatment plants: a case study of Noida. *J. Water Sustainability* **2** (2) 131–139.
- STATE OF SCIENCE REPORT (2008) Energy and resource recovery from sludge. Global Water Research Coalition, Stirling, Australia.