Mitigating the impact of electricity disruption on water supply – Case study of the City of Tshwane



Report to the Water Research Commission

by

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#### **Executive Summary**

Mitigating the impact of electricity disruption on water supply was investigated as a casestudy based in the City of Tshwane. Load shedding prompted the Water Research Commission in 2010 to conduct a high level study of the effect on water supply. This study explores the implications of electricity disruptions on water supply further, describing the risks in more detail and identifying options to mitigate the risks identified. A cost vs. benefit analysis was used to assess whether it would make sense out of an economic point of view to mitigate the risks and to ensure uninterrupted water supply during electricity disruption events.

The major electricity disruption events considered include load shedding, distribution failure and a total or partial blackout. The duration of these electricity disruption events investigated can range from less than a day in the case of load shedding up to a month (or even longer) in the case of a national blackout if the country's Black Start facilities were damaged.

The risk analysis was based on a quantitative approach and the duration and likelihood of the various hazards identified were estimated based on the available information. The probability of the worst-case scenario electricity disruption event identified, a blackout where the country's Black Start facilities are damaged as the result of a high magnitude solar flare or detonation of a high yield Electromagnetic Pulse (EMP) device, was estimated at 1:155 years. Furthermore, it was estimated that such an event will result in a national blackout of at least 30 days and will have numerous direct and indirect effects.

The direct effects analysed are wide-spread electricity disruptions and water supply interruptions. The direct effects were quantified based on the loss in the City of Tshwane's economic output, the risks in terms of prolonged water supply interruptions on human well-being and environmental damage due to the overflow of untreated sewage. The indirect effects are not as simple to quantify, however, the indirect effects of prolonged water supply interruption will almost surely be more severe than the direct effect. Civil uprising, riots, uncontrollable looting, loss of human life and even civil war are all very likely scenarios. Both the direct and indirect effects of water supply interruptions were considered as part of the study, but the feasibility of mitigating the risks associated with electricity disruption on water supply were based solely on the direct effects as these effects were quantifiable and could therefore be compared to the direct economic benefit of ensuring uninterrupted water supply.

The case study took into consideration the economic activity of the City of Tshwane's various economic sectors (including wet industries) and the water demand of each water use sector. It also considered the cost of ensuring uninterrupted lifeline water supply to domestic consumers and mitigating the environmental impact of untreated wastewater

spillages in the event of electricity disruptions. A holistic approach to the City of Tshwane's water and wastewater sectors was followed from source, water treatment, water supply & distribution, wastewater conveyance to wastewater treatment.

The outcome of the case study cost vs. benefit analysis was that it would be economically feasible to mitigate the impact of electricity disruptions on water supply. The benefit / cost ratio of ensuring uninterrupted water supply during electricity disruption events is approximately 7 for the City's wet industries and 127 for the City's other economic sectors. This would result in an increase to the consumer of only approximately 0.1% of the current water tariff.

The current institutional arrangements to mitigate the impact of electricity disruptions of the various stakeholders involved in the study (Eskom, Rand Water and the City of Tshwane) are also defined in the report. Furthermore, additional institutional arrangements and design guidelines to enable the various stakeholders to mitigate the impact of electricity disruptions on water supply were developed for each of the stakeholders as part of the study.

#### **Table of Contents**

1	L INTRODUCTION			1		
	1.1	Motivation				
	1.2	Scope of the study				
	1.3	Out	comes and expected impacts of the project	2		
	1.4	0bj	ectives and aims	3		
	1.5	0ve	erview of this report	3		
2	Ris	k As	sessment	4		
	2.1	Intr	oduction	4		
	2.2	Def	initions	5		
	2.3	Generic framework for integrated risk management				
	2.3.	1	Risk management programs	8		
	2.4	Risl	k analysis of water supply systems	11		
	2.4.	1	Initiation and organisation of a complete risk analysis	11		
	2.4.	2	Relevant decision situations for water utilities or municipalities	12		
	2.4.	3	System description	14		
	2.4.	4	Identifying hazards and hazardous events	16		
	2.4.	5	Prioritising hazards for control	20		
	2.4.	6	Risk estimation	21		
	2.4.	7	Risk analysis under uncertainty	22		
	2.5	Соа	rse risk analysis of water supply systems	23		
	2.5.	1	Identification of hazardous events	23		
	2.5.	2	Identifying causes for hazards and their likelihood	25		
	2.5.	.3	Impact assessment and options to mitigate the effects of a water a outage	nd power 27		
	2.5.	4	Risk estimation in Coarse Risk Analysis (CRA)	30		
	2.5.	5	Tool for Coarse Risk Analysis (CRA)	32		
	2.6	Qua	antification of risk	36		
	2.6.	1	The dimensions of risk and various methods to quantify risk	36		
	2.6.	2	Qualitative versus quantitative expressions of risk	38		
	2.6.	3	Risk measures for loss of water quality	39		

2.6.4		Risk measures for loss of water quantity (supply)	41
	2.6.5	Risk measured in monetary units	42
	2.7 Da	ta for risk analysis	43
	2.7.1	Introduction	43
	2.7.2	Data needs	43
	2.7.3	Data sources	45
	2.8 Ris	sk Analysis and evaluation guidelines	46
	2.8.1	Risk analysis guidelines	47
	2.8.2	Risk evaluation guidelines	51
	2.9 Ca	se Study Risk Analysis	54
	2.9.1	Scope of the risk analysis	55
	2.9.2	Identifying hazards and hazardous events	57
	2.9.3	Risk estimation	61
	2.9.4	Defining risk acceptance and tolerability criteria	70
3	Institu	tional Arrangements	74
	3.1 Cu	rrent institutional arrangements	74
	3.1.1	Introduction	74
	3.1.2	Eskom's preparedness	74
	3.1.3	Rand Water's preparedness	83
	3.1.4	Tshwane's preparedness	91
	3.1.5	Institutional arrangements between key role players	92
	3.2 Ins	stitutional arrangements from comparable studies	92
	3.2.1	Introduction	92
	3.2.2	International strategy for disaster risk reduction	92
	3.2.3	Emergency and disaster preparedness plan development	94
	3.2.4	Stages of a disaster cycle	95
	3.2.5	Design of a disaster prevention and response program	97
	3.2.6	Identification of mitigation measures	97
	3.2.7	Components of disaster risk management programs	97
	3.2.8	Wastewater Risk Abatement Plan's approach to risk mitigation	99
	3.3 De	velopment of new institutional arrangements	101
	3.3.1	Introduction	101

	3.	3.2	Proposed setup of new institutional arrangements	102
	3.	3.3	Development of guidelines for water service entities	104
4	In	stitut	ional and Design Guidelines	106
	4.1	Intr	roduction	106
	4.2	Gui	delines for Eskom	106
	4.	2.1	Introduction	106
	4.	2.2	Effect of electricity disruptions on water supply	107
	4.	2.3	Institutional guidelines for Eskom	109
	4.	2.4	Infrastructure guidelines for Eskom	111
	4.3	Gui	delines for Water Service Providers	112
	4.	3.1	Operational and administrative guidelines for Water Service Providers	112
	4.	3.2	Managerial guidelines for Water Service Providers	115
	4.	3.3	Infrastructure guidelines for Water Service Providers	117
	4.4	Gui	delines for Water Service Authorities	121
	4.	4.1	Operational and administrative guidelines for Water Service Authoritie	S
				121
	4.	4.2	Managerial guidelines for water service authorities	125
	4.	4.3	Infrastructure guidelines for water service authorities	129
	4.5	Gui	delines for other affected parties	133
	4.	5.1	Guidelines for other institutions	133
	4.	5.2	Guidelines for the general public	134
5	Са	ase St	udy	135
	5.1	Bac	kground on the City of Tshwane	135
	5.	1.1	Introduction	135
	5.	1.2	The City of Tshwane's water demand	138
	5.	1.3	Emergency water supply	142
	5.	1.4	The City of Tshwane's minimum water demand for household use	144
	5.	1.5	The City of Tshwane's water storage capacity	144
	5.	1.6	The city's water pipelines	147
	5.	1.7	Clean Water Pump Stations in the City of Tshwane	148
	5.	1.8	Water losses in the City of Tshwane	148
	5.	1.9	The City of Tshwane's bulk water supply	150

5.1	.10	The City of Tshwane's wastewater treatment works	152
5.1	.11	Eskom and the City of Tshwane's protection against electricity disr	uption 153
5.2	Est	imating the Cost of Infrastructure Required	153
5.2	2.1	Electricity requirements of water infrastructure	153
5.2	2.2	Backup power generation	155
5.2	2.3	Additional potable water storage capacity	157
5.2	2.4	Additional emergency storage capacity for wastewater	158
5.2	2.5	New pipelines	159
5.2	2.6	Cost of water tankers	159
5.3	The	e total life cycle cost of infrastructure required	160
5.3	8.1	Estimated useful life of infrastructure	160
5.3	8.2	Operational and maintenance costs of infrastructure	160
5.4	Ele	ctricity Disruption Scenarios	161
5.4	ł.1	Introduction	161
5.4	ł.2	Scenario 1 (Short-term disruption, small area)	163
5.4	ł.3	Scenario 2 (Short-term disruption, medium area)	166
5.4	ł.4	Scenario 3 (Short-term disruption, large area)	174
5.4	ł.5	Scenario 4 (Medium-term disruption, small area)	178
5.4	ł.6	Scenario 5 (Medium-term disruption, medium area)	182
5.4	ł.7	Scenario 6 (Medium-term disruption, large area)	188
5.4	ł.8	Scenario 7 (Long-term disruption, small area)	198
5.4	ł.9	Scenario 8 (Long-term disruption, medium area)	199
5.4	ł.10	Scenario 9 (Long-term disruption, large area)	199
5.5	Cas	e Study Cost-Benefit Analysis	200
5.5	5.1	Introduction	200
5.5	5.2	Economic analyses carried out	201
5.5	5.3	Basic minimum domestic water supply	204
5.5	5.4	Prevention of raw sewage spillage	207
5.5	5.5	Maintaining industrial activity	208
5.5	5.6	Maintaining other sectors' economic activity	210
5.5	5.7	Indirect costs of electricity disruption events	212

6	Conclusions and recommendations 21		
	6.1	Conclusions	213
	6.2	2 Recommendations	215
7	F	REFERENCES	217

# List of Figures

Figure 2-1: The main components of the generic framework for integrated risk
management (Techneau, 2009)
Figure 2-2: Three essential components of a W2RAP (Van der Merwe-Botha & Manus,
2011)
Figure 2-3: Overview of the key steps involved in developing a Wastewater Risk
Abatement Plan (W <sub>2</sub> RAP) (Van der Merwe-Botha & Manus, 2011)10
Figure 2-4: Illustration of system flowchart, from source to effluent disposal15
Figure 2-5: Technical elements in the water supply and the electricity dependency
(electricity dependency: white: none; light grey: low; medium grey: medium; dark grey:
high) (Mank, 2015)19
Figure 2-6: Wastewater disposal and its electrical dependency (electricity dependency:
white: none; light grey: low; medium grey: medium; dark grey: high) (Mank, 2015)20
Figure 2-7: Example risk matrix
Figure 2-8: Causes, hazard and effects (Mank, 2015)26
Figure 2-9: Risk diagram (Hohl et al., 2013)27
Figure 2-10: Typical application of the risk tool to manage and track risk control
measures in Nelson Mandela (Van der Merwe-Botha & Manus, 2011)
Figure 2-11: WATERRISK – Water Infrastructure Risk Assessment Tool (Jack, De Souza
and Mackintosh, 2011)
Figure 2-12: Example of a risk matrix and definitions of likelihood and severity categories
to be used in risk scoring (Techneau, 2009)40
Figure 3-1: Blackout prevention, response and recovery barriers78
Figure 3-2: Automatic under-frequency protection system
Figure 3-3: Rand Water bulk supply system layout85
Figure 3-4: The disaster cycle (Pan American Health Organisation, 2002)96
Figure 3-5: Electricity disruptions events that affect water supply102
Figure 3-6: Disaster prevention and response program103
Figure 3-7: Institutional arrangements for water services authorities104
Figure 5-1: City of Tshwane's various sectors' contribution to its economy (Tshwane
Economic Development Agency, 2015)136
Figure 5-2: Typical domestic water use breakdown (Price, 2009)140
Figure 5-3: Top 80% water use industries in the City of Tshwane (Cloete et al., 2010).
Figure 5-4: Reservoir sizing guidelines
Figure 5-5: Location of the City of Tshwane's water treatment works (City of Tshwane,
2015)
Figure 5-6: City of Tshwane's 10 largest wastewater treatment works (City of Tshwane,
2015)

Figure 5-7: Electricity consumption rates for various types of wastewater treated	atment
works (Scheepers & Van der Merwe-Botha, 2013)	155
Figure 5-8: New reservoirs construction cost (GLS Consulting, 2017).	158
Figure 5-9: New steel pipelines construction cost (DPLG, 2009)	159
Figure 5-10: Scenario 1 area affected – Constantia Park Tower distribution zone	164
Figure 5-11: Scenario 2 area affected – City of Tshwane bulk supply Region 6	167
Figure 5-12: Scenario 3 area affected – City of Tshwane	175

### List of Tables

Table 2-1: Examples of information useful for assessing a water treatment and supply
system17
Table 2-2: Specific list of hazardous events
Table 2-3: Impact assessment on the effects of a blackout (Mank, 2015)
Table 2-4: Implementation options to mitigate the effects of a water and power outage
(Mank, 2015)29
Table 2-5: Example of a CRA-worksheet
Table 2-6: Description of user interface of CRA tool (Adapted from (Techneau, 2009)34
Table 2-7: Data needed for risk analysis 44
Table 2-8: Special considerations to be included during scope definition of risk analysis.
Table 2-9: Special considerations to be included during identification of hazards and
hazardous events
Table 2-10: Special considerations to be included as part of the risk estimation
Table 2-11: System components that form part of the risk analysis.    56
Table 2-12: Hazards / hazardous events emanating from short-term electricity
disruptions
Table 2-13: Hazards / hazardous events emanating from medium-term electricity
disruptions
Table 2-14: Hazards / hazardous events emanating from long-term electricity
disruptions60
Table 2-15: Estimation of risks identified (for CoT) 62
Table 2-16: Estimated recurrence intervals associated with blackout events
Table 3-1: National code of practice – Emergency load reduction and system restoration
practices – load scheduling of critical loads
Table 3-2: The Rand Water top ten risk centres 87
Table 3-3: Rand Water distribution pump station power failure statistics (after Mbula,
2008)
Table 5-1: The importance of the City of Tshwane's economy in South Africa and Africa
(Statistics South Africa, 2016)
Table 5-2: Effect of water supply interruptions on various sectors' economic activity.
Table 5-3: City of Tshwane water use by sector (City of Tshwane, 2015).      138
Table 5-4: City of Tshwane water use by sector – simplified (City of Tshwane, 2015). 139
Table 5-5: Fire water storage required
Table 5-6: Fire risk categories. 146
Table 5-7: Electricity consumption range for the South African water supply chain
(Swartz et al., 2013)

Table 5-8: Operational and maintenance costs of infrastructure (Department of
Provincial and Local Government (DPLG), 2009)161
Table 5-9: Electricity disruption scenarios investigated. 162
Table 5-10: Elevated water towers in Bulk Region 6.
Table 5-11: Summary of costs for Scenario 2: 1-day power outage, medium area
Table 5-12: Summary of costs for Scenario 3: 1-day power outage, large area
Table 5-13: Summary of costs for Scenario 4: 7-day power outage, small area
Table 5-14: Summary of permanent on-site power generation required for Scenario 5.
Table 5-15: Water tanker volume required and distribution time for Scenario 5
Table 5-16: Summary of costs for Scenario 5: 7-day power outage, medium area
Table 5-17: Summary of costs for Scenario 6: 7-day power outage, large area
Table 5-18: Summary of apportioned potable water supply costs for Scenario 6: 7-day
power outage, large area198
Table 5-19: Summary of costs for Scenario 7: 30-day power outage, small area.      199
Table 5-20: Summary of costs for Scenario 8: 30-day power outage, medium area 199
Table 5-21: Summary of costs for Scenario 7: 30-day power outage, large area
Table 5-22: Summary of apportioned potable water supply costs for Scenario 9: 30-day
power outage, large area
Table 5-23: Estimation of recurrence intervals for each scenario
Table 5-24: Cost requirements for minimum domestic supply. 206
Table 5-25: Cost requirements for preventing sewage overflow
Table 5-26: Costs and benefits of maintaining 50% of industrial output
Table 5-27: Costs and benefits of maintaining 75% other sectors' output

## List of Abbreviations

AADD		Annual Average Daily Demand
AAPV		Average Annual Present Value
BRC	-	Board of Rand Water
ССР	-	Critical Control Point
CDC		Centre for Disease Control
СоТ		City of Tshwane
CRA		Coarse Risk Analysis
CRC		Current Replacement Cost
CSIR	-	Council for Scientific and Industrial Research
DWS		Department of Water and Sanitation
EMP	-	Electro Magnetic Pulse
Eskom		Electricity Supply Commission
ЕТА		Event Tree Analysis
FMEA		Failure Modes and Effect Analysis
FMECA		Failure Modes, Effects and Criticality Analysis
FRC		Future Replacement Cost
FTA		Fault Tree Analysis
GDP		Gross Domestic Product
GIS		Geographic Information System
HAZID		Hazard Identification
HAZOP		Hazard and Operability analysis
HCI		Hydraulic Criticality Index
MTTF		Mean Time To Failure
MTTR		Mean Time To Repair
NPV (PV)		Net Present Value (or Present Value)
NRW		Non-Revenue Water
PFD		Probability of Failure on Demand
PHA		Preliminary Hazard Analysis
QCRA		Quantitative Chemical Risk Assessment
QMRA		Quantitative Microbiological Risk Assessment
RBD		Reliability Block Diagram
RI		Recurrence Interval
RW		Rand Water
SANS		South African National Standard
SCADA		Supervisory Control and Data Acquisition
SIV		System Input Volume
THDB		Technau Hazard Data Base
UE		Undesired Event
UNISDR		United Nations International Strategy for Disaster Risk Reduction

WCDS	Water Conservation Demand Strategy
WRC	Water Research Commission
WSP	Water Service Provider
WSS	Water Supply Systems
WTW	Water Treatment Works
WWTW	Wastewater Treatment Works
W <sub>2</sub> RAP	Wastewater Risk Abatement Plan

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# Mitigating the impact of electricity disruption on water supply – Case study of the City of Tshwane

#### **1** INTRODUCTION

The water supply sector is at the core of economic growth and social well-being. Water is indispensable to human survival. It is a quencher of thirst, a generator of power, a grower of crops, and a basic natural resource for daily existence. Without water, there can be no power, no industry, no agriculture, and no cities. The various risks posed by electricity disruption on water supply are assessed. This could be short planned disruptions such as load shedding, unplanned disruptions due to technical problems with a section of the grid, or longer term disruptions due to for instance a part (or all) of the national grid being blacked out.

#### 1.1 Motivation

Until recently the electricity supply to water purification and pumping systems was considered safe and the risk of electricity supply failure did not play a significant role in the design and operation of water supply and distribution systems.

Load shedding prompted the Water Research Commission (WRC) in 2010 to conduct a high level study of the effect on water supply (Winter, 2011). Using the Winter (2011) study as background, this study explores the implications in greater detail and takes account of new concerns that have arisen since then.

The major concerns include:

- Load shedding;
- Distribution failure; and
- Total or partial Blackout.

Each occurrence has an array of possible causes, each with its own consequences. In particular the consequences for potable water supply can be severe. This is especially true of much of the Gauteng water supply area, which straddles the continental divide, with most of the water supply having to be pumped 70 km and raised through a pumping

head of more than 300 m before it can be distributed to users, including gravitation over a further 75 km to reach central Pretoria.

The direct impacts of electricity supply failure include economic dislocation due to traffic snarl ups and disruption of businesses, health services problems, security risks and inconvenience and frustration of the public who have to break out candles and torches, switch on generators or put up with cold or late meals. However, cutting off their water supply can have explosive socio-political results, especially in the large poor section of communities where household water storage is negligible and transportation limited and costly. The longer and more widespread the outage, the more inflammatory the situation could become.

#### **1.2** Scope of the study

The initial scope of the study is confined to the City of Tshwane, which is a significant portion of the strategic and vulnerable Rand Water (RW) supply area. Some 80% to 85% of Tshwane's water supply is derived from RW and Magalies Water; the rest being derived from own sources at Rietvlei Dam, Roodeplaat Dam and various dolomitic springs and wells.

#### **1.3** Outcomes and expected impacts of the project

The following outcomes and impacts are expected:

- Estimation of the risks to water supply associated with different levels of electricity failure and the likely consequences.
- Determination of the institutional requirements to mitigate the effects on water supply of electricity supply failure.
- Determine the nature and cost of appropriate infrastructure design changes to mitigate impacts.
- Prepare institutional and infrastructure guidelines to minimise the impact of electricity supply failure on water supply.

The main purpose is to reduce the risk of electricity disruption leading to serious water supply failure and the ensuing civil anarchy.

#### **1.4** Objectives and aims

The aims of the project are as follows:

- **Aim 1:**Evaluate the risk to water supply posed by short-term and long-term electricity supply disruption.
- **Aim 2**: Determine suitable institutional arrangements to mitigate impacts.
- **Aim 3:**Determine appropriate infrastructure design changes.
- **Aim 4:**Estimate the costs of such measures and prioritise the steps to be followed.

#### 1.5 Overview of this report

An overview of the report is given below:

**Chapter 2** gives an overview of the risk assessment done. It elaborates on the various risk analyses methods available and applicable to this study and describes the risks identified and analysed for each of the electricity disruption events identified for the case study (**Aim 1**).

**Chapter 3** summarises the current and required institutional arrangements to mitigate the impact of disruption events. Institutional arrangements of the various parties involved with this study are described (**Aim 2**).

In **Chapter 4** the infrastructure and design guidelines proposed to mitigate the impact of electricity disruption are summarised (**Aim 3**).

**Chapter 5** describes the case study and its outcomes. An overview of the City of Tshwane's water related infrastructure (including that of Rand Water and Magalies Water) is given from raw water source, treatment, distribution to wastewater conveyance and treatment. Various scenarios simulating different electricity disruption events were analysed, the risks applicable to each event were analysed and mitigated through the options identified and discussed in Chapter 3 and 4. The costs of mitigating the impact of the various electricity disruption scenarios were estimated and scaled up to the entire City of Tshwane area (**Aim 4**). Finally, a cost vs. benefit analysis was done to determine the economic benefit of supplying sufficient water to ensure a basic minimum supply to domestic consumers and sustain most of Tshwane's economic output during electricity disruption events.

Chapter 6 summarises the study's conclusions and recommendations.

#### 2 RISK ASSESSMENT

#### 2.1 Introduction

This chapter provides an overview of main risk analysis methods for an electricity utility (Eskom), a Water Service Provider (WSP) and a Water Service Authority (WSA). The objective is to describe the tasks of a risk analysis, and to demonstrate the applicability and capabilities of the various methods, and thus support the implementation of the "Generic framework and methods for integrated risk management in water safety plans" (Rosén, Hokstad, Lindhe, Sklet and Røstum, 2007).

Risk analyses provide useful tools for management to control the variety of hazards and hazardous events of the municipality or water utility; which could be:

- Failure of the treatment systems, (technical/human);
- Failure of the distribution system, (water leakage out; intrusion of contaminated water into pipes; supply interruptions);
- Failure of wastewater treatment systems; and
- Contamination of the catchment area, (biological/chemical);

The "risk picture" for water utility is quite complex, including, e.g., technical, biological and human aspects of a large and diverse system. It is also required to consider and balance the risks related both to water quality and quantity; a fact that further increases the complexity.

A risk analysis is an important action to identify the hazards and hazardous events. A thorough knowledge is required of the water supply system as well as the electrical distribution system and the interconnectivity thereof.

A major problem in the performance of risk analyses is the scarcity/lack of relevant data regarding failure events. Therefore municipalities and water utilities should design their own data base, and record undesired events with causes and consequences; such that various failure probabilities/rates can be estimated. Generic data are not so useful and may not be available. However, it would be useful if municipalities and water utilities apply a similar design of their databases and allow exchange of data with others.

This chapter is structured as follows:

- Background on risk analysis focussing on the water sector is given in Sections 2.2 to 2.7;
- Section 2.8 summarises the risk analysis and evaluation guidelines proposed for the various stakeholders in this study (Eskom, Rand Water, Magalies Water and the City of Tshwane); and

• Section 2.9 applies the risk analysis and evaluation guidelines as a case study on the City of Tshwane.

#### 2.2 Definitions

The following definitions of terms are applicable in this chapter:

- Hazard is a source of potential harm or a situation with a potential of harm.
- **Hazardous agent** is for example a biological, chemical, physical or radiological agent that has the potential to cause harm.
- Hazardous event is an event which can trigger a hazard and cause harm.
- **Hazard identification** is the process of recognizing that a hazard exists and defining its characteristics.
- **Risk** is a combination of the frequency, or probability, of occurrence and the consequences of a specified undesired event (IEC 300-3-9, 1995). For the purposes of the WSS risk analysis, we have accepted and developed this definition of risk and have expressed it as follows: R=P x C where R stands for the risk, P stands for probability of occurrence of undesired event and C stands for consequences of the event.
- **Recurrence interval (RI)** is the estimated time that will elapse for a time for a hazard or hazardous event to occur, for example the RI of an event with a yearly probability of occurrence of 0.1 is 10 years.
- **Risk analysis** is a systematic application of available information about the hazard identification and estimation of risk which individuals, society, assets and the environment are exposed to. The risk analysis comprises the task definition and definition of validity extension, hazard identification, and risk estimation. It is a structured process that analyses both of probability and magnitude of consequences generated by a specific activity, facility or system (IEC 300-3-9, 1995).
- **Risk estimation** is the process used to produce a measure of the level of risk being analysed. Risk estimation consists of the following steps; frequency analysis, consequence analysis, and their integration.
- **Risk evaluation** is the process in which judgements are made on the tolerability of the risk on the basis of risk analysis and taking into account factors such as socioeconomic and environmental aspects.
- **Risk assessment** is the overall process of risk analysis and risk evaluation.
- **Risk management** is the systematic application of management policies, procedures and practices to the tasks of analysing, evaluating and controlling risk.
- **Undesired event (UE)** is a state when an element (system, part and product) loses its required property or ability to fulfil the required function in specific conditions. Undesired event is followed by undesired consequences.

#### 2.3 Generic framework for integrated risk management

The risk management process is illustrated in **Figure 2-1**. This presents the generic framework for integrated risk management, which includes the following main components:

#### • Risk Analysis

In a risk analysis the various hazardous events related to the water utility are identified, and the corresponding risks are estimated. This is done by estimating, e.g. the frequency of hazardous events and various consequences of these events.

#### • Risk Evaluation

The risk evaluation requires that a risk acceptance/tolerability criterion is defined (by the water utility/municipality). The estimated risk is then compared with this acceptance criterion in order to decide whether the risk is acceptable. Further, various risk reduction options are considered to evaluate their cost-effectiveness.

#### • Risk Reduction/Control

Risk reduction options have to be decided on and then implemented. In particular, risks above the acceptance criteria must be treated. Further, the risk is monitored during operation of the utility.



# Figure 2-1: The main components of the generic framework for integrated risk management (Techneau, 2009).

The first component of this framework, risk analysis, includes the following three steps:

1. Definition of scope of risk analysis

A complete risk analysis will start by defining the scope of the analysis. For a water utility/municipality the objective of the analysis could be related to one or more of the following topics:

- Water quality,
- Water quantity (and availability),
- o Economy,
- Environmental impact,
- Consumer trust.

This section focuses on risk analysis related to electricity disruption on water supply (source to tap to effluent disposal). System definition/description and limitations of analysis are also given in this initial step.

2. Hazard identification

The next step is the identification of all hazards and hazardous events. A hazard is usually given as a source of potential harm. A hazardous event is an event which

can cause harm, e.g. the existence of hazardous agents in the drinking water source. Various methods exist for identifying hazards and hazardous events, e.g. checklists, experience from the past and expert judgements.

3. Risk estimation

A lot of methods exist for modelling and estimating the various risks to a water utility. A suitable method should be selected with respect to the specific scope of the risk analysis. Important considerations are if qualitative, semi-quantitative or quantitative measures of risk are required and if the risk analysis comprises the complete water utility or some subsystem(s) of it.

Various activities are required in order to carry out a risk analysis, risk evaluation and risk control as listed in **Figure 2-1**.

#### 2.3.1 Risk management programs

A Wastewater Risk Abatement Plan (W<sub>2</sub>RAP guideline) to plan and manage towards safe and complying municipal wastewater collection and treatment in South Africa was compiled by the WRC (Van der Merwe-Botha & Manus, 2011).

A W<sub>2</sub>RAP has three key components which are guided by health-based targets and overseen through surveillance of effluent released by wastewater treatment works (see **Figure 2-2**). These are:

- **System assessment** to determine whether the wastewater treatment as a whole can deliver effluent of a quality that meets health-based and environmental targets. This also includes the assessment of design criteria of new systems;
- Identifying **control measures** in a wastewater treatment system that will collectively control identified risks and ensure that the health-based and environmental targets are met. For each control measure identified, an appropriate means of **operational monitoring** should be defined that will ensure that any deviation from required performance is rapidly detected in a timely manner; and
- **Management plans** describing actions to be taken during normal operation or incident conditions and documenting the system assessment (including upgrade and improvement), monitoring and communication plans and supporting programmes.



Figure 2-2: Three essential components of a W<sub>2</sub>RAP (Van der Merwe-Botha & Manus, 2011)

In aiming to find a uniform approach in the manner in which risk assessments are conducted the key steps as followed in the W<sub>2</sub>RAP procedure were also incorporated in the risk analysis of electricity disruptions on water supply. In RAMPIWES, which stands for Risk Analysis Mitigation Plan of Integrated Water and Electricity Systems, a similar approach was followed as that involved in developing a Wastewater Risk Abatement Plan (W<sub>2</sub>RAP) shown in **Figure 2-3**.



Figure 2-3: Overview of the key steps involved in developing a Wastewater Risk Abatement Plan (W<sub>2</sub>RAP) (Van der Merwe-Botha & Manus, 2011)

#### 2.4 Risk analysis of water supply systems

This section describes the overall structure and main elements of the risk analysis process as well as the motivation for conducting a risk analysis. The process is described in detail specifically in the context of this study in Section 2.8 and includes the following main steps:

- 1. Scope definition, including study initiation/organisation, system description and assembling a team.
- 2. Identification of hazardous events.
- 3. Risk estimation.

The risk analysis is a structured process identifying both the probability of occurrence of an undesired event, and the extent of adverse consequences arising from the event and it tries to answer the following three principal questions:

- 1. What can go wrong? (Undesired events and hazard identification)
- 2. How likely is it? (Frequency analysis)
- 3. What are the consequences? (Consequence analysis)

Risk identification and risk estimation are not very common in the WSS sector. One of the reasons is the traditionally low risk of a failure of the system treating and supplying water. However, recently pressure has been exercised by the industry on cost reduction while keeping or improving the reliability, safety or efficiency of the operated system. New threats have also emerged such as electricity disruptions, due to a number of reasons, which now requires an evaluation of its potential impact.

#### 2.4.1 Initiation and organisation of a complete risk analysis

A risk analysis should be started by a general objective on how to reduce the risk for the municipality or the water utility. Further, a clear scope of the specific analysis should always be formulated.

When assembling the risk (analysis) team relevant stakeholders are to be identified, e.g. water utility owners, safety managers, ESKOM, consumers, municipalities, health authorities, etc. These decide whether any restrictions should be imposed on the work; for instance whether only a subsystem of the utility should be considered, or whether to include only specific types of hazardous events or risk reduction options. Critical stakeholders, for example, hospitals and schools, have to be identified and given special attention during the analysis.

As discussed below, in Section 2.4.2, the risk analysis could be initiated by making an overview of the overall risk situation within the supply system for the specific decision situations. If the water utility or municipality is in a decision situation it should consider the questions:

- What is the problem?
- What are the alternatives?
- Who is affected by the decision?
- Who is making the decision?
- Which aspects are considered when making the decision?
- What are the requirements, wishes and priorities of the various stakeholders?

When risk analyses are utilised as decision support there are several ways to express (quantify) the various aspects of the risk. So if there are various benefits and losses (potential consequences) involved, the comparison of these benefits/losses may represent (ethical) problems, which must be handled by decision makers. One typical difficulty is how to give value to human life.

Further, an analysis team must be selected, e.g. it must be decided who shall participate in the analysis work: risk analyst(s), various experts and generalists. The team should consist of water experts (operators, planners, etc.), electricity experts/departments (generation, distribution, electricians, etc.) and some outside specialists (e.g. researchers, consultants, etc.) that may introduce new perspectives in the risk analysis process.

The working process must also be organised in a combination of meetings (with information gathering and evaluation) and analysis work. Thus the initial part of the analysis process is to organise and make a plan for the work. In this respect it is important to stress the importance of having commitment from all professional categories of the municipality/water utility and electricity supplier in order to achieve real risk reductions as a result of the work.

#### 2.4.2 Relevant decision situations for water utilities or municipalities

The scope of a risk analysis should describe the purpose of the analysis and the problems that initiated it. Below some typical decision situations for municipalities or water utilities are listed, which could initiate risk analyses work. Practical examples on this are included.

• Initial risk analyses, required prior to the start-up of a WTW/pump station, (or modifications, such as rebuilding or operational changes):

Drinking water supply is subjected to many different risks and it is important to focus the risk control on the most important areas. Relevant objectives to initiate a risk analysis could simply be a need to:

- Identify and rank all hazards (in order to control risk);
- Estimate the risk to identify any need of additional Critical Control Points, (CCP);
- Evaluate cost/benefit of risk reduction options to achieve an acceptable risk.

Examples of typical (specific) questions that could launch a risk analysis exercise could be:

- What number of consumers will be affected if this WTW is unavailable to purify for a period of time due to an electricity disruption?
- What is the make-up of the consumers that will be affected?
- What effect will it have on water quality and health aspects?
- What are the ensuing economic impacts?
- Can a standby generator be a practical option to consider to reduce the risk?
- Analyses carried out to "optimise" operational maintenance and emergency procedures:

The safeguarding, by implementing additional storage or bypasses at pump stations to enable areas to be supplied with water under gravity may be a longterm action for the municipality or water utility, and it may take some years before the required modification function is implemented. So, in the meantime:

- How can the supply system be improved by optimizing the present pipework configuration or interconnectivity of reservoir systems?
- Which risks can be reduced by system optimization?
- How important are periods with suboptimal performance?
- Analyses initiated by specific operational problems:

The municipality or water utility may have a deviation reporting system that gives support to the handling of specific problems. Such a system gives information on the acute actions. It is also designed to sort out the need for improvements in order to avoid similar events or to reduce their consequences. For instance, it has been experienced that deviations related to a very rainy season with subsequent higher occurrence of floods affects the water purification processes due to excessive silt that needs to be removed. Any disruption of electricity then results in a situation where it is difficult to get the system filled again as the WTW is unable to run at higher capacities. So, relevant questions are:

• What is the likelihood of such combinations in the future?

- How can they be detected and avoided, or how can the consequences be reduced?
- More generally, risk analyses could be initiated by problems like:
  - Delivered water is observed not to comply with required quality standards (e.g. unacceptable level of some bacteria)
  - Reduced availability of water delivery observed (to some group of users)
  - Observed security problems
  - Occurrence of an unwanted event (accident investigation)
- Update initial risk analyses to include possible new hazards: For instance, a WTW plan could be designed to have a multi-barrier protection, while according to new knowledge formerly unknown microbial agents are pointed out as an important hazard. The recognition of new hazards can result in new risk reduction options. So relevant questions to initiate further analyses could be:
  - Are the barriers in the WTW sufficient for emerging microbial contamination?
  - How does the theft of the telemetry system's electricity supply impact on the reliability of supply?
  - What is the impact of climate change on the present treatment processes and its indirect impact on the electricity requirements?
- Analysis to obtain acceptable risk with respect to supply (major delivery failures): Water utilities may have acceptance levels for interruption of supply that take into consideration the number of consumers without water and time without water. The risk of limited delivery failures can be calculated from statistical data, but little information is available of the larger failures. Relevant problems:
  - Is it a raw water treatment, distribution system, or a combination of these, which is the limiting factor to achieve acceptable risk?
  - Where are the bottlenecks?

The above questions could be related to various life cycle phases, (e.g. design or operational phase), and the questions can be related both to strategic and operational decisions.

# 2.4.3 System description

One of the first tasks is to provide a system description/status assessment, and also describe the functions of the various subsystems. Each water supply system is unique and a description of the system is therefore an important part of a risk analysis. The specific hazard that is investigated is the impact of a disruption in electrical supply and thus a link

between each water subsystem and its electrical dependence is very important. The description should include both illustrations (drawings) and written text. Important documents are rules and regulations, standards, drawings, statistics, operating procedures, etc.

The system descriptions should include detailed knowledge of the following three subsystems (in case the total system is analysed) and its integration with the electrical supply system:

- 1. Water source (groundwater and/or surface water) and the catchment area.
- 2. Water treatment systems and monitoring systems.
- 3. Distribution network (bulk and to consumers), including pump stations and storage facilities.
- 4. Effluent disposal including wastewater conveyance (sewer pump stations) and wastewater treatment.

As an example **Figure 2-4** is an illustration of a water supply system from source to wastewater effluent disposal.



Figure 2-4: Illustration of system flowchart, from source to effluent disposal.

The system description should include a description of the system boundaries, the technical systems, operational conditions and the environment. For an identification of hazardous events it is also important to point out important support systems, which the water utility or municipality is depending on for successful operation (e.g. power supply, supply of chemicals, IT systems, training and employment of personnel). Some generic information is also seen as a part of the system description, such as the total number of consumers linked to the distribution system and their consumption demand.

The system description illustrates a "normal operational situation", after the treatment process and control points have been decided. So specification of this normal operational situation is an important part of the system description. In particular, it is specified which concentrations of various contaminants that the treatment system is designed to handle.

Many risk analysis methods require some structured way to break down the system in manageable parts. A common way to break down a system in an analysis is a hierarchical model which reflects how the system is designed. The system should be broken down into suitable subsystems that can be handled effectively in an analysis (i.e. splitting **Figure 2-4** into subsystems like source, treatment, distribution and effluent disposal). Each subsystem can further be broken down into modules, and each module into components, etc.

#### 2.4.4 Identifying hazards and hazardous events

At each step of the hazard identification, it is important to ensure that adequate protection measures can be applied. Each step will thus be determined by the events that could lead to failure and have an impact on the water supply system, and the associated control measures for each hazard. Sources of hazards can be found in each step of the water treatment and supply system. An example of information useful for assessing a water treatment and supply system is listed in **Table 2-1**.

Component	Information to consider in assessing component of system
<u>(</u> )	% of area served
lent	Type of network in place or to be installed
atm	Protection (e.g. covers, enclosures, access)
tre	Domestic component (existing and projected)
ter	Hydraulic loading
wa	Seasonal variations
iste	Peak flow factors
M S	Treatment history of equipment malfunctions
er &	Maintenance schedules and frequency
/ate	List of suppliers for critical equipment and parts
e M	Treatment processes (including optional processes)
tabl	Treatment chemicals used
(pot	Treatment efficiencies (chemical, physical, microbiological)
int (	Electricity requirements for various treatment processes
tme	Equipment design
reat	Monitoring equipment and automation
Ē	Availability of standby / spare equipment (mechanical, electrical)
du	Pump head
atic	Peak flow
St	Water type (raw, potable or sewerage)
le	Pipeline length
elir s	Pipeline material
Pip	Water conveyed (raw, potable or sewerage)
0	Reservoir size
.age	
Stor	Reservoir type (ground level, elevated tower)

Table 2-1: Examples of information useful for assessing a water treatment and supply system

The impact of the hazard can be characterised by assessing the severity of the likely health and environmental outcome and probability of occurrence.

The next step of the risk analysis is to identify hazardous events, in the various parts of the system. A hazardous event is an event which can cause harm. In principle all types of unwanted events should be included although the focus in this risk analysis would be on the inter-relationship of electricity supply and water supply. Following groups of hazards are normally considered:

• Biological

- Chemical
- Radiological or physical
- Unavailability (insufficient availability of water supply to consumers)
- Safety (safety to personnel)
- External damage (external damage to third parties, incl. liability)

The pumping of water requires the most electricity in the whole water system, which makes it even more dependent on electricity (**Figure 2-5**) (Petermann et al., 2011).

Subsequently, not only drinking-water, but also wastewater transmission and treatment requires electricity (**Figure 2-6**). According to Mank (2015) the wastewater treatment plant in Vienna consumes around one percent of the total energy use in Vienna.

Electricity disruptions may affect the water availability, quality and treatment, but also the communication between stakeholders to organize water provision and with the population to provide assurance and confidence in a quick repair of the problem. If this is not assured social upheaval and aggression are not unlikely.



Figure 2-5: Technical elements in the water supply and the electricity dependency (electricity dependency: white: none; light grey: low; medium grey: medium; dark grey: high) (Mank, 2015)



Figure 2-6: Wastewater disposal and its electrical dependency (electricity dependency: white: none; light grey: low; medium grey: medium; dark grey: high) (Mank, 2015)

#### 2.4.5 Prioritising hazards for control

Effective risk management requires the identification of potential hazards, their sources and potential hazardous events and an assessment of the level of risk presented by each. Once potential hazards and their sources have been identified, the risk associated with each hazard or hazardous event should be compared so that priorities for risk management can be established and documented.

Although there are numerous hazards that can compromise water supply and treatment systems, not every hazard will require the same degree of attention.

The risk associated with each hazard or hazardous event may be described by identifying the likelihood of occurrence (e.g. certain, possible, rare) and evaluating the severity of consequences if the hazard occurred (e.g. insignificant, major, catastrophic). The aim should be to distinguish between important and less important hazards or hazardous events. The approach used typically involves a semi-quantitative matrix.

Different approaches for identifying hazardous events are discussed in Section 2.5.1.
## 2.4.6 Risk estimation

Risks can be estimated at various levels of detail. An analysis of the hazardous events should include estimation of likelihood (probability) and consequence.

Often a semi-quantitative approach is chosen, just giving categories of likelihood and consequence. The combined likelihood-consequence categories could then be inserted in a risk matrix (see example of risk matrix in **Figure 2-7**). As an example corresponding risk values ranking from very low (likelihood = rare; consequence = insignificant) to critical (likelihood = almost certain; consequence = catastrophic) can be indicated. This is just an example on how to rank the risks related to the various hazardous events. The categories (e.g. "catastrophic") can be defined in various ways as explained in Section 2.6.2.

	Likelihood						
Impact	Rare	Unlikely	Possible	Likely	Almost certain		
Catastrophic	moderate	moderate	high	critical	critical		
Major	low	moderate	moderate	high	critical		
Moderate	low	moderate	moderate	moderate	high		
Minor	very low	low	moderate	moderate	moderate		
Insignificant	very low	very low	low	low	moderate		

Figure 2-7: Example risk matrix

In more advanced analyses risk can be fully quantified although often the input data to these quantifications are rather uncertain, and the results involve considerable uncertainty. For such cases it is recommended to carry out a sensitivity analysis, i.e. calculating risk with various input values to demonstrate the range of "probable results".

It is not easy to draw a line between acceptable, tolerable and intolerable hazards and effects as also stated by Renn & Klinke (2015), because not one hazard is equal to another one or perceived in the same way by everybody or even by the same group of people. Risk tolerability highly depends on moral judgment and individual experiences influenced by the valuation of the infrastructure or resources that may be lost in case of an event, the weighing of costs for prevention and costs for response, and the foresight of the stakeholders (Renn & Klinke, 2015).

The risk matrix can be used in different variations and applications, depending on the priorities and approach taken by the municipality. The most important step is that risks are properly identified, so that its likelihood and consequences can be assessed. Risks in the collector system, such as pump station overflows and sewer blockages, are very real risks. Risk identification is therefore not solely a management prerogative; it must involve the operational and maintenance staff who is closely involved in the day to day processes.

## 2.4.7 Risk analysis under uncertainty

The major problem is always how to calculate or estimate the values of C and P under uncertainty – lack of data, insufficient historical records and/or unreliable data, uncertainty of failure detection, uncertainty of employed methodology of risk analysis and proper interpretation, etc. This problem may be effectively solved by using frequency instead of mathematical probability of occurrence of undesired event and also by employing the FMEA/FMECA methodology. FMEA uses categorization of probability of occurrence, severity of consequences and all other potential inputs into categories.

For instance, categories of frequency of occurrence may be as follows: almost certain, likely, moderate, unlikely and rare. The category is then represented by its point-score only, e.g. almost certain 5, rare 1.

Each analysed element is to be assigned into one of the categories. This is done based on some chosen factors or indicators and, of course, based on limits of categories. Limits are set up by experts with sound knowledge of the system. This approach is used in the situation where hard data is missing and the analysis has to be based on "soft" data. A semi-qualitative model is constructed where experts' qualitative information is used together with quantitative (statistical or empirical) hard data.

The failure mode and effects analysis (FMEA) and Failure mode, effects and criticality analysis (FMECA) are reliability methods that enable assigning failures with significant consequences affecting functionality of the system. The general methodology of FMEA/FMECA is standardized by IEC 812 (IEC, 2006).

Both the methods are used for risk analysis of technological systems including WSS. Basically, the analysis begins with choosing the element from the lowest level for which enough information is available. At this level, several tables are created describing different failure modes that may occur on each element of the level. Elements are assessed particularly one by one and consequences of the failure of each of them are considered as a failure mode when consequences of the failure are analysed at the next higher level. This way the analysis proceeds bottom-up-ward and the result is assignment of consequences of the failures with the specific failure modes at all required levels up through the whole system as a unit.

## 2.5 Coarse risk analysis of water supply systems

The Coarse Risk Analysis (CRA) is a method for semi-quantitative risk analysis. The scope of an overall CRA – including risk evaluation and risk control – typically consists of (Techneau, 2009):

- 1. Identify hazardous events related either to the total water supply system, or to a specific part (or in general to some category of undesired events). (Section 2.5.1)
- 2. Risk estimation, i.e. estimate the probability and consequence for each hazardous event. (Section 2.5.2)
- 3. Present these risks in risk matrices, and possibly compare to risk acceptance criteria.
- 4. Rank the hazardous events with respect to their risk.
- 5. Assess the need for risk reduction options or more detailed analyses.

## 2.5.1 Identification of hazardous events

There are various approaches for the identification of hazardous events, e.g. using; brainstorming, experience from the past, checklists available in databases (examples of this are given in the following chapters) and hazard and operability analysis (HAZOP).

There are various techniques for identification of hazards or hazardous events within a system. Hazard Identification (HAZID) is a collective term often used for such techniques.

A brief description of some of the methods is presented in this section (IEC, 1995).

• **Brainstorming** is a main method of problem solving or idea generation in which members of a group contribute ideas spontaneously. In this case, the task is to

identify hazards or hazardous events in a water supply system. "What-if" scenario identification is a specific and effective brainstorming approach.

- Use of **experience from the past**, i.e. accident and reliability data, may also be used to identify potential problem areas and provide input into frequency analysis (probability estimation). Historical experience is often used as input to the methods described in this section.
- A traditional **checklist** comprises a list of specific items to identify known types of hazards and potential accidents scenarios associated to a system. Checklists may vary widely in level of detail. Checklists are limited by their author's knowledge and experience and should be viewed as living documents, reviewed regularly and updated when necessary.

Experience from the past could be experience from the actual (or similar) water utility or municipality, provided by operational personnel of these entities. One could then go through the total system and record operational problems and concerns that are experienced. This method is rather similar to the brainstorming session. One could also utilise statistics and data on events that have been recorded in various data sources as described in Section 2.7.

A checklist is easy to use and is a cost-effective way to identify common and customarily recognized hazards. Checklists can be applied at any stage of the life-cycle of a water supply system and can be used to evaluate conformance with codes and standards. The Techneau Hazard Database, Beuken et al. (2008) presents a comprehensive list of hazards and hazardous events that can serve as a checklist for water utilities.

A list of generic hazardous events can be formulated by considering characteristics such as (IEC, 1995):

- Materials used or produced and their reactivity
- Equipment employed
- Operating environment
- Layout
- Interfaces among system components, etc.

Based on the general hazardous events identified, a more specific list may be described for the various parts of the system. An example of such a list and what is typically incorporated into such a list is given in **Table 2-2**.

Hazardous Event	Cause	Vulnerable locality	Possible consequences
Section of electrical cable is stolen which supplies a potable water pump station	Criminal activity	Affected area directly linked to the supply from the specific pump station	<ul> <li>Interruption of water supply (short to medium period)</li> <li>Increased risk in case of fire</li> <li>Cost for replacement of section of cable (Maintenance team and actual costs)</li> </ul>

#### Table 2-2: Specific list of hazardous events.

## 2.5.2 Identifying causes for hazards and their likelihood

Water or power outages are rarely the actual disaster, but are more likely to be effects of a disaster. For example, the natural disaster has a direct effect on the power supply and an indirect effect on the water system. Indirect effects are difficult to predict, seem unimaginable at the current point in time and yet can cause long lasting consequences to the population (Bissell, 2013). Causes, hazard and effects as described by Mank (2015) are depicted in **Figure 2-8**.



Figure 2-8: Causes, hazard and effects (Mank, 2015)

The Swiss ministry published a risk diagram contrasting each risk potential within the environmental, societal and technical causes (**Figure 2-9**).

According to the experts a power outage has one of the highest probabilities and frequencies compared to other risks including heat, flooding and terrorist attacks, though the economic damage of a power outage is on average low compared, for example, to earthquakes or epidemics (Hohl et al., 2013).



Figure 2-9: Risk diagram (Hohl et al., 2013)

# 2.5.3 Impact assessment and options to mitigate the effects of a water and power outage

**Table 2-3** gives a short overview and idea on the effects of a blackout, while additionally expressing severity, controls in place and actions planned. Indirect impacts such as (1) the halt of pressurized water due to a loss of pumping stations; (2) the loss of access to safe drinking-water; (3) a lack of water for sanitation and hygiene such as through contamination; (4) the halt of wastewater treatment; and (5) a lack of preparatory measures in order to react to a water outage are more difficult to define and harder to estimate (Chang et al., 2008).

Effocts	Inherent assessment		Controls in place	Action planned	
Enects	Impact	Likelihood	Controis în place	Action planneu	
Halt of water pumps	Medium	Medium	Backup systems; gravitational flow	High-storage water tanks; self-sufficient energy	
Loss of access to drinking-water	High	Medium	Open-source water; bottled water	Water storage; Distribution of filters; public/ private partnerships	
Lack of water for sanitation and hygiene	High	Low	Open source water	Water storage	
Halt of wastewater treatment	Medium	High	Backup systems	Self-sufficient energy	
Lack of preparatory measures	High	Medium	Brochures	Workshops, conferences, etc.	
Halt of telecommunication	High	High	Backup generators; direct comm.	Distribution of leaflets; advanced planning	
Distorted daily life	High	Medium	Communities; information	Strengthening resilience	

 Table 2-3: Impact assessment on the effects of a blackout (Mank, 2015)

The water sector is often indirectly affected either through a cascade of events leading up to the contamination of water or the leakage of a water pipe, or by threatening adequate healthcare in hospitals and hygiene at home (WHO et al., 2013). These are often unpredictable and complex consequences of direct hazards (Laugé et al., 2013). Effects are also influenced by the hour of the day, the day of the week, the season of the year and the current weather. While in summer a higher amount of electricity is needed for cooling, the same is the case for heating in winter. A blackout occurring over a small area quickly receives help from within the country as well as from neighbouring countries. The wider the area is the more difficult will it be to build up the electrical flow again or to provide support (Hohl et al., 2013).

The pumping of water requires the most electricity in the whole water system, **Figure 2-5** (Petermann et al., 2011).

Independent of the duration of the power and water outage, several mitigation options have been identified for the three sectors, water, electricity and fuel, and communication, and are combined in **Table 2-4**.

Water	Electricity	Communication
Water sources:	Emergency electricity	Internal communication:
<ul> <li>pool water</li> </ul>	provision:	<ul> <li>radio-relay systems</li> </ul>
rainwater	<ul> <li>backup generators</li> </ul>	• field wire
• domestic water wells	plus fuel	• satellite communication
• artificial static water	<ul> <li>shared backup</li> </ul>	systems with batteries,
supply sources	generators	rechargeable batteries or
<ul> <li>open sources</li> </ul>	<ul> <li>rent backup</li> </ul>	solar panels
<ul> <li>hand-turned pumps</li> </ul>	generators	<ul> <li>short wave radio gadgets</li> </ul>
<ul> <li>high-level water</li> </ul>	energy self-sufficient	with car batteries
storage tanks	systems:	External communication:
<ul> <li>mobile water tanks</li> </ul>	photovoltaic panels,	<ul> <li>alarm systems</li> </ul>
• water from	wind parks, sludge	<ul> <li>radio broadcasting</li> </ul>
neighbouring cities	fermentation	<ul> <li>flyers and brochures</li> </ul>
• small water channels		<ul> <li>personal communication</li> </ul>
<ul> <li>bottled water</li> </ul>		<ul> <li>loudspeaker</li> </ul>
Water treatment:		announcements
<ul> <li>water filtration</li> </ul>		External communication
• silver chloride pills		places:
UV light irradiation		• city halls
Boiling		fire brigade houses
Distillation		municipality houses
Chlorination		Risk communication
Hygiene:		channels:
<ul> <li>mobile toilets</li> </ul>		• news
<ul> <li>plastic bags</li> </ul>		• specific events: change of
<ul> <li>manholes in public</li> </ul>		the millennium
places connected to		• seminars, workshops
the sewage system		environmental and
		political actions
		public incentives
		• platforms
		<ul> <li>books</li> </ul>

Table 2-4: Implementation options to mitigate the effects of a water and power outage (Mank, 2015)

## 2.5.4 Risk estimation in Coarse Risk Analysis (CRA)

It is a rather common situation that a municipality or water utility wants to have a coarse overview of the main risks for its activities, in order to identify the most serious threats and then to make the right priorities with respect to implementing risk reduction options.

In such a situation the municipality or water utility can carry out a Coarse Risk Analysis (CRA); this method is similar to the Preliminary Hazard Analysis (PHA). This type of analysis is also sometimes referred to as a Risk and Vulnerability analysis.

These analyses are often carried out early in the development of a utility, or in the launching of a WSP implementation in an existing system. Then there is little information on design details and operating procedures, and the analysis can be a precursor to further studies. However, they are also used for analysing existing systems, or specific subsystem.

The CRA can also be used to prepare emergency preparedness plans for the water supply companies.

The main objective of the CRA is to identify hazardous events (as described above), the causes of the event, and to make a coarse evaluation of likelihoods (probabilities) and consequences of these events. The results are normally displayed in a list of hazardous events (in a worksheet form). Several variations of this form are used. One example of a worksheet used to document the results of the analysis are shown in **Table 2-5**. Each hazardous event, any electricity disruption and its impact on water supply, is identified and inserted in the list and analysed.

The risk estimation in a CRA usually restricts to presenting categories of probability and consequence. The probability categories are denoted, e.g. P1-P5, and similarly consequence categories, C1-C5; These pairs of values are later inserted in the appropriate cell of the risk matrix. The consequences can be evaluated with respect to several "dimensions", e.g. water quality, water quantity (supply) or reputation/economic loss.

Syste Distr	stem: Operating mode: stribution Normal operation		Analyst: WR Date: 2016-1	C 10-18			
Ref.	Hazard	Hazard	Causes	Probability	Consequence	Preventative	Comments
		event				actions	
1	Supply	Pumping	Cable	P2 <sup>1</sup>	C3 <sup>2</sup>	Improve	
		station	theft			security of	
		is offline				electricity	
						supply line	

Table 2-5: Example of a CRA-worksheet

#### Notes:

- 1 Probability category
- 2 Consequence category

According to the resulting risk-score of the various hazardous events in the risk matrix, the most serious hazardous events are identified. Risk reduction options to prevent the hazardous event or to neutralize its consequences are identified. The needed efforts (in terms of costs, time, organization, training, etc.) and the reduction of risk of the various risk reduction options are roughly evaluated. Finally a priority list for risk reduction options (with deadlines) is formulated.

In summary, a CRA is a rather simple semi-quantitative risk analysis method. However, the CRA requires good information and knowledge about the system including surroundings. Hazard identification is usually based on some kind of expert judgement, e.g. using experience from the past, check lists, or a combination of these. If statistics about hazards are not available the CRA will rely on expert judgements to estimate the risk and define appropriate risk reduction options.

No detailed modelling and calculations are needed, and the analysis may be carried out by professionals with good system knowledge, but is not requiring computational skills. Normally a CRA is not very time consuming. However, this depends on the size and complexity of the system to be analysed.

Note that the CRA does not provide a score of the total risk of the water utility. The main focus is on identifying major hazardous events, and then ranking these with respect to their contribution to risk.

## 2.5.5 Tool for Coarse Risk Analysis (CRA)

A tool for carrying out a coarse risk analysis is available. The tool is applicable for small, medium and large municipalities or water utilities. The tool itself is also an aid for organizing the data generated as a part of the coarse risk analysis.

The tool is similar to the W<sub>2</sub>RAP tool (Mandela (Van der Merwe-Botha & Manus, 2011) see **Figure 2-10**, as well as the WATERRISK – Water Infrastructure Risk Assessment Tool (Jack, De Souza and Mackintosh, 2011), see **Figure 2-11**, to provide uniformity in the water sector in South Africa.

The structure of the tool is in a Microsoft Excel spreadsheet format which makes it appropriate for most potential users. Most municipal officials that the tool is aimed at would be comfortable using a spreadsheet-based tool. The user interface for carrying out the analysis is simplified by letting the user simply click on the acronym of a potential hazardous event and the corresponding risk registering dialog box for the relevant hazardous event appears. The various fields of the interface are explained in **Table 2-6**.

Likelihood (probability) and consequence are given as categories. The consequence classes can be specified by two dimensions. In the example below duration and exposure are chosen.

Duration of, e.g., lack of supply can, for example, be classified as:

- 1. 0-6 hrs
- 2. 6-24 hrs
- 3. 1-7 days
- 4. 1-4 weeks
- 5. 1-6 months
- 6. > 6 months

Exposure, i.e. number of affected persons, can be given as:

- 1. 1-10
- 2. 10-100
- 3. 100-1 000
- 4. 1000-10 000
- 5. 10 000-100 000
- 6. > 100 000

son Manuela D	ay				
Section	Nö	Hazard event	Filsk cesessed	Control measure In place	Last update
Collection 8	2.1	Sewage leakages in sewar reticulation system due to lack of maintenance	Yes	Yes	Nov-10
Reticulation to Estiwater Flats	2.2	Sewage leakages in sewer reticulation system due to deteriorated/did infrastructure	Yes	Yes	Nov-10
	2.3	Sewage leakages due to damage to reticulation system due to construction activities	Yes	Yes	Nov-10
	2.4	Sewage discharge due to blockages in sewers and/or sewer manholes	Yes	Yes	Nov-10
	2.5	Sewage leakages due to human interferance or vandalism of sewers	Vea	Yes	Nov-10
	2.8	Industrial discharges due to uncontrolled discharge of chemicals into sewer system	Yes	Yes	Nov-10
	2.7	Chemical pollution into the environment from Industrial sewers due to lack of maintenance or old infrastructure	Ves	Yes	Nov-10
	2.8	Ingress of storm water into sewer system	Yes	Yes	Nov-10
	2.9	Unauthorized or unintentional connections to servers	Yes	Yes	Nov-10
	2.10	Security	Yes	Yee	Nov-10
	21	Pump equipment failure due to lack of maintenance	Ves	Yes	Nov-10
Rumping stations.	n	Pump equipment failure due to deteriorated/old frastructure	Yes	Yes	Dec-10
		arge foreign objects that cause damage to pump noeliers.	Yes	Yes	Jan+11
		alure of screening at pumping station	Yes	Yes	Feb-11
		ower tailuree	Yes	Yes	Net-11
		jailure of alarma and monitoring equipment	Yes	Yes	Apr-11
	2.7	Overflow or flooding of pumping station during flood event	Yos	Yes	May-11
	2,8	Security	Yes	Yes	Jun-11

The pumping station that transfers sewage to the Fishwater Flats WWTP is prone to flood events during rain events when stormwater ingress takes, and is therefore identified as a one of the 'hazards/risks' ....

The critical limit (trigger) is defined as 'visual leakage / flooding' ...

The 'probability'= medium, 'severity'= high and the risk rating = HIGH. ...

The preventative action set in place is "develop and implement an O&M reticulation plan that includes infrastructure replacement and backlog maintenance". The control measure is "replace pipelines and provide adequate protection where problem persists"...

The validation of the control measures is the Standard Operating Procedure (SOP) and W2RAP...

The CCP is 'sewer manholes' and the risk rating after corrective action was taken is 'LOW'.

Figure 2-10: Typical application of the risk tool to manage and track risk control measures in Nelson Mandela (Van der Merwe-Botha & Manus, 2011)

	1.0.	H	2
	2 WAT RESEA		recomment Tool
V	Nat	erRISK - Water Infrastructure RISK As	ssessment lool
h	denti	fication of Top Threats/Challenges	
Ľ	Ising	the list of threats (in the drop down list under "Threat/Cha	llenge Type"), rank (at least) the top 5 threats/challenges
		THE COMPLETENCE THE S	Barra Garcela
	ank	Inreat/Challenge Type	ROOT Cause/s
R		I for the Marcolline constrained and the second s	
R	1	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge)	
R	1	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds	funds. Insufficient package offered. Rural municipality - difficult to attra
	1	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient maintenance (e.g. no scheduled maintenance)	funds. Insufficient package offered. Rural municipality - difficult to attra
	1	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient maintenance (e.g. no scheduled maintenance) No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day oncertainoff ack of support from political lie	funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked. ufficient staff. No mechanical or electrical staff in WSA (reliant on exter y infrastructure established through IMG projects.
	1	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient planning (e.g. no scheduled maintenance) No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices	funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked. ufficient staff. No mechanical or electrical staff in WSA (reliant on exter y infrastructure established through MIG projects.
	1 2 3 4	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient maintenance (e.g. no scheduled maintenance) No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices Insufficient correlation of IDP, WSDP, budget and actual execution	funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked. ufficient staff. No mechanical or electrical staff in WSA (reliant on exter y infrastructure established through MIG projects. htinuous increase in informal housing makes planning difficult.
	1 2 3 4	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient planning (e.g. no scheduled maintenance) No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices Insufficient correlation of IDP, WSDP, budget and actual execution No Registered Professional Engineer	<ul> <li>funds. Insufficient package offered. Rural municipality - difficult to attra</li> <li>counts not sent to consumers and revenue not collected/tracked.</li> <li>ufficient staff. No mechanical or electrical staff in WSA (reliant on externation of the staff). No mechanical or electrical staff in WSA (reliant on externation of the staff).</li> <li>v infrastructure established through MIG projects.</li> <li>through increase in informal housing makes planning difficult.</li> <li>Continuous theft of manhole covers, fences and locks - maintenance budged.</li> </ul>
	1 2 3 4 5	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices Insufficient correlation of IDP, WSDP, budget and actual execution No Registered Professional Engineer Damage and destruction of property/equipment (e.g. vandalism, arson)	funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked. ufficient staff. No mechanical or electrical staff in WSA (reliant on exter y infrastructure established through MIG projects. htinuous increase in informal housing makes planning difficult. Continuous theft of manhole covers, fences and locks - maintenance bud (instead of real maintenance).
	1 2 3 4 5 6	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds. No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices Insufficient correlation of IDP, WSDP, budget and actual execution No Registered Professional Engineer Damage and destruction of property/equipment (e.g. vandalism, arson) No/insufficient budget/funds	<ul> <li>funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked.</li> <li>ufficient staff. No mechanical or electrical staff in WSA (reliant on extern v infrastructure established through MIG projects.</li> <li>htinuous increase in informal housing makes planning difficult.</li> <li>Continuous theft of manhole covers, fences and locks - maintenance bud (instead of real maintenance).</li> </ul>
) K	1 2 3 4 5 6	Unqualified/inappropriate staff (e.g. lack of technical skills or system knowledge) Unqualified/inappropriate staff (e.g. lack of technical skills or system knowle No/insufficient budget/funds No/insufficient planning (e.g. unplanned development, rapid growth) Political interference in day to day operation/Lack of support from political le Inadequate billing and revenue collection practices Insufficient correlation of IDP, WSDP, budget and actual execution No Registered Professional Engineer Damage and destruction of property/equipment (e.g. vandalism, arson) No/insufficient budget/funds Political interference in day to day operation/Lack of support from political	funds. Insufficient package offered. Rural municipality - difficult to attra counts not sent to consumers and revenue not collected/tracked. ufficient staff. No mechanical or electrical staff in WSA (reliant on exter w infrastructure established through MIG projects. htinuous increase in informal housing makes planning difficult. Continuous theft of manhole covers, fences and locks - maintenance budg (instead of real maintenance).

Figure 2-11: WATERRISK – Water Infrastructure Risk Assessment Tool (Jack, De Souza and Mackintosh, 2011)

Table 2-6: Description of user interface of CRA tool (Adapted from (Techneau,
2009).

Object	Comment
Water supply	The user selects which water supply system (WSS) the analysis
system	belongs to. One municipality or water utility might have several WSSs
	and some hazardous events might be unique for one specific one.
Analysis object	Describes which element in the water supply system is analysed (e.g.
	source to WTW, intake, WTW, pump station). The user must select
	analysis object from a drop down text.
Detailed	Detailed description of the analysis object (e.g. for treatment plant the
	following detailed elements might be analysed: electricity for
	aerators or waste pumps). The user must select detailed analysis
	object from a drop down text.
Undesired	Description of the undesired event or hazardous event. A check list of
event/hazardous	possible events is available from the "Hazard database". The user
event	must select event from a drop down text.
Cause	The underlying cause for undesired event. A checklist for possible
	causes can be found in the "Hazard database". The user must select
	cause from a drop down text.

Object	Comment
Probability	The probability for the undesired event to occur. The probability
	must be estimated by the user either based on available data or
	expert evaluation. Some guidance on assessing the probability is
	given within the tool. The probabilities of occurrence are defined as
	rare (P1) to almost certain (P5).
Cause description	A more detailed description of the underlying cause of event can be
	given.
Vulnerability	Description of how vulnerable the system is if the analysed elements
	fails (e.g. if the municipality or water utility has alternative sources of
	backup supply the water supply will be less vulnerable). Might also be
	used indirectly for assessing the consequences.
Components	A description of the components (e.g. two pumps in parallel)
Description	A more detailed description of the consequences of the event might
	be given here. It will also serve as a justification for the assessed
	consequences making it easier to review the estimated values.
Consequences	The possible consequences resulting from the event are described as
(quality, delivery/	insignificant (C1) to catastrophic (C5). The consequences consist of 3
quantity,	elements: quantity/delivery, water quality and loss of
reputation/	reputation/direct economic loss.
economic)	
Barriers	Identification of barriers reducing both the probability and
	consequences for the event. The barriers can be existing barriers and
	possible future barriers. Assessing whether the barriers reduces the
	Probability (P) or the Consequences (C) might be useful.
Manageability	Description on how the risk can be managed, i.e. how and what can be
	modelled and/or measured to control the process (e.g. hydraulic
	analysis of system now functioning under gravity instead of via the
	booster pump)
Risk reduction	Based on the resulting risk matrixes, the need for risk reduction
options /CCP	options for each of the undesired events might be introduced. These
	can either be physical options or implementation of critical control
	points (CCP) for controlling the risk in real time.

# 2.6 Quantification of risk

Risk can be quantified in various ways, depending on which aspects of risk are considered. In this section the various methods to quantify or measure risk are described.

## 2.6.1 The dimensions of risk and various methods to quantify risk

Risk can be defined as a combination of the probability (frequency) of the occurrence of specified hazardous events and the consequence(s) of these events. Risk is therefore often expressed in terms of these probabilities and consequences. The estimated risk of the various hazardous events can also be aggregated, in order to give an expression of the total risk of a water supply system.

Several types of potential consequences can be considered in a risk analysis of a water supply system. One refers to the various "dimensions" of risk, representing the different types of consequences, and each of these risk dimensions can be quantified.

For the consumer it is important to be supplied with water of good quality, but there should also be enough water. So this study focuses on the quality and quantity of the water supply and how it is influenced by electricity disruptions, both aspects essential for the consumers' risk.

In order to quantify the risk related to water quality, the complete water supply chain and to what degree it is dependent on electricity should be considered. Some examples of risk measures for water quality are (Techneau, 2009):

- Probability of a specific degree of contamination/pollution of the water source.
- Probability of a specific failure of the treatment system, resulting in contaminated water entering the distribution network.
- Probability that one litre of drinking water at tap contains a certain parasite; (meaning that contaminated water is delivered to consumer).
- Mean number of consumers getting adverse health effects caused by drinking water (due to a certain hazardous event, in this case some electricity disruption event).

Risk related to water quality is not necessarily measured in terms of the quality of water delivered to consumers (item 3 on the list), or as the actual health effects for the consumers (item 4). For a water utility it can be useful also to estimate the risk of a water source being polluted or of a failure of the treatment system (items 1 and 2).

In Item 4 "Mean number of persons getting adverse health effects" a quantification of risk is applied where probability and consequence are combined into one figure. This means that a rather traditional definition of risk as the "mean loss", (probability x consequence) is applied.

When risk related to water quantity shall be quantified, it should be noted that loss with respect to water quantity/availability depends on (Techneau, 2009):

- frequency of interruptions of water supply;
- duration of the interruption; and
- exposure, i.e. number of consumers being affected.

Note that even without interruption of the water flow at the consumers tap, the water may be delivered with a pressure which is too low (e.g. for appliance to work). So water pressure being excessively low is also a risk to water quantity, (and excessive high pressure is a hazard, potentially causing leakage or bursts).

As discussed in Techneau (2009) loss of water quality and loss of water quantity are the two most important "dimensions" of risk for a municipality or water utility. But note that if analysis of water quality is restricted to the effect on human health, then environmental impact is another dimension of the risk. Also this risk can be measured in various ways, e.g. in terms of frequency of polluting events and the exposure (e.g. number of affected species/animals).

In addition, the municipality or water utility can experience loss of reputation (consumer thrust), which is more difficult to measure, but also these losses can have economic consequences.

Further, consumers (e.g. certain industries) and the municipality or water utility itself may experience economic losses, which are most reasonably expressed in monetary units. But in principle, it is possible to measure all losses – related both to water quality and quantity (and environment) – as economic losses, and in this way give an overall measure of the total risk.

Reference also needs to be made to the societal risk, which is the risk related to major events, e.g. causing main functions of society to be at risk. This is certainly relevant for a major infrastructure like the water supply; (either lack of water or polluted water, affecting many consumers or an institution like a hospital). Specific risk measures could be designed to express these risks.

The measurement (quantification) of risk will be discussed in greater detail as part of the City of Tshwane Case study below. Some measures are "common", i.e. can be used for various dimensions of risk, and others are related to a specific dimension, as quality or quantity.

# 2.6.2 Qualitative versus quantitative expressions of risk

As stated above, risk is usually measured by severity of some unwanted consequence, C and the likelihood (i.e. probability, P, or frequency, f) that this consequence occurs.

Various types of consequences (losses) can be considered. Often we want to rank various risks, and so the C- and p-values are quantified to give an overall measure of the risk, e.g.  $R = P \ge C$ .

This quantification can be time consuming. Also note that risk quantification expressed in 'detailed' numbers pretends an exactness that may not be the case because it has been derived from assumed probabilities or ranges of numbers described in the literature. So there is a danger of creating a false sense of precision of the result. However, it has the advantage of facilitating rational decision making based on cost-benefit analysis, moderated by sensitivity analyses for the most important assumptions.

A ranking can also be carried out qualitatively, without specifying P- and C-values for each risk. One possibility is to apply paired ranking (i.e. comparing pairs of risks), whereby each risk is compared to every other risk, specifying which of the two is greater (Techneau, 2009). This should give an explicit weighting, but again with the danger of giving a false sense of precision. The process could also be very time consuming and complicated due to the fact that "experts" are not always consistent (agreeing) in their evaluations of paired comparisons.

A common qualitative approach is to apply a classification of risk. Probabilities and consequences are divided into categories. For the probability category measures as "rare" to "frequent/almost certain" are used. Consequences could be categorised as "insignificant" up to "catastrophic". These categories are a ranking of likelihood and consequences. The categories can also be defined by intervals, for instance, the probability category 'rare' could be defined as 'less than once a month'. Similarly, the consequence category 'small' with respect to health effects could be defined as 'at most 10 consumers with minor health effects', etc. In this case the term semi-quantitative approach is used (not fully quantitative but placed into pre-determined categories).

Based on categories for probability and consequence, a risk matrix can be made; for an example, based on water quality as described in WHO (2008), see **Figure 2-12**. In this figure risk categories are given (1-9) (note that this is an example). Also observe that the WHO definition of the likelihood (probability) category "Almost certain" equals "Once per day". In a risk analysis it is rather seldom to include events which are that frequent.

## 2.6.3 Risk measures for loss of water quality

As described in Techneau (2009) when considering the total system, from source to tap, there could be various quantifications related to loss of water quality, i.e. the measures could be related to:

- Quality of source water, treatment technology and distribution network.
- Health effects for consumers.
- Effects on the consumers' acceptability.
- Effects on the distribution (bulk supply and pump stations) and equipment (e.g. corrosion).

Some examples are given below.

- Quality of water source, treatment technology and distribution network: The following are examples of risk measures:
  - Probability (frequency) of specific degrees of contaminations/pollution of the water source.
  - Probability of failure of specific treatment systems.
  - The probability of one litre of treated water containing a certain parasite.
  - Probability of pollution entering distribution network (if pipeline runs empty due to electricity failure and groundwater enters the system).

	Severity of consequences					
Likelihood	Insig- nificant	Minor	Moderate	Major	Cata- strophic	
Almost certain	5	6	7	8	9	
Likely	4	5	6	7	8	
Moderately likely	3	4	5	6	7	
Unlikely	2	3	4	5	6	
Rare	1	2	3	4	5	

Examples of definitions of likelihood (probability) and severity (consequence) categories that can be used in risk scoring				
Item Definition				
Likelihood categories				
Almost certain	Once per day			
Likely	Once per week			
Moderately likely	Once per month			
Unlikely	Once per year			
Rare	Once every 5 years			

Severity category	
Catastrophic	Mortality expected from consuming water
Major	Morbidity expected from consuming water
Moderate	Major aesthetic impact possibly resulting in use of alternative but unsafe water sources
Minor	Minor aesthetic impact possibly resulting in use of alternative but unsafe water sources
Insignificant	Not detectable impact

# Figure 2-12: Example of a risk matrix and definitions of likelihood and severity categories to be used in risk scoring (Techneau, 2009)

• Health effects for consumers

The risk of contaminated water to human health can be characterised in a number of ways.

For instance one can give the risk per person and then in addition the number of persons exposed. The risk per person can be described by a probability distribution, and the measure could be given by the mean, the median, etc. Some risk measures related to health effects for consumers:

• Mean number of consumers which during one year have serious health effects caused by bad drinking water.

- Frequency, f, of events resulting in at least N consumers getting ill (adverse health effects); with say, N = 1000).
- Effects on the consumers' acceptability: This may arise from the occurrence of taste, odour, colour or turbidity (and may further convey economic risks (loss of reputation). Some risk measures are:
  - Probability of water delivered to consumer has unacceptable odour/smell.
  - Substandard Supply Minutes (SSM), i.e. the number of minutes the average consumer is supplied with drinking water that does not complying with existing quality and/or quantity standards.
- Effects on the distribution and plumbing system equipment: There could for instance be an effect on pumps and appliances, e.g. due to water aggressiveness (e.g. corrosion) or hardness. The risk measure should quantify this damage.

## 2.6.4 Risk measures for loss of water quantity (supply)

As described in Techneau (2009) generally, there should be a high availability of the water supply, (i.e. a high probability of every consumer being supplied), and further, supply should be done with proper flow and pressure.

In addition to the consequences (i.e. how much deficient are flow and pressure), risk measures related to water quantity could consider the number of affected consumers, the frequency and the duration, or a combination of these. For instance, the mean number of days without water supply, aggregated over all consumers, could be such a measure of risk. The frequency of interruption of supply (e.g. affecting at least 1000 consumers) is another.

To give some examples, loss of water quantity can be measured as

- Probability (fraction of the time) that an arbitrary consumer is without water supply, (or supply is insufficient).
- Frequency of events resulting in failure to supply water to at least 1000 consumers.
- Volume of water missing (when supply is insufficient).
- Mean number of consumers affected by shortage (when supply is insufficient).
- Customer Minutes Loss (CML), i.e. the average number of minutes that drinking water is not delivered to an average consumer.

In general, the average water unavailability (fraction of time without water) for a consumer should be a reasonable measure for water quantity. However, one long delivery interruption does not necessarily represent the same risk as ten small interruptions, even if the total time without supply is the same. For specific types of industries a short interruption might have approximately the same consequences as a longer one, even though the interruption's contribution to the yearly unavailability can be small compared to a more long-lasting stop. A similar argument could apply for residential consumers: 500 persons losing water supply for one month (30 days) may be considered worse than 15 000 losing water for 1 day; even if both events give the same contribution to overall water unavailability.

Thus, measuring water quantity by the average unavailability of supply may not be sufficient; and then both the frequency and durations of interruptions should be given. So, in more advanced approaches there needs to be distinguished between long and short durations of the interruptions in water supply.

The unavailability of water should be evaluated both with respect to planned and unplanned activities. Example of unplanned activities might be pipe burst, wrong valve operation, load shedding, etc.

#### 2.6.5 Risk measured in monetary units

Risks and risk reduction can be valued in monetary units in order to:

- Express all risks in a common unit, and
- Facilitate economic analyses, e.g. cost-effectiveness or cost-benefit analyses, for prioritising between risk reduction options.

Economic valuation of market goods, i.e. goods traded in the common market, does usually not constitute any major problems. Economic valuation of non-market goods, such as the reduced risks to human health if adequate water supply is provided, is generally more problematic. Several studies (Freeman, 2003; EPA, 2000) provide detailed and extensive information on economic valuation methods of non-market goods.

Economic valuation of non-market goods is still to some extent controversial. However, extensive research and applications in the field of environmental economics over the last decades have resulted in greatly increased knowledge regarding the possibilities and limitations of valuations of, e.g., saving a statistical life and ecological improvements. In the drinking-water sector, economic valuation is being increasingly used in order to achieve cost-effective asset management. Especially in the UK, where the drinking-water industry is privatised, economic valuation is common.

#### 2.7 Data for risk analysis

#### 2.7.1 Introduction

Available and accurate data are essential for achieving reliable results from a risk analysis. Data is needed for the system description, hazard identification risk estimation and risk reduction option identification and implementation. The level of detail of the needed data depends on methods used for risk analysis, the required level of detail of the analysis and need of accuracy of the results. Data requirements for a coarse qualitative risk analysis differ from the requirements for a detailed quantitative risk analysis.

Some relevant types of data are listed below.

- Technical data are needed to understand the functions of the technical systems and to identify the barriers.
- Information about the specific layout of the system is essential to establish a system model and to gain an understanding of the system as a whole.
- Environmental and geographical data are necessary to identify possible hazards and to obtain an understanding of the environment where the water system is located. This information can allow evaluation of the dose and frequency of a contamination of the source, and to identify possible contamination points.
- Operational and maintenance data are needed to determine availability and reliability of components, subsystems or the entire system.
- Specific data about the reliability of barriers in the system is essential. The treatment systems will be of special importance.
- Knowledge about the effects of the identified hazard on consumers is also required.
- Guideline values and national standards.
- Knowledge about removal efficiencies.

## 2.7.2 Data needs

The various types of data needed can be considered in three categories:

• Generic data:

Data from external data sources (not data from the water utility under investigation). Data could relate to, e.g., effectiveness of different types of water treatment or the effect that different types of pollutants have on humans (cf. dose-response results).

• System data:

Data describing the entire system of the water supply (from source to tap) in question, e.g. raw water sources, layout of the plant, water treatment methods being used, number of consumers and relevant local conditions are used to adjust the generic failure data.

• Event data:

Monitored data of hazardous events or system failures that have occurred in the past.

Some data needs for risk analysis are summarized in **Table 2-7**, following this categorisation.

Type of data	Use	Data sources	
Generic data			
Data on health effects of	Efficiency of treatment	• WHO website	
various doses of various	systems (i.e. level of	• DWS website	
pollutants on humans	contamination in source	<ul> <li>Databases available on</li> </ul>	
• Effectiveness of	being unacceptable)	USEPA websites provide	
treatment	<ul> <li>Calculations of risk</li> </ul>	additional information (e.g.	
systems for various types		for health risk assessment).	
of contamination			
• Weights to be used in			
DALY calculations			
	System data		
Geographical data	System description is	Maps	
• Layout of the catchment	used throughout risk	<ul> <li>Water utility/plant</li> </ul>	
area and source	analysis to assess, e.g.	data:	
• Possible hazards in the	• Hazards	o Technical drawings	
catchment area, water	<ul> <li>Hazardous events</li> </ul>	o Layout drawings	
source and the distribution	<ul> <li>Treatment system</li> </ul>	o Asset databases	
system	reliability	o Maintenance	
• GIS data on hazards	<ul> <li>Exposure and</li> </ul>	systems	
• Environmental data	consequences to water	<ul> <li>Municipality, water</li> </ul>	
Treatment systems	quality and human health	Utility (GIS maps, water	
<ul> <li>Water distribution</li> </ul>		distribution networks, etc.)	
network		<ul> <li>Local knowledge</li> </ul>	
<ul> <li>Number and types of</li> </ul>		• On-site inspection	
consumers connected to			
water utility			

#### Table 2-7: Data needed for risk analysis

Type of data	Use	Data sources
Volume of water		
consumed per		
consumer (per day)		
	Event data	
Failure data for various	Reliability and failure rate	• Failure data base of water
subsystems, (treatment	of equipment and systems	utility
systems / barriers)	<ul> <li>Type and frequency of</li> </ul>	<ul> <li>Maintenance system</li> </ul>
• Data on erroneous	hazardous events	• Generic failure data bases
operation (human errors)		<ul> <li>Vendor information</li> </ul>
• Events that have resulted		(e.g. on failures)
in contaminated water		<ul> <li>Reporting system for</li> </ul>
• Preventive and corrective		hazardous/undesired events
maintenance data		• Local knowledge, (e.g.
		Maintenance personnel)

## 2.7.3 Data sources

There are different sources that can be utilized to obtain data. These can be grouped in different categories (Rausand, 1991):

- External data sources
- Internal data sources
- Expert judgement
- Test data
- Literature and publications

External data sources can be used for reliability of technical component and systems and for obtaining the effect different types of pollutants have on humans. In the case of component reliability this type of data source could give valuable data because the operational time where failures are registered is often extensive. The effect that different pollutants have on humans is in most cases independent of local conditions, but structure and sensitivity of population supplied may be site specific (e.g. hospital or baby sanatorium connected to the network). It is important to consider the relevance of the data for the specific system in question before utilizing external data sources.

Similar systems or barriers might have different external conditions and maintenance which may affect the reliability (and effectiveness) of the barriers.

Internal data sources can be data monitored in a CMMS system (Computerized Maintenance Management System) or a SCADA (Supervisory Control And Data Acquisition) system, which can be important sources for reliability data.

Expert judgment and testing can either be from external sources or internal. This is used where no reliable data is available. Testing of systems can also be used either in operation or in laboratories.

Information about reliability of equipment is defined by Rausand and Høyland (2004) as information about the failure/error modes and time to failure distributions for hardware, software and humans. The reliability of specific systems or components is often not site specific. It is therefore possible to collect reliability data from different sites (systems) into a common database. Such a database should contain the following information:

- Hazardous events;
- Failures of various components/equipment (incl. failure mode, repair time, etc.);
- Inventory of equipment, giving number of various types of components, operational times, etc.; and
- Various environmental and operational data that are (assumed) relevant for the performance of the systems/components.

Important tasks when collecting data is to

- Establish a common format for such a database (making it easy to transfer data);
- Encourage exchange of data across water utilities (and countries); and
- Develop analysis techniques to better utilise the information provided.

Such a database will make risk analyses more reliable, and once a database is established the risk analysis will be less costly and time consuming.

## 2.8 Risk Analysis and evaluation guidelines

This section describes the risk analysis and evaluation processes and outlines steps to be taken to complete a risk analysis and evaluation. Risk analysis and evaluation guidelines are defined for the various institutions that form part of the electricity supply, water supply and water distribution sectors.

The components of a risk analysis are:

- Defining the scope and objective of the risk analysis
- Identification of hazards and hazardous events, and

• Estimation of risk (probabilities, consequences). Consequences with respect to both water quality and water quantity should be considered.

Risk evaluation follows risk analysis and includes defining risk acceptance / tolerability criteria in terms of water quantity and water quality. Risks are distinguished between:

- Acceptable / tolerable risks which need not be addressed as part of the risk management process (i.e. these risks needn't be addressed as part of the institutional and design guidelines), and
- Unacceptable / intolerable risks which need to be addressed as part of the risk management process (i.e. these risks are addressed by institutional and design guidelines).

Risk analysis and evaluation forms part of the institutional guidelines proposed in this report. Risk analysis and evaluation guidelines are specifically defined for the following institutions:

- Institutions responsible for electricity supply i.e. Eskom,
- Institutions responsible for bulk water supply i.e. Water Service Providers such as Rand Water, Magalies Water and the City of Tshwane (the City of Tshwane can also be seen as a Water Service Provider as they are also responsible for part of the bulk water treatment and bulk water supply) in the case of this project, and
- Institutions responsible for water distribution i.e. Water Service Authorities such as the City of Tshwane in the case of this project.

Risk analysis guidelines are also further subdivided for three types of electricity disruption events that can affect water supply, including:

- Short-term electricity disruption events causing disruptions up to a few hours (e.g. load shedding),
- Medium-term electricity disruption events causing disruptions up to a few days (e.g. damage to a sub-station supplying electricity to a pump station), and
- Long-term electricity disruption events causing disruptions up to a few weeks (e.g. a local or national blackout as a result of a high magnitude solar flare).

# 2.8.1 Risk analysis guidelines

This Section summarises the main components of a generic risk analysis process and describes how each of these components should be approached specifically considering this study.

a) Defining the scope of a risk analysis

**Table 2-8** summarises the steps of the risk analysis' scope definition and what needs tobe taken into consideration specifically in the context of this study.

Table 2-8: Special considerations to be included during scope definition of risk
analysis.

Scope		Special considerations in terms of this
definition	Description	study
step		
a) Selection of the analysis team	<ul> <li>Stakeholders to be included:</li> <li>Eskom</li> <li>Water Service Providers <ul> <li>Rand Water</li> <li>Magalies Water</li> <li>City of Tshwane</li> </ul> </li> <li>Water Service <ul> <li>Authorities</li> <li>City of Tshwane</li> </ul> </li> </ul>	<ul> <li>Specific staff / parties to be included:</li> <li>Managerial staff,</li> <li>Technical staff (planning &amp; design),</li> <li>Operational staff,</li> <li>External consultants (water and electricity sector experts)</li> <li>External consultants (Risk analysis experts)</li> </ul>
	Objective of risk analysis	Identifying all conceivable electricity disruption events that could affect water supply.
b) Define scope of	What should the risk analysis include	<ul> <li>Include risks to water supply associated with all types of electricity disruption events:</li> <li>Short-term (e.g. load shedding)</li> <li>Medium-term (e.g. distribution failure)</li> <li>Long-term (e.g. blackout)</li> </ul>
analysis	Which dimensions of risk shall be treated?	<ul> <li>In terms of water quantity:</li> <li>Frequency of water supply interruptions (i.e. probability),</li> <li>Duration of interruptions,</li> <li>Number of consumers affected.</li> <li>In terms of water quality:</li> <li>Probability of treatment system failure</li> <li>Number of consumers affected</li> </ul>
c)	Identify and describe all	Identify all system components that can
Describe	system components that	cause electricity disruptions that will affect

Scope definition step	Description	Special considerations in terms of this study
the	form part of the scope of the	water supply. This should be done for all
system to	risk analysis	institutions involved and should consider
be		the entire supply chain (from electricity
analysed		generation to distribution and water
		source to discharge).
	List main functions of the	This should be done with the focus on how
	systems identified	failure of the various systems identified
		will affect water.
	Define the boundaries of the	Exclude all systems that cannot affect
	system identified	water supply and wastewater.

b) Identify hazards and hazardous events

**Table 2-9** below summarises the steps of the hazard and hazardous events identification and what needs to be taken into consideration specifically in the context of this study.

Table 2-9: Special considerations to be included during identification of hazards
and hazardous events.

Hazard identification step	Description	Special considerations in terms of this study	
a) Collect	Generic data	<ul> <li>Databases such as Technau Hazard Data Base (THDB)</li> <li>Water Risk Water Infrastructure Risk Assessment Tool</li> <li>Relevant previous research projects and reports that analysis team has worked on</li> </ul>	
available data on hazards & hazardous events	Site specific data	<ul> <li>Knowledge and experience of technical staff of all institutions</li> <li>Knowledge and experience of operational staff of all institutions</li> <li>Failure reports of various institutions' systems</li> <li>Historical data of unplanned maintenance, breakdowns, system failures, etc.</li> </ul>	

Hazard identification step	Description	Special considerations in terms of this study
b) Perform expert sessions to identify site specific hazards and hazardous events	Brainstorming and use of checklists	Think tank sessions between all institutions' staff (part of the risk analysis team) and other parties identified.
c) Documentation of results	Compilation of a list of all hazards and hazardous events identified as part of the process	

c) Risk estimation

**Table 2-10** below summarises the steps of risk estimation and what needs to be taken into consideration specifically in the context of this study.

Risk estimation step	Description	Special considerations in terms of this study
a) Qualitative analysis	Identification of safety barriers	Includes electricity supply safety barriers, alternative municipal electricity supply routes, emergency generation facilities, water storage and gravity distribution.
b) Decide on risk analysis type	<ul> <li>Risk analysis types:</li> <li>Qualitative (semi-quantitative) <ul> <li>using a risk matrix<sup>1</sup></li> </ul> </li> <li>Quantitative – data required for estimation of probabilities and consequences<sup>2</sup></li> </ul>	The decision regarding qualitative vs. quantitative depends on the data available. Since the water and electricity sectors generally both have good data capturing and storing systems quantitative analysis is possible for both of the sectors.
c) Perform risk estimation	Estimate the probability and consequence of all risks identified	Focus should be on the consequence that the various hazards or

 Table 2-10: Special considerations to be included as part of the risk estimation.

Risk estimation step	Description	Special considerations in terms of this study
	as part of the hazard or hazardous	hazardous events can have on water
	event identification step.	supply.
	Compilation of a list of all risks	This includes short, medium term
d) Document	assessed, including the risks'	and long term electricity supply
results	probability and consequence. This	disruptions.
	list should also prioritise risks	
	based on the risks' critically.	

#### Notes:

- 1 If a qualitative risk analysis is done a risk rating matrix should be used to determine the criticality of all risks identified.
- 2 If a quantitative risk analysis is done risks should ranked based on how various risks identified compared with each other in terms of each risk's estimated probability (recurrence interval) and duration.

## 2.8.2 Risk evaluation guidelines

This Section describes the risk evaluation process and gives guidelines on how risk evaluation should be approached in the context of this study. The risk evaluation process is made up of the following steps:

a) Defining risk acceptance and tolerability criteria

According to the United Nations Strategy for Disaster Risk Reduction (UNISDR, 2009) an acceptable or tolerable risk is defined as a level of potential loss that a society or community considers acceptable given prevalent social, economic, political, cultural, technical and environmental conditions.

In engineering terms, an acceptable or tolerable risk can also be defined as a hazard or hazardous event that will not adversely harm people, their property, services and systems given that nothing is done to mitigate the risk. This means that either the consequence of the risk is small enough not to cause any harm or that the probability of the risk is so small that the risk is almost entirely improbable.

In terms of this study risk acceptance and tolerability criteria is affected primarily by the duration of the electricity disruption event and the extent of the event (e.g. city-wide, province-wide or country-wide). The risk acceptance and tolerability criteria are defined

in terms of the effect that the abovementioned electricity disruptions will have on water supply, including:

- The direct effects that electricity disruptions can have on water supply, including:
  - The number of end-users that will be affected by water supply interruptions due to electricity disruptions,
  - $\circ$   $\,$  The duration of water supply interruptions due to electricity disruptions, and
  - $\circ\,$  Damage to water supply and distribution infrastructure due to the electricity disruption.
- The indirect effects that electricity disruptions can have on water supply, such as:
  - Civil unrest due to water supply interruptions,
  - Infrastructure already in place which mitigates the effect of electricity disruptions on water supply, and
  - Loss in water quality due to electricity supply disruptions (for instance a sewer pump station that will overflow for a certain period of time causing deterioration of raw water quality).

The risk acceptance and tolerability criteria should be defined by the Water Service Provider(s) (Rand Water & Magalies Water for this study) working with the Water Service Authority (City of Tshwane for this study). This should be defined based on direct and indirect effects of electricity disruptions on water supply as listed above.

However, the outcome of the risk assessment process can also be used to refine the risk acceptance criteria. Depending on the outcome of the risk assessment the following factors can affect the risk acceptance criteria:

- The number of risks identified with unacceptable consequences and probabilities (high priority or critical risks),
- The probability and consequence of risks identified,
- The resulting damage (monetary value) due to the hazard or hazardous event of each risk identified, and
- The cost to mitigate the risk identified.

For example, if the risk acceptance criteria are too stringent it may mean that all risks identified as part of the risk assessment will have to be dealt with regardless of the costbenefit implications of dealing with each risk. If the risk acceptance criteria is too lenient it may mean that risks identified as critical risks or high priority risks can be left out and will not be dealt with as part of the risk reduction / control measures.

To summarise what needs to be done as part of the risk acceptance and tolerability criteria definition:

1) Define generic risk acceptance and tolerability:

- Risk acceptance and tolerability criteria should be defined by Water Service Authorities (City of Tshwane) and Water Service Providers (Rand Water and Magalies Water),
- It should be based primarily on the duration and extent of electricity disruptions events,
  - i. The risk acceptance criteria take into consideration the extent of the electricity disruption events (suburb-wide, city-wide, province-wide or country wide),
  - ii. It should also take into consideration the duration of electricity disruption events,
- Defined in terms of the direct and indirect effects of electricity disruption on water supply,
  - i. What is an acceptable number of end-users that can be affected in terms of water supply interruptions,
  - ii. What is an acceptable duration that end-users can be affected by water supply interruptions,
  - iii. What probability of civil unrest is acceptable,
  - iv. What amount of damage to water supply and distribution infrastructure is acceptable,
  - v. What infrastructure is already in place which can mitigate the effect of electricity disruptions on water supply,
  - vi. What level of loss in water quality due to electricity supply disruptions is acceptable,
- 2) Refine the risk acceptance and tolerability criteria based on the outcome of the risk assessment, based on the following factors:
  - The number of high priority or critical risks,
  - The resulting damage (monetary value) of each risk identified, and
  - The cost to mitigate the various risks identified.
- b) Comparing of risks with acceptance or tolerability criteria

The next step is to compare the risks identified with the acceptance or tolerability criteria. This should be done in order to identify a list of risks to be mitigated by the various institutions involved.

This list should include the following information:

- All risks identified during risk assessment,
- The priority or criticality of each risk identified,
- Whether the risk is acceptable / tolerable or not, and
- If the risk is accepted / tolerated the reason for this.

The most important outcome of comparing the risks with acceptance or tolerability criteria is to ensure that the highest priority or most critical risks are dealt with first. Furthermore, it will ensure that dealing with acceptable / tolerable risks do not take up resources of the various institutions that should rather be used for dealing with more critical risks.

## c) Risk reduction options

As part of the risk evaluation process, various risk reduction options can be identified as each risk is evaluated and compared with the risk acceptance and tolerability criteria. This should be done by identifying numerous possible solutions to mitigate each risk identified. The various solutions identified to mitigate each risk can then be compared in terms of a cost-benefit analysis to ensure the most effective solution is opted for.

The risk reduction options proposed as part of the institutional and design guidelines are discussed in more detail in the following Sections of this report.

## 2.9 Case Study Risk Analysis

This section describes the risk analysis and evaluation done as part of the City of Tshwane Case Study. The risk analysis and evaluation guidelines proposed in Section 2.8 are therefore applied in this section.



## 2.9.1 Scope of the risk analysis

The first step of the risk analysis is to define the scope of the risk analysis. This risk analysis was conducted by the study team, although the inputs of the various stakeholders at the project's feedback meetings were taken into consideration as part of the Case Study risk analysis.

The scope of the risk analysis is described below and was defined by determining the objective of the risk analysis (a), specifying what the analysis included (b) and which dimensions of risks were analysed (c).

a) The objective of the risk analysis

The objective of the risk analysis is to identify, analyse and evaluate all conceivable risks resulting from electricity disruption events that impact water supply to the City of Tshwane.

- b) The risk analysis included the following:
- Risks to water supply and/ or water quality associated with all types of electricity disruption events: Short-term (e.g. load shedding); Medium-term (e.g. distribution failure); and Long-term (e.g. blackout).
- c) The following dimensions of risks were analysed:
- In terms of water quantity: Frequency of water supply interruptions (i.e. probability), Duration of interruptions, and Number of consumers affected.
- In terms of water quality: Probability of treatment system failure, and Number of consumers affected.

**Table 2-11** describes the components of the system that were analysed. The system described below is limited to components that form part of the scope of the risk analysis, including:

- System components that have an effect on electricity disruptions (i.e. electricity infrastructure), and
- System components that are affected by electricity disruptions (i.e. water infrastructure and water end-users).

Component	Sub-component	Function	Boundaries of the
component	Sub-component	Function	system identified
	Resources to	Required for	Generation
	generate electricity	electricity	infrastructure
Electricity		generation	supplying the City of
generation	Power stations	Electricity	Tshwane (CoT):
infrastructure		generation	Eskom
init ustracture			
	Bulk distribution	Electricity	Distribution
	networks	distribution	infrastructure
	Distribution	Electricity	supplying the CoT:
Electricity	stations	distribution	Eskom
distribution	Sub stations	Electricity	
infrastructure		distribution	
	Control Room	Control and	
		Monitoring	
	Distribution	Electricity	Electricity
Electricity	networks	distribution	distribution
distribution	Sub-stations	Electricity	infrastructure
infrastructure		distribution	within CoT: CoT
within CoT	Control room	Electricity	Electricity
		distribution	Department
	Raw water	Required for water	WTWs supplying
	abstraction	treatment	the CoT: Rand
Water Treatment	Water treatment	Water Treatment	Water, Magalies
Works	Bulk water supply	Water supply into	Water and CoT's
W OT RS	pump stations	СоТ	WTWs
	Bulk water supply	Water supply into	
	pipelines	СоТ	
	Reservoirs	Provides additional	Rand Water's and
		storage capacity for	CoT's reservoirs
Water supply and distribution		water in case of	providing storage
		water supply	capacity to the CoT
infrastructure		interruptions	
	Water distribution	Water distribution	CoT's water
	pipelines	within CoT	distribution
			pipelines

Table 2-11: System components that form part of the risk analysis.
Component	Sub-component	Function	Boundaries of the system identified
	Water distribution pump stations	Water distribution within CoT	Pump stations distributing water in CoT's
Water end-users		End-users of water in the City of Tshwane	Population of approximately 3.1 million (±911 500 households) (City of Tshwane, 2016).
Wastewater	Sewer pump stations WWTW	Conveys sewerage to WWTWs Treats sewerage to required quality	CoT's sewer pump stations CoT's WWTWs

# 2.9.2 Identifying hazards and hazardous events

This section describes hazards and hazardous events associated with short-, mediumand long-term electricity disruptions. Risks emanating from hazards and hazardous events identified are also described. **Table 2-12** below describes hazards / hazardous events emanating from short-term electricity supply disruptions (i.e. disruptions less than one day long).

Table 2-12: Hazards / hazardous events emanating from short-term electricity disruptions.

Hazard / hazardous event	Hazard / hazardous event description	Risks associated with hazard / hazardous event
Load	Low frequency load	Water supply to reservoirs
shedding	shedding	interrupted < 1 day
	High frequency load shedding	• Water supply to pressure towers interrupted < 1 day
Planned	Planned maintenance	• High lying areas supplied by booster
activities	Scheduled upgrading,	pump systems without water
resulting in	refurbishment or	• WTWs without backup power can't
electricity	construction activities	continue to operate
disruptions		• Pump stations without backup power
Unplanned	Unplanned maintenance	can't continue to operate
activities	(e.g. theft, vandalism,	• WWTWs without backup power can't
resulting in	breakdowns)	continue to operate (wastewater
electricity	Substation power trips (e.g.	spills)
disruptions	due to varying electricity	Wastewater pump stations without
	demand )	backup power generation can't
		continue to operate (wastewater spills)
		• If adequate warning isn't given this
		can result in damage to water
		infrastructure (water hammer, pump
		trips, etc.)
		• Affected population without water < 1 day

**Table 2-13** describes hazards / hazardous events emanating from medium-term electricity supply disruptions (i.e. disruptions longer than one day and up to a week).

# Table 2-13: Hazards / hazardous events emanating from medium-term electricitydisruptions.

Hazard / hazardous event	Hazard / hazardous event description	Risks associated with hazard / hazardous event
Distribution failures	Breakdown of electricity generation infrastructure Breakdown of electricity distribution infrastructure	<ul> <li>Water supply to reservoirs interrupted up to 7 days</li> <li>Water supply to pressure towers interrupted up to 7 days</li> </ul>
Blackouts	Successful pre-blackout preparation and successful islanding <sup>1</sup> of electricity infrastructure (warm start within a few days)	<ul> <li>High lying areas supplied by booster pump systems without water</li> <li>WTWs without backup power can't continue to operate</li> <li>WTWs without sufficient chemicals stored can't continue to operate</li> </ul>
	Unsuccessful pre-blackout preparation and islanding of electricity infrastructure but with minimal infrastructure damage (cold start <sup>2</sup> within 7 days)	<ul> <li>Pump stations without (or with insufficient) backup power can't continue to operate</li> <li>WWTWs without backup power can't continue to operate (wastewater spills)</li> </ul>
Criminal activities	Theft, vandalism, terrorism, sabotage or war	<ul> <li>Wastewater pump stations without backup power generation can't continue to operate (wastewater spills)</li> <li>If adequate warning isn't given this can result in damage to water</li> </ul>
Natural disasters	Lightning strikes, earthquakes, floods	<ul> <li>infrastructure (water hammer, pump trips, etc.)</li> <li>Affected population without water for up to 7 days (important to note is that a 7 day event not mitigated effectively can have severe long-term effects as the result of the intangible risks associated with water supply interruptions)</li> <li>Protest action &amp; civil unrest</li> <li>Hospitals without water</li> <li>Fire brigades without water</li> </ul>

# <u>Notes</u>:

- 1 Islanding is the process whereby a thermal power station is taken out of the national grid to generate only enough power to keep itself running. Hence, since its boilers are kept hot, it can be brought back on line after a blackout much more quickly than would be the case if it had to shut down entirely requiring a cold start initiated from one of the few national Black Start facilities.
- 2 A cold start of a power station means that it has to start with cold boilers. This is a lengthy process. A warm start implies that there is still residual heat left in the boilers, requiring a shorter period to heat up to operating temperature. However, a substantial external power source is still required to get its coal handling and other equipment back into operation and sustain it long enough for the power station to come back into operation.

**Table 2-14** describes hazards / hazardous events emanating from long-term electricitysupply disruptions (i.e. disruptions longer than a week).

Hazard / hazardous event	Hazard / hazardous event description	Risks associated with hazard / hazardous event
Distribution failures Blackouts	Breakdown of electricity generationinfrastructureBreakdown of electricitydistribution infrastructureUnsuccessful pre-blackoutpreparation and islanding1 ofelectricity generating infrastructurebut with minimal infrastructuredamage (cold start not possiblewithin 7 days)Unsuccessful pre-blackoutpreparation and islanding ofelectricity infrastructure withserious infrastructure damage (cold start not possible within a few	<ul> <li>Water supply to reservoirs interrupted longer than 7 days</li> <li>Water supply to pressure towers interrupted longer than 7 days</li> <li>High lying areas supplied by booster pump systems without water</li> <li>WTWs without backup power can't continue to operate</li> <li>WTWs without sufficient chemicals stored can't continue to operate</li> <li>Pump stations without (or</li> </ul>
	weeks) Black Start facilities damaged (cold start could take up to a few months)	with insufficient) backup power can't continue to operate

Table 2-14: Hazards / hazardous events emanating from long-term electricity disruptions.

Hazard / hazardous event	Hazard / hazardous event description	Risks associated with hazard / hazardous event
Criminal activities	Theft, vandalism, terrorism, sabotage or war	<ul> <li>WWTWs without backup power can't continue to operate (wastewater spills)</li> <li>Wastewater pump stations without backup power generation can't continue to operate (westewater spills)</li> </ul>
disasters	Earthquakes, noods, solar hares	<ul> <li>operate (wastewater spills)</li> <li>If adequate warning isn't given this can result in damage to water infrastructure (water hammer, pump trips, etc.)</li> <li>Affected population without water for longer than 7 days</li> <li>Protest action &amp; civil unrest</li> <li>Hospitals without water</li> <li>Fire brigades without water</li> <li>All other emergency services without water</li> </ul>

# 2.9.3 Risk estimation

For the estimation of risks as part of the case study a quantitative risk estimation approach was followed rather than a qualitative approach. This is due to the fact that cost vs. benefit calculations made as part of the case study took into consideration the actual estimated duration and recurrence intervals of risks identified. Following a qualitative risk analysis approach would not have been as suitable for the case study's risk analysis for the following reasons:

- The duration and recurrence interval of risks identified would not necessarily have been estimated in detail as they could only be ranked against each other based on the risk assessor's perception,
- Due to the fact that risks are only ranked against each other, it would place less emphasis on accurately estimating risks' duration and recurrence intervals.

**Table 2-15** summarises the risk estimation on risks identified as part of the hazard /hazardous events identification process.

Event	Extent	Duration	RI (years)	Consequences1
Load Shedding				
Low frequency load shedding	Gauteng municipalities	2-4 hours	<1 to 5	RW and Tshwane reservoir storage sufficient. Cost low.
Higher frequency load shedding	Gauteng municipalities	2-4 hours	10	Low-medium cost.
<b>Distribution Failure</b>				
Affecting RW pumps	One major	1 day	10	Low cost
	booster pump	5 day	20	Low-medium cost
	station (About 20% of Tshwane's supply)	10 day	40	Medium cost
	Two major	1 day	20	Low-medium cost
	booster	5 day	40	Medium-high cost
	stations or Vereeniging main station. (About 40% of Tshwane's supply.)	10 day	80	High cost
	Three booster	1 day	30	Medium cost
	stations or	5 day	60	High cost
	Zuikerbosch main station (About 60% Tshwane's supply)	10 day	120	Very high cost
Affecting Tshwane	Local	1 day	5	Low cost
	substations	7 day	20	Low-medium cost. Severity depends on gravity supply from RW and other own sources.
		30 day	50	Low-medium cost
	One main	1 day	20	Low cost

Table 2-15: Estimation of risks identified (for CoT)

7 day

50

High cost

substation

Event	Extent	Duration	RI (years)	Consequences1
	(High lying	30 day	100	Very high cost.
	areas that			Major civil unrest.
	cannot be			
	supplied by			
	gravity by RW.			
	New area to			
	north			
	particularly			
	vulnerable.			
	wwwiws.j			
Blackout		2.1	20	N 1: 1:1 .
Islanding successful,	To get Gauteng	2 day	38	Medium-high cost.
no serious damage to	back up. Longer			Civil unrest.
infrastructure.	for rest of			
(Operating failure)	country.	7		II: alt as at
Islanding	Longor	7 day	55	High cost.
unsuccessiui, no	Longer			Serious civil unrest.
infractructure	recovery time.			
(As above operator				
strike or lower				
intensity solar flare				
with adequate				
warning.)				
Infrastructure	Longer	7davs	50	Extremely high cost.
damage.	recovery time.			Loss of life.
(High magnitude solar	5			Socio-political
flare with inadequate				collapse.
warning, computer				-
attack, etc.)				
Black Start facilities	Much longer	>30 days	155	Extremely high cost.
damaged.	recovery			
(High magnitude solar				
flare without warning,				
sabotage, high				
altitude EMP device,				
natural disaster.)				

#### Notes:

- 1 It is difficult to isolate the economic damage due to failure to supply water to industries and commercial users since in most instances the loss of electrical supply will also shut them down. In Tshwane's case, however, disruption of RW's supply due to electrical distribution failure would not affect Tshwane's electricity supply, in which case the entire economic cost would be attributable to the disruption of the water supply. Similarly an electricity disruption in CoT may not necessarily affect the water supply (it will depend on the location and duration of the disruption event relative to the days of storage available in reservoirs).
- 2 At the higher durations of electrical supply disruption the economic cost is superseded by the direct threat to human life and the ensuing civil unrest. This is particularly so in heavily populated poor communities bereft of water storage (in swimming pools, etc.) and where residents lack the financial resources to exploit alternative resources. In this regard a major blackout of more than a few days could easily lead to violent regime change. Civil unrest arising from the a major disruption of the water supply due to prolonged electrical distribution failure to even a single major metro such as Tshwane could spill over into wider national civil unrest.
- 3. Recurrence intervals for types of blackout have been estimated as per the rationale given in **Table 2-16**.

Fuont	Dura-	Causa	Pationalo	RI
Event	tion	Cause	Kationale	
1. Islanding	2 day	1.1 Operating	An operating failure is considered unlikely due to	150
successful, no		failure	Eskom's being a single utility with unique experience	
serious			related to the long period of rolling blackouts.	
damage to			Assume a 1:100 year RI and assume that successful	
infrastructure.			islanding has a ¾ probability of occurrence. (Hence	
(Operating			unsuccessful islanding can be expected to have a 1⁄3	
failure,			probability – Cause 2.1.) Assume no significant	
Executive			infrastructure damage to most of Eskom's fleet.	
order)		1.2 Executive	A politically motivated executive order to Eskom	50
		order	control management preventing them from	
			implementing high level rolling blackouts is the	
			surest method of precipitating a national blackout.	
			This risk has receded somewhat due to the recently	
			installed generating capacity but could recur due to	
			financial melt-down or a resurgence of the economy.	
			This risk of political pressure has increased due to	
			increasing political instability and fear of the ruling	
			party losing power.	

Table	2-16:	Estimated	recurrence	intervals	associated	with b	lackout events
IUDIC	<b>1</b> 10.	Louinacea	i ccui i cnec	meet van	ussociated	WICH D	nuchout cvents

Event	Dura- tion	Cause	Rationale	RI (yrs)
		Combined risk		38
2 Islanding unsuccessful, limited damage	7 day	2.1 Operating failure	See above (Cause 1.1).	300
to infrastructure. (Operating failure,		2.2 Operator strike lasting more than 18 hours	There is a high level of loyalty amongst the operators and there has never been such a strike. Hence a relatively low risk has been assigned.	200
operator strike, High magnitude solar storm with adequate warning, sabotage, biological attack.)		2.3 High magnitude solar storm with adequate warning	The Carrington solar flare of 1859 was the most severe on record. The manual telegraph had only been invented 20 years earlier and hence transmission lines were short (reducing the effect of induced currents). Had this occurred now the effects would have been much more severe. Two other events that would have produced severe damage to modern communications and electricity supply systems occurred in 1882 and 1921. Hence 3 such events have occurred over the last 158 years, placing it as approximately a 1:53 year event. (As recently as 2003 a solar flare of similar magnitude to the Carrington even occurred, but fortunately it was on the opposite hemisphere of the sun. This could be regarded as a 50:50 miss, but since it missed the earth it has not been included in the statistics. A number of smaller magnitude events affecting smaller regions have also been recorded, but it is difficult to estimate their probability of occurrence over South Africa and their likely consequences. ) The electromagnet pulse from such a flare travels slower than the speed of light and takes about 18 hours to reach the earth's atmosphere. Observation Satellites placed in deep space are unaffected since there are no ions that can be aligned to form a strong direct current. The circuitry in the satellites is also too short to form an induced current strong enough to affect them. Hence earth observers have 18 hours of warning. Eskom monitors transmissions and should therefore have enough warning to isolate transformers and generating plant. However, ions in the upper atmosphere will be aligned to form very strong electrical fields that will persist for days after	80

Event	Dura- tion	Cause	Rationale	RI (yrs)
			the solar flare has passed. This will mean having to keep Eskom's infrastructure shut down for a few days, creating a nation-wide blackout for a few days. This shut down should limit damage, although strong induced currents in our long transmission lines could cause damage. It is also possible that advance warning systems or their monitoring by Eskom could fail. Protection systems are also designed to handle alternating current and may not offer sufficient protection against strong induced direct current. Hence damage to electrical infrastructure may still occur. Telecommunication systems are particularly prone to serious damage due to induced currents in long cables. This too could affect electricity supply and telemetry systems used in water supply systems. The assumption has been made that there is likely to be adequate warning and a <sup>2</sup> / <sub>3</sub> probability of not incurring serious infrastructure damage. Hence the	
		24 Sabataga	recurrence interval for insignificant infrastructure damage would be 1:75 year.	500
		2.4 Sabotage	Sabotage would cause a sudden drop in either electricity supply or load. However, it would probably require multiple simultaneous attacks to cause a national blackout. It is unlikely that it would be successful.	500
			The biggest risk appears to be an attack on Eskom's control centre, which is not inconceivable if a fanatical terrorist group is involved. The current threat level appears to be low, but it cannot be discounted since political unrest might escalate. It would also require only a small group of determined fanatics. A RI of 1:500 years has been assumed.	
		2.5 Biological attack	The Swiss ministry (Hohl et al., 2013) estimates the RI of an epidemic / Pandemic at about 1:55. The probability of such an event affecting Eskom's specialist operating staff is very low. However, the risk of a terrorist group specifically targeting this small group of specialist staff with a virulent biological agent is much higher. Infecting just one	500

Event	Dura- tion	Cause	Rationale	RI (yrs)
			staff member would suffice, seeing as they would rapidly infect other members of their group working together in a secure confined space. Then again, this would depend on motive, which in the case of South Africa might be quite low. Hence a relatively low RI of 1:500 has been chosen.	
		Combined risk		44
<ol> <li>Infrastructure damage</li> <li>(High magnitude solar storm, Cyber-attack, Asteroid strike)</li> </ol>	10-15 days	3.1 High magnitude solar storm with significant infrastructure damage	See discussion on Cause 2.3. It is important to recognise that such an event would have extensive world-wide impact. Hence major transformers or generating units would be difficult to source, since the first priority of overseas suppliers would be to replace vital infrastructure in their own countries.	200
		3.2 Cyber attack	A cyber-attack aimed at South Africa can be expected to damage infrastructure since it would occur without warning and would be malicious and therefore deliberately aimed at doing the greatest possible damage. Although difficult to quantify because of the secrecy involved the risk keeps increasing due to the large and escalating number of new computer viruses introduced every day, together with the determined efforts of well-resourced national military establishments and the increasing sophistication of terrorist organisations. In time the risk to Eskom could be increased by the trend towards renewables and the concomitant move towards a smart grid to allow rapid switching of power sources to account for frequent sudden shifts in generation output from intermittent solar and wind sources. Such computer based systems are more vulnerable to cyber-attack. The RI for present conditions has been set quite low for the current at 1:200.	200
		3.3 Asteroid strike	The 1908 asteroid explosion over Siberia that devastated an area with a radius of 30km is estimated to have been a 1:100 RI event. However, the surface area of the earth is 3.25x10 <sup>12</sup> km <sup>2</sup> , which	1.6x 10 <sup>10</sup>

Event	Dura- tion	Cause	Rationale	RI (yrs)
			means that the RI of such a strike hitting a sensitive	
			target such as Megawatt Park or the heart of the	
			power generating area (say about 20 000 km <sup>2</sup> ) in the	
			Mpumalanga Highveld is minute.	
		Combined risk		100
2. Black start	months	4.1 High	See discussion on Causes 2.3, 3.1 and 4.1. The	300
facilities		magnitude	combined RI for all 3 duration events comes to 1:53	
damaged		solar storm	year (see discussion on Cause 2.3). A lower	
(High magnitude			probability has been assigned to a solar storm	
solai storin, nign			causing damage to South An ica's two black start	
device)			should be available. (Although long nower lines and	
uevice.j			municipal infrastructure might still be at risk	
			Communications systems will be extremely	
			vulnerable.)	
		4.2 High	Detonation of one or more high altitude nuclear	400
		altitude EMP	devices would have a similar effect to a high	
			magnitude solar storm. This is not a theory, EMP	
			devices magnitudes of power smaller than current	
			hydrogen bombs were tested twice in 1952, once by	
			the USA over the central Pacific Ocean and once by	
			Russia over a remote part of Siberia. The first	
			destroyed one-third of all satellites in low orbit	
			(affected by the ionosphere) and caused electrical	
			damage 1450 km away Hawaii. The second fused 570	
			km of telephone cable, shut down 1000 km of buried	
			cable and burned down a power plant.	
			Such an event aimed at inflicting damage to an	
			enemy's communication and electricity systems	
			would be a prime target for one or two hydrogen	
			bombs in the event of a nuclear conflict. It would also	
			be an attractive target for a small rogue state, such as	
			North Korea. It is important to observe that an EMP	
			(Electro Magnetic Pulse) device would affect a very	
			wide target area, as well as setting off a mirror image	
			pulse on the other side of the earth. Hence we are	
			unlikely to be immune even if not directly targeted.	
			The effects also last some time (a few days) due to	
			the persistence of the magnetic field induced in the	
			ionosphere. Unlike a solar flare, there is no warning	
			of an EMP detonation. Hence extensive damage to	
			electrical infrastructure can be expected. It is likely	

Event	Dura- tion	Cause	Rationale	RI (yrs)
			that such damage will include equipment at SA's two Black Start facilities.	
			Seeing as an EMP is within the grasp of even small nations, and perhaps even well-funded terrorist groups, and the target need not be South Africa or	
			even in the Southern Hemisphere, the probability	
			must be bigger than that of a nuclear attack on SA. Hence a probability four times higher than that of a	
			direct nuclear attack on SA has been adopted.	
		4.3 Nuclear war	The risk diagram published by Hohl et al. (2013) gives the RI for nuclear attack on Switzerland as	1600
			about 1:800 years. Although Switzerland is a low risk	
			target, it is located in Europe, which is at greater risk	
			and attacks on neighbouring countries would	
			inevitably affect Switzerland as well. Being located in	
			the southern hemisphere, which is devoid of nuclear	
			weapons, South Africa should be at lower risk.	
			However, South Africa did once possess nuclear	
			weapons and therefore has proven capability to do it	
			again. It is therefore possible that one or more	
			nuclear nations might have an ICBM with multiple re-	
			entry vehicles targeted on South Africa. (After all,	
			there are tens of thousands of hydrogen bombs that	
			are still operational, presenting foreign powers with	
			a lack of vidble targets. Even conventional at lines of	
			would escape unscathed after a devastating nuclear	
			exchange between super powers must present as a	
			threat to nations that know that their military	
			capability would be seriously weakened after such an	
			exchange. Hence we cannot assume that the risk to us	
			is negligible. A RI twice that estimated for	
			Switzerland is therefore assumed.	
		Combined risk		155

# 2.9.4 Defining risk acceptance and tolerability criteria

Risk acceptance criteria should be defined by the City of Tshwane (as the Water Service Authority) working with Rand Water and Magalies Water (as the Water Service Providers).

It is important that risk acceptance criteria be defined taking current infrastructure into consideration as existing electricity – and water infrastructure – already has a certain level of mitigation built into the system.

This is illustrated in the following examples:

- Current reservoir storage capacity design standards specify between one and two days' available storage capacity in reservoirs based on the reservoir supply zone's Annual Average Daily Demand (AADD).
- Most wastewater pump stations that convey sewerage to WWTWs for treatment will already have backup power generation facilities and / or emergency storage capacity for wastewater if an electricity disruption event or a pump failure occurs.
- In terms of bulk water supply into the City of Tshwane from Rand Water, supply will still be possible even if some of the booster pump stations supplying the City of Tshwane with water are affected by electricity disruption events. Even if Rand Water's entire infrastructure is down due to electricity disruptions, the city may also still be supplied from its own sources and from Magalies Water (depending on the extent of the electricity disruption event).

Risk acceptance criteria are based on the following variables:

- a) The extent of the electricity disruption event (i.e. the number of end-users affected),
- b) The duration of the electricity disruption event (i.e. the period that end-users will be affected),
- c) The probability of civil unrest due to an electricity disruption event,
- d) The probability that water supply and distribution infrastructure will be damaged due to an electricity disruption event, and
- e) The probability that an electricity disruption event will result in water quality issues.

Risk acceptance criteria are discussed in more detail below:

a) Extent of electricity disruption events

The extent of the electricity disruption event influences the number of water users that are affected by water supply interruptions. Given that the City of Tshwane has a certain number (unknown) of water tankers that can deliver potable water to affected areas; a certain degree of risk in terms of the extent of electricity disruptions is acceptable. In other words, the number of water users that can be supplied with water in the event of an electricity disruption utilising the city's current water tanker fleet will determine the acceptable risk in terms of the extent of electricity disruptions.

Furthermore, the location of an area with water supply interruptions due to electricity disruptions is also important. It may be more problematic to address high-lying areas that are supplied from booster pump stations or elevated pressure towers than lower areas supplied from larger ground level elevation reservoirs.

b) Duration of electricity disruption events

Reservoirs in the City of Tshwane should theoretically have sufficient storage capacity to accommodate the reservoir zones' demand for a period of two days based on the zones' AADD. Therefore, electricity disruptions of up to two days shouldn't pose too much of a risk given that reservoirs are kept at the required levels and that reservoirs are sized in accordance with the zones' demand.

Risk in terms of water supply interruptions due to electricity disruptions that last less than two days can therefore be accepted as the existing infrastructure should accommodate this<sup>1</sup>. (Provided water restrictions are imposed early enough, especially in hot weather.)

c) The probability of civil unrest during an electricity disruption event

If an electricity disruption event results in water supply interruptions of middle- to higher income residential areas, there will be a lower chance of civil unrest than if the event interrupted water supply to lower income areas. The reason for this is that medium- to higher income citizens will probably be better equipped to get water during emergencies. The area that is affected by water supply interruptions due to an electricity disruption event will therefore affect the probability of civil unrest.

<sup>&</sup>lt;sup>1</sup> This is only applicable to areas that are supplied from reservoirs via gravity.

Furthermore, the emotional status of the city's population (especially the lower-income fraction) can also have an effect on whether an electricity disruption induced water supply interruption results in civil unrest. For instance, if the general mood of the city's population is not in agreement with the current status of the country, the province or the city, civil unrest will occur a lot more rapidly than if the population's general mood is content.

d) Existing infrastructure's resilience to damage due to electricity disruptions

Water pipelines and pump stations are designed to accommodate the effect of electricity disruptions on the infrastructure. These effects include pressure surges (water hammer) in pipelines, pump trips and failure of electronically actuated valves to open / close. Old, deteriorated pipelines or pipelines that were not designed according to the maximum surge pressures of a system, can be damaged by these effects.

Since probably all of the City of Tshwane's water infrastructure have already been (and will in future be) subjected to the effects of electricity disruptions; it is relatively safe to assume that most of the existing water infrastructure will not be damaged due to electricity disruptions. Therefore the effect of electricity disruptions on water infrastructure can be seen as an acceptable risk (apart from specific areas with old, deteriorated or poorly designed infrastructure).

e) The probability that an electricity disruption event will result in water quality issues.

Water treatment works may have sufficient backup power generation capacity and stored chemicals used for treatment to continue treating water in the event of an electricity disruption. In this case, an electricity disruption event will not result in water quality issues in terms of water supply.

Wastewater treatment works and pump stations can also have sufficient backup power generation and / or emergency storage facilities to ensure that raw sewerage is not spilled resulting in pollution and environmental degradation in the event of electricity disruptions.

However, if the duration of an electricity disruption event is too long or there are insufficient measures in place (e.g. emergency storage, backup power, chemical storage for water treatment, etc.); electricity disruption events can adversely affect water quality.

Therefore, acceptable risks in terms of the impact that electricity disruption can have on water quality occur where the existing infrastructure can accommodate electricity disruption events. This in turn is affected by the duration of the event.

#### **3 INSTITUTIONAL ARRANGEMENTS**

#### 3.1 Current institutional arrangements

#### 3.1.1 Introduction

Existing institutional arrangements between Eskom, Rand Water and Tshwane to mitigate the effect of electricity disruptions on water supply will be examined and evaluated. These arrangements are summarised in this section.

Eskom, Rand Water and Tshwane's management approach and institutional capacity influences the impact and extent of power failures and sustainability of water supply. In the following paragraphs feedback is provided on discussions which were conducted with these organizations.

#### 3.1.2 Eskom's preparedness

Eskom's has in place an operational plan on handling load shedding, the actions taken to reduce the risk of widespread blackout and how such an occurrence would be handled. A meeting was held with Mr Robert Koch and Malcolm van Harte on 8 June 2016 at which presentations and discussions took place (R Koch, personal communication, 2016).

a) Load shedding

Load shedding comprises actions taken by Eskom to reduce power demand to manage imbalances between electricity demand and available electricity supply. This is particularly important since an imbalance between supply and demand could cause uncontrolled blackout of an entire region, or even the cascading blackout of the entire national grid. The ensuing need for a cold start could take several days to restore and has to be avoided at all costs due to the dire consequences.

Load shedding is invoked after the demand curtailments provided for in terms of agreements with certain major power users have been invoked, which allow curtailments of up to 10% of Eskom's total power demand. Hence the general public only experiences load shedding after large power consumers have already faced much larger power curtailment. In effect the absolute level of curtailment to the large power users is often more severe than that experienced by the public through load shedding. This arrangement holds advantages for the industries involved since they get paid for not using part of their electricity (which is particularly advantageous under current constrained market conditions) and gain valuable warning. Two hours warning has to be given to such consumers so that they can bring miners to the surface, in the case of mines,

or to finish a pour and empty their pot lines before they solidify, in the case of iron and steel works and aluminium smelters.

The main advantage for Eskom is being able to rely on this source of demand reduction before having to inconvenience much of the national population. Eskom is also able to manage such reductions themselves.

The first four stages of load shedding differ in that their application is out of the hands of Eskom and are essentially voluntary actions carried out by municipalities, which run a rotation system of rolling blackouts of different municipal electricity demand zones to achieve the desired reduction in power demand.

Municipalities are usually placed on alert for 15 minutes, followed by a final instruction 15 minutes before the actual commencement of load shedding to allow them time to make the necessary switch-overs. The major metros can achieve this quickly since they can control their substations from a central control centre. The notable exception is Ekurhuleni, which has to rely on manual switching that requires operators to physically drive out to every affected electricity supply zone to manually switch off the power supply. The same has to be done to switch power back on when the rolling blackout period for each block ends, and switch off the supply to the next blocks of demand zones. The four commonly known levels of load shedding are as follows:

- Level 1: Power demand reduced by further 1000 MW (over and above the load shedding already applied to the large power users),
- Level 2: Power demand reduced by further 1000 MW,
- Level 3: Power demand reduced by further 1000 MW, and
- Level 4: Power demand reduced by further 1000 MW.

The above 1000 MW power reduction steps for each restriction Level are approximate, since they are also related to the total power availability. Load shedding requires a municipality to cut off electricity supply to enough supply zones to meet its allocation. In nearly all instances these cut off blocks are scheduled to last for 2 hours, although Johannesburg has chosen to implement 4 hour time blocks. Although Ekurhuleni would very much like to use 2 hour blocks, they are forced to adopt 3 hour blocks to accommodate their manual operating procedure (which already represents something of a traffic hazard from vans speeding to substations).

If the condition requiring the rolling blackout lasts longer than the block length, then power is restored to the affected zones and new zones are switched off according to a pre-planned roster. There is a long time gap between the scheduled Level 1 blocks. Successive curtailment levels of rolling blackout result in shortening the period of full supply to each block.

The roster carries on from where it left off when the next load shedding event occurs, thereby sharing the inconvenience equitably. To date it has not been necessary to advance beyond level 2 load shedding.

It was always recognised that circumstances could arise that require more levels of rolling blackout. Hence there are a further 4 levels of blackout (Levels 5 to 8), each adding another 1000 MW to the curtailment. While this is adequate to limit fairly predictable rises in diurnal peak power demand, it may not be rapid enough to deal with a sudden loss of generating capacity.

Up until now load shedding has not imposed insurmountable problems for water supply. This is partially due to the biggest winter peak power demand periods not overlapping with summer peak water demand periods. Hence, a two to four hour cessation of pumping, combined with 48 hours of storage in municipal reservoirs, may not necessarily prevent the refilling of reservoirs sufficiently to inhibit meeting peak water demands. Even if the load shedding were to occur during hot dry summer conditions that do impair the ability to meet peak water demands, this need not represent a major water supply crisis, since the pumping failure would be temporary and there should still be sufficient water supply to satisfy industrial water requirements and the basic needs of domestic users. However, this presupposes correct management of the water supply system to prevent high lying areas from running dry while other areas continue to water gardens without restriction.

Moreover, larger metros have the ability to control which zones are switched off and can thereby to some extent protect national key points. However, smaller municipalities do not have this option, since the entire municipal area could comprise too few zones. Even in larger metros the number of national key points and sizing of zones might be too large to enable them to exclude every purification works, pump station, hospital, clinic and other national key point from load shedding.

Hence, after level 8 is exceeded, or before that if necessitated by a sudden drop in generating capacity, it could become necessary for Eskom to take over and implement rapid emergency measures to keep the grid stable and prevent a more damaging regional or national blackout. This could include Eskom without warning rapidly switching off the power supply to entire supply zones or even entire cities.

Such emergency measures cross over a grey area between rolling blackout and regional or national blackout.

This could grow to the extent of having to black out entire regions, with other regions continuing to be supplied by designated power stations that are still operating. Eskom operators and automatic control systems have the authority to implement such actions as quickly as is required to stabilise the grid to prevent a total blackout.

b) Regional or national blackout

A regional or national blackout differs fundamentally from a rolling blackout in that it would require restarting some or all of the power stations. The most likely cause of a national blackout would be an unforeseen sequence of events that result in a cascading collapse of the transmission/generation system. This is a low likelihood, high impact incident. Such an incident can occur with very little or no warning. Restoration of the first loads would take several hours and that for the entire system could take multiple days or weeks, depending on the context.

In the worst case a national blackout would require a cold start of all thermal power stations. Since South Africa does not have adjacent power grids that can be relied upon to help, reliance has to be placed on a power source, such as hydro generators, that can produce enough power and sustain it long enough to run the auxiliary works to get the first thermal unit back in operation.

At present there are only two such power sources that can be relied upon to provide sufficient power and sustain it long enough to achieve this. Provided at least one of these two sources is operational, the first major generating unit can be brought back into operation. The power provided by this unit can then be used to start up adjacent stations, which can be synchronised to generators already in operation, and so on.

c) Blackout prevention

Eskom has established and regularly rehearses multiple layers of protection to prevent a blackout and to recover after various scenarios of incident as illustrated in **Figure 3-1**.



Figure 3-1: Blackout prevention, response and recovery barriers

The first two barriers represent normal operation.

The third Manual Response barrier includes curtailment of large industrial users and the first four stages of load shedding. After that comes Automatic Protection, during which up to seven stages can be invoked automatically within less than a second to respond to a sudden loss of generating capacity. The first automatic stage typically occurs once per year, as illustrated in **Figure 3-2**.

It is important to note that the Manual Protection and Automatic Protection barriers are not necessarily preceded by Curtailment of large power users or load shedding. A sudden loss of generating capacity happens far too quickly and requires immediate response by Eskom themselves. Sometimes under such circumstances there is not even enough time to issue warnings.

After these stages are exhausted a blackout occurs.



Figure 3-2: Automatic under-frequency protection system

d) Eskom response

Once a blackout occurs the emphasis shifts to rapid response and recovery in the shortest possible time.

Eskom has developed a system to rapidly respond to a sudden loss of part of its generating capacity, or exceedance of the capacity by rising peak power demands. This system has been well honed by the energy crisis that prevailed from 2007, making use of rolling blackouts to balance available supply with demand and keep the electricity supply system stable. Beyond the experience envelope of Phase 1 and 2 rolling blackouts and a similar extension to Phase 3 and 4 rolling blackouts, Eskom has procedures in place to allow operators to rapidly cut supply to entire macroscopic zones, if necessary.

If entire regions are blacked out, provision has been made for suitably equipped generating units with trained staff to operate as small independent "islands" (see **Figure 3-1**), essentially supplying their own requirements to keep their boilers hot and producing stream. This is important since restarting a coal-fired power station from a cold start can take over 16 hours. It also carries greater risk. The main risk of a cold start lies in obtaining an external power source large enough to run all the essential auxiliary

plant (coal handling, water supply, etc.) for several hours until enough steam is raised to drive the power station's turbines so that the power station becomes self-sustaining.

Only two Black Start Facilities are available nationally to do this. If these are not available, then restarting South Africa's power stations could take several months, since there are no adjacent power grids that can be drawn on to start up the power stations. Hence keeping power stations running as small independent islands is far preferable to having them shut down entirely. There is the risk that successful islanding of some or all of the power stations may not be possible.

A warm start describes an intermediate condition where the boilers still retain some heat, meaning that a restart will not take as long as would be the case with a cold start. However, an external power source would still be required to run the ancillary works until enough steam is produced so that the turbines can be run. Hence, the sooner hot or warm power stations can be restarted the better.

Power stations that have been successfully islanded can then be used to build network "rings", which can be expanded to incorporate more users and eventually be merged to supply larger regions and eventually the entire nation.

The final barrier is a well-planned and practiced Restoration Plan. It is essential to prevent a failed black start, since this would mean having to start again from scratch.

e) Duration of blackout

In the extreme case of a national blackout, it could take 14 days to bring all the generating units back on stream (provided that the cause of the blackout itself does not damage the grid or essential generating plant).

Restoring power to Gauteng is considered a very high priority, since 12 million people inhabit the region and it is the economic hub of the nation. Eskom has considered two major possibilities in such an event to head off major social upheaval: (a) Evacuate Gauteng or (b) Restore the power as quickly as possible.

The first option has been rejected as impractical, leaving the only option to restore power as quickly as possible. This is extremely important for water suppliers to appreciate.

Interestingly, the restoration of power to national key points, such as water purification and pumping systems is not considered the first priority. The first priority is to protect the few power stations that remain in operation (or those that have been restored first), starting from small circles around each isolated station, primarily to supply the station's own operating needs in as stable a manner as possible. (This is like lighting a cold candle on a windy day.) The supply areas would then be carefully increased, all the time ensuring that the power supply is kept stable. During this process the first priority is actually domestic supply because this provides the most stable and predictable form of resistance, due to the presence of a large number of household water heating units. (However, it is not clear where the water in the heating units would come from before the water supply is restored?) This is an important requirement since the supply to many industries and national key points, such as pumping installations, is thought to be more variable and hence more likely to cause electrical instability and precipitate a secondary blackout when the power output is still low.

Once a large amount of more stable power is produced the variation in the water pumping power demand is small compared to the total and therefore less likely to cause a secondary blackout. Only then will national key points be prioritised. This too is an important factor affecting the length of time of power outages that have to be spanned by other means.

It is thought that the systems and procedures introduced by Eskom can restore 50% of the power requirement of Gauteng within 2 to 3 days (provided at least one of the Black Start Facilities is operational). It is possible, however, that some key pumping installations and water works may not be included in this 50%. Eventually the growing islands of supply areas would merge as one station and zone is synchronised with another.

Getting the Sasol petrol from coal complex back into operation after a blackout could take 2 to 3 weeks, hence severe fuel shortages can be expected. This may affect fuel supplies to standby generators.

It must also be appreciated that events such as a solar flare, sabotage, computer virus attack or nuclear war (e.g. detonation of Electro Magnetic Pulse (EMP) devices) could lead to extensive damage to plant and infrastructure, which could extend the duration of the blackout considerably (unlike a solar storm, there would be little or no warning of the impending EMP).

Sabotage that affects the two Black Start generating facilities that Eskom relies on to initiate a cold start could result in a blackout running to several months due to the inability to supply enough power to restart the first generating unit.

Damage to generating plant, large transformers and transmission lines could also cause long delays in restoring the electricity supply to entire regions.

#### f) Consequences

As discussed earlier, the consequences of disruption of electricity supply for water supply for any length of time are extremely serious.

# g) Load shedding of critical loads

The National Code of Practice: Emergency Load Reduction and System Restoration Practices (NRS 048-9 Ed.1: 2010) specifically deals with how load reduction can be implemented. The various critical loads and the load scheduling applicability to these are listed in Table 3-1.

Table 3-1: Nati	onal code of <b>j</b>	practice – Eme	rgency loa	d reduction and	l system	
restoration practices – load scheduling of critical loads						

Load	Scheduled for load shedding	Protocols before/during shedding	Comment		
Airports	Yes	Yes / Yes	Airports require on-site backup supplies as a legal requirement		
Rail(Commuter)	No	None	Where the power supply system allows for this		
Rail (Long distance)	Yes	None	May be treated as curtailment loads where practicable		
Traffic lights	Yes	None	The treatment of high, medium, and low impact traffic lights is addressed		
Water (Power stations)	No	None			
Water (Industrial)	Yes	None			
Water (Agricultural)	Yes	None	May be temporarily removed if a state of disaster is declared.		
Water (Potable)	No*	None	* Bulk supply systems		
Stadiums	Yes	No	May be temporarily removed in the event of a major event		
Sewage	Yes* Nor		* Unless the impact cannot be addressed		
Refineries	No None		May be treated as curtailment loads		
Fuel pipe lines	No	None			
Coal mines	No*	None	* Only those mines that supply power stations		
Education	Ves*	None	* Special arrangements may be made for		
	100		temporary removal at critical times		
Police	Yes	None	Adequate backup systems must be in place		
Telecom's	Yes*	None	* See requirements related to data centres		
Hospitals	Yes	Yes/Yes			
Clinics	Yes	None			
Data centres (National)	Yes	Yes*	* Hotline for customers should backup systems fail		

	Scheduled	Protocols		
Load	for load before/during		Comment	
	shedding	shedding		
Ports authorities	Yes	None		
Government	Voc*	Nono	* With the exception of the Union Buildings and	
Buildings	Tes	None	National Parliament	
Electricity Control	No	N / A *	* Control rooms are notified by default of load	
Rooms	INO	N/A*	shedding as part of the load shedding process	
NOTE: National key points in general are not by default considered critical loads. Application for				
temporary or permanent exemption needs to be made in terms of the criteria for critical loads.				

# 3.1.3 Rand Water's preparedness

Rand Water is the largest bulk water utility in Africa and is one of the largest in the world, providing bulk potable water to more than 11 million people in Gauteng, parts of Mpumalanga, the Free State and North West – an area that stretches over 18 000 km<sup>2</sup>.

Rand Water draws water from its catchments (described below) and purifies it for human consumption. The water is then supplied / sold to Municipalities, mines and industries.

The municipalities, e.g. Johannesburg Water, City of Tshwane, in turn supply the water, at a cost, to the consumers or individual households.

Since 1974, the Tugela-Vaal scheme has fed water into the Vaal River to supplement its supply. This is done by inter-basin transfer of water from the Tugela River in KwaZulu-Natal into Sterkfontein Dam in the Drakensburg. During the later stages of severe droughts water is released into the Vaal River system from the Sterkfontein Dam via the Nuwejaarspruit, Liebenbergsvlei River and the Wilge River. The availability of water from the Tugela-Vaal system made it possible for Rand Water to maintain restricted, but adequate, water supplies to consumers during major droughts – from 1983 to 1987 and in 1995.

The Lesotho Highlands Water Project (LHWP) also transfers water to the Vaal Dam. This entire project comprises six dams and three pumping stations. It diverts the flow of the Senqu River via tunnels through the Maluti Mountains, channelling the water to the Eastern Free State, and then on to the Vaal Dam.

The first phase of the LHWP was completed in 1998 and is designed to meet the demand for water in Gauteng up to the year 2020.

Water is also transferred from Heyshope Dam in the Usutu River and to a lesser extent from Zaaihoek Dam on the Slang River, a tributary of the Buffalo River in KwaZulu-Natal.

Rand Water operates a pipeline network some 3 056 km long, two big combined pumping and purification stations (at Vereeniging and Zuikerbosch), four booster pumping stations (Zwartkopjes, Palmiet, Mapleton and Eikenhof) and a number of enclosed reservoirs as shown in **Figure 3-3**. Two thirds of the value of this infrastructure, estimated to be worth about R30 billion, lies in the pipelines.

Rand Water abstracts water from the Vaal Dam and treats it at the Vereeniging and Zuikerbosch Purification and Primary Pumping Stations and then pumps it at a head of approximately 180 to metres to the main Booster Pumping Station, Zwartkopjes and its three satellite Booster Pumping Stations, Palmiet, Eikenhof and Mapleton.



Figure 3-3: Rand Water bulk supply system layout

Each Booster Pumping Station then elevates the water a further 180 meters to reservoirs in and around Johannesburg. From these areas the water flows under gravity and is repumped at distribution stations to the extreme boundaries of the supply area.

The water is supplied into 58 reservoirs. The core product is then delivered in bulk from the reservoirs to Rand Water's customers: three metropolitan councils, 15 municipalities,

the Royal Bafokeng administration, 45 mines and approximately 771 industries and direct consumers.

In the case of evaluating the supply for the City of Tshwane supply area it is clear that the more important systems are the Zuikerbosch Purification and Primary Pumping Stations and the Palmiet and Mapleton Pump stations. Although there are some cross connections which could feed water from the Vereeniging treatment facility.

Rand Water's preparedness to deal with the effect of electricity disruptions on water supply is handled by a specialised unit referred to as Business Continuity. This division focuses on Rand Water's continuous supply and various eventualities which could impact on its main function.

In March every year, Rand Water's management identifies and reviews major risks that the company will prioritise in the new financial year beginning in July. This is done through the process of risk assessment. Risks identified through this risk management process are prioritised based on probability and severity of the risk.

These risks are discussed and the responsibilities related to them get assigned to people that are most suited to manage them in terms of expertise and areas of responsibility. Mitigating strategies are designed and committed upon. The Risk Register is then taken through governance structures for approval. These structures include the Corporate Risk Committee and Portfolio Integrating Committee.

After approval by the Board of Rand Water (BRC), Management reports to the BRC on progress on the mitigation of risks in a meeting that is held quarterly. Thereafter, the Risk Report as well as any emerging or materialising risk is also discussed at the board meeting.

In the latest Rand Water Annual Report (Rand Water, 2016) twenty four risks were identified to have the potential of hindering achievement of Rand Water objectives in the period under review. A Strategic Risk Review Workshop was held facilitated by an independent service provider and the following Top Ten Strategic Risks emanated from that process (see **Table** 3-2).

Risk number	Risk name
1	Availability, reliability, reliance and quality of
	electricity supply, critical spares and chemicals
2	Encroachment over pipeline, servitudes and
	properties
3	Non-revenue water in the Rand Water and
	municipal systems
4	Capacity to supply sufficient volumes / inability to
	supply potable water to clients
5	Failure to supply quality potable water
6	Business Continuity
7	
	Extended area of service and products
8	Credit Risk
9	Supply Chain Management / process
10	Health and Safety

Table 3-2: The Rand Water top ten risk centres

Discussions with Rand Water indicated that RW does have policies in place to deal with interruptions. These policies are however not just specifically focussed on electricity interruptions but cover basically all potential impacts on meeting RW's objective of supplying potable water. All the various failure scenarios or potential risk scenarios are however not identified in detail.

a) How can the impact be measured?

The focus of this study is on identifying and mitigating the risk to water supply posed by a disruption of electricity supply. Electrical power supply is important within the total water supply and distribution environment.

Power supply failures have different causes, such as power generation plant failures, distribution system faults, substation failures, blown transformers, cable theft, faulty fuses, faulty breakers, lightning storms, natural disasters, etc.

• The electricity utility industry commonly uses the Institute of Electrical and Electronics Engineering (IEEE) reliability indices to track and benchmark power supply reliability. The IEEE Standard 1366-2003 defines reliability indices to foster uniformity in the development of electricity distribution reporting practices by utilities (Eto et al., 2008).

• The recently completed NRS 048-8 specification provides the requirements for reporting the network interruption performance of high voltage and extra high voltage networks in the South African Electricity Supply Industry. The aim of the specification is to evaluate and track the overall performance of South African electricity supply systems (Chatterton et al., 2009).

The two most frequently used indices are the System Average Interruption Duration Index (SAIDI) and the System Average Interruption Frequency Index (SAIFI) (Bollen et al., 2006; Chatterton et al., 2009, Jadrijev et al., 2009). The SAIDI index gives information about the average time that customers are interrupted during a period of one year, and it is commonly referred to as the customer minutes of interruption. The SAIFI index gives information about the average frequency of sustained interruptions per customer. Both these indices are normally reported over a time period of one year for a particular area.

b) Power Supply Reliability Measures

The characterisation of electricity supply performance is based upon the determination of the number of interruptions per year, as well as the sum of the duration of all interruptions during one year (Bollen et al., 2006). Network operators use different definitions to express power supply reliability.

Reliability of power supply is commonly measured making use of indices, such as amongst others, the SAIDI and SAIFI indices as defined by the Institute of Electrical and Electronics Engineering (IEEE).

To provide more detailed insight into the reliability of power supply of a bulk water supply utility, data collected by Rand Water (a Water Board in South Africa) from seven of its pump stations was obtained. Rand Water uses the term "trip" to define any failure of a pump unit to operate (irrespective of the cause).

Trips, in turn, are divided into internal and external trips (Fredericks et al., 2007).

- An internal trip is caused by failure of direct components of the pump or motor (mechanical, electrical or structurally related). An internal trip can be overcome by utilising a standby pump unit; and
- An external trip is associated with failure of power supply to the pump station itself.

As such, the reliability of supply considers their combined effect. In the event of an external trip, none of the duty and standby pump units affected will be operational.

The power supply failure data (external trips only) for seven of Rand Water's large pump stations (Mbula, 2008) were analysed and the results are summarised in **Table 3-3**. For strategic reasons, the names of the pump stations are omitted.

Over all the pump stations the average number of external trips was 11.4 per year, and the average duration of the external trips was 96 minutes. More recent failures resulted in longer durations which would increase the average outage.

# Table 3-3: Rand Water distribution pump station power failure statistics (afterMbula, 2008)

	External trip statistics							
Pump station	Year	Number of failure events	Minimum failure duration (minutes)	Average failure duration (minutes)	Maximum failure duration (minutes)			
	2005	15	7	113	767			
	2006	37	2	110	1190			
Λ	2007	16	8	99	452			
	Average	23	2	108	1190			
	2005	4	20	111	282			
В	2006	7	12	207	900			
	2007	14	19	136	606			
	Average	8	12	152	900			
	2005	13	1	88	475			
C	2006	9	1	126	855			
	2007	5	15	55	95			
	Average	9	1	94	855			
	2006	3	1	60	150			
D	2007	3	65	534	940			
	Average	3	1	297	940			
	2005	11	2	80	190			
F	2006	11	15	54	108			
L	2007	19	1	63	248			
	Average	14	1	65	248			
	2005	11	1	85	475			
F	2006	13	1	112	876			
1	2007	23	1	18	145			
	Average	16	1	60	846			
G	2005	3	30	78	135			
	2006	6	25	34	60			
	2007	5	20	84	180			
	Average	5	20	62	180			
All combined		11,4		96				

Nel and Haarhoff (2011) showed how the SAIDI and SAIFI indices can be used to determine the power supply availability, the power supply probability of failure, as well as the frequency of power supply failures at a point.

Data was obtained from a number of sources and used to benchmark the probable extent of power supply reliability. The probability of failure of power supply varied, but generally fell within a range of less than approximately 8.3 hours per year in developed countries. In South Africa, a developing country, the probability of failure of power supply is of the order of approximately 50 hours per year (Nel and Haarhoff, 2011). It should be noted that this average was affected by load shedding experienced since 2007.

The reliability of power supply from seven of Rand Water's (South Africa) pump stations was obtained and analysed, and it was noted that:

- The results suggest that the average number of power failure incidents was 11.4 per year and the lognormal distribution with base e and  $\mu$  = 2.20 and  $\sigma$  = 0.70 provided a good fit to the power failure incidents cumulative distribution function.
- The average duration of the power failures was 1.6 hours and the lognormal distribution with base e and  $\mu$  = -0.61 and  $\sigma$  = 1.54 provided a good fit to the power failure duration cumulative distribution function.
- A previous study on the duration of large-scale power failures in the USA also found the lognormal distribution to provide a good fit.
- The Rand Water pump station power failure data analysis for all pump stations combined suggests a probability of power failure of approximately 18 hours of non-supply per year, which is better than the South African national average of approximately 50 hours as reported by Nel and Haarhoff (2011). The lower failure rate experienced by Rand Water might be due to a possible higher level of service related to power supply reliability provided to critical services authorities in South Africa.

# 3.1.4 Tshwane's preparedness

Tshwane's preparedness to deal with the effect of electricity disruptions on water supply is limited.

When the influence of energy disruption on water supply is considered within the City of Tshwane, the design criteria need to be highlighted first followed by an assessment of how the status of the supply network and operational philosophy enhance the assured water supply. A summary of the design criteria which should be in place are:

- Demand in relation to the storage facility;
- What elevation needs to be overcome to get the water in the storage facility to be able to distribute under gravity;

- Interconnectivity of the water supply network;
- Interconnectivity of the electricity network;
- Interdependency identification of water and electricity; and
- Efficient use of the energy to deliver water to the storage facilities.

The City of Tshwane (now including Metsweding) receives Bulk water from Rand Water, Magalies Water and own sources including boreholes, water purification plants and fountains. Water is then distributed through a large water system that includes 166 reservoirs, 38 water towers and 10 677 km of pipelines of various diameter.

#### 3.1.5 Institutional arrangements between key role players

The current institutional arrangements between Eskom, Rand Water and the City of Tshwane are extremely limited. The information obtained from the various role players seems to indicate that the various entities operate in silos. There are further complexities with Rand water also being dependent on other entities such as Johannesburg's City Power and Ekurhuleni Municipality to maintain an uninterrupted power supply.

Although Rand Water and Eskom communicate in keeping the power supply to the critically important water purifications plants and pump stations uninterrupted the arrangements with other institutions are much less concrete.

#### 3.2 Institutional arrangements from comparable studies

#### 3.2.1 Introduction

This section gives an overview of institutional arrangements that were developed in comparable studies and projects. These arrangements will be evaluated to determine the applicability of the various arrangements specifically on the effect of electricity disruptions on water supply.

#### 3.2.2 International strategy for disaster risk reduction

The United Nations Office for Disaster Risk Reduction has developed the United Nations International Strategy for Disaster Reduction (UNISDR). The UNISDR seeks to enable communities to become resilient to the effects of natural, technological and environmental hazards. Thereby reducing the risks that hazards pose to social and economic weaknesses within society (UNISDR, 2017).
The UNISDR has the following goals (UNISDR, 2017):

- To increase public awareness of risks that natural, technological and environmental hazards pose to society,
- To ensure commitment by public authorities to reduce risks to people, infrastructure and environmental resources,
- To engage public participation at levels of risk reduction implementation to create disaster-resistant communities, and
- To reduce the economic and social losses of disasters.

The UNISDR has published the Sendai Framework for Disaster Risk Reduction 2015-2030. The Sendai Framework seeks to achieve the following outcome (UNISDR, 2015):

"The substantial reduction of disaster risk and losses in lives, livelihoods and health and in the economic, physical, social, cultural and environmental assets of persons, businesses, communities and countries."

In order to achieve the outcome above, the Sendai Framework proposes the following guiding principles:

- 1. It is the primary responsibility of States to reduce disaster risk,
- 2. It is the shared responsibility, under certain circumstances, between States (Government) and national authorities, sectors and stakeholders to reduce disaster risk,
- 3. To protect all persons and their assets whilst promoting and protecting all human rights,
- 4. To ensure engagement from all of society,
- 5. To ensure full engagements of all State institutions at national and local levels,
- 6. To empower local authorities and communities with resources, incentives and decision-making responsibilities as appropriate,
- 7. To ensure that decision making is inclusive and takes all risks into account using a multi-hazard approach,
- 8. To ensure coherence of disaster risk reduction and sustainable development across all sectors,
- 9. To take into account local characteristics of risks when determining measures to reduce risks,
- 10. To "Build Back Better" for preventing the creation of, and reducing existing, disaster risks,
- 11. To ensure effective global partnership and international cooperation in disaster risk reduction, and

12. To ensure sufficient support from developed countries are given to developing countries as per the specific needs of the developing countries.

Adoption of these guiding principles (where applicable) in the development of institutional arrangements to mitigate the effect of electricity disruptions on water supply systems can be a valuable way of ensuring that the arrangements identified are comprehensive and were developed with the right outcomes and objectives in mind.

## 3.2.3 Emergency and disaster preparedness plan development

Guidelines for effective response in case of a drinking water supply related disaster were developed as part of a study undertaken by the Pan American Health Organisation that forms part of the World Health Organisation (Pan American Health Organisation, 2002). This study focussed on all types of hazards that affects water supply and sewage systems, but includes valuable examples on the development of disaster mitigation plans.

According to the study disaster management consists of (Pan American Health Organisation, 2002):

"A coherent set of planning, organisation, control, evaluation, and training activities, involving all institutional, human and operational resources that should be developed and integrated into the agency or company."

The objective of emergency and disaster management is, firstly, to restore in the shortest time possible the water supply services most critical to society, secondly, to minimise the impact of emergency and disaster events on water supply and, thirdly, to ensure an effective response to the event to preserve the health of the population.

In the case of planning for electricity disruption events, these objectives can be written as follows:

- To mitigate the impact of electricity disruption events on water supply infrastructure, and
- To ensure effective response to ensure that the available water supply is used optimally, to maintain sufficient water supply, water quality and public order.

The development of disaster mitigation plans should be done through the following steps (Pan American Health Organisation, 2002):

- 1. Analysing and assessing the risk (likelihood and consequence) of identifiable events that could affect water supply systems,
- 2. Evaluating the effect of identified events on equipment and infrastructure in terms of vulnerability to be adversely affected by specific events,
- 3. Estimating the potential impact of events on various components of water supply systems,
- 4. Compiling and adopting mitigation measures to reduce equipment and infrastructure vulnerability and mitigating the potential impact of events, and
- 5. Programming emergency operations.

For the purpose of developing institutional arrangements, hazards (or electricity disruption events) should be classified into two categories:

- Sudden onset events such as a sudden failure of electricity generation infrastructure resulting in an electricity disruption event without warning (such as a blackout); or
- Gradual onset events such as a coal demand vs. supply deficit which results in decreased electricity generation capacity and in electricity disruption events with ample warning (such as load shedding).

## 3.2.4 Stages of a disaster cycle

The first step that should precede emergency action must be to reduce the probability of occurrence of the primary event(s) that cause it. In this regard Eskom has already put a number of initiatives in place. The requirements and dire consequences of disruption of water supply can lead to reappraisal of priorities, especially when higher order load shedding occurs and in the aftermath of a blackout when electrical supply is gradually reinstated. Excellent communication with all concerned (in this case Rand Water and Tshwane) is also required from the earliest onset of the incident to identify the nature and expected duration of the outage. Such communication needs to drill down quickly to plant, pump and valve operators. This is essential to properly husband available reservoir storage and water supply in the event of a long duration outage. Water that is wasted or ends up at the wrong end of the system during the first hours of an outage cannot be brought back to support the longer term deficit that will develop.

Emergency preparedness can be achieved by successfully designing a series of actions to prepare in advance for a disaster event and to implement the actions correctly after the disaster event occurs. **Figure 3-4** shows the different stages that effective disaster preparedness consists of. Identification and implementation of mitigation activities

associated with every stage of the disaster cycle is very important, especially for the Warning and Response stage (Pan American Health Organisation, 2002).



Figure 3-4: The disaster cycle (Pan American Health Organisation, 2002)

Prior to a disaster event, three sets of activities need to be developed:

- Prevention institutional arrangements (such as load shedding of critical loads),
- Mitigation institutional arrangements (such as early warning systems and ensuring sufficient storage capacity in reservoirs), and
- Preparedness institutional arrangements (such as disaster management plans).

Response activities after a disaster event has occurred include:

- Response (such as rapid implementation of water restrictions and public awareness communications),
- •
- Rehabilitation (such as recommissioning of water supply zones that were affected by the electricity disruption event), and
- Reconstruction (required if an electricity disruption event results in damage to water infrastructure, including telemetry systems).

## 3.2.5 Design of a disaster prevention and response program

A disaster prevention and response program should be developed based on the outcome of a complete risk analysis and should consist of (Pan American Health Organisation, 2002):

- National and institutional standards for emergency situations,
- Description of the water supply systems,
- Risk analysis outcomes,
- Prevention and mitigation measures (this report's objective),
- Emergency operation plans, and
- All relevant supporting documentation.

#### 3.2.6 Identification of mitigation measures

Based on the outcome of the risk analysis, the following aspects are important to keep in mind during the identification of mitigation measures (Pan American Health Organisation, 2002):

- The training needs of staff need to be defined,
- All strengths and weaknesses of the system and its various components must be identified,
- Mitigation measures must be identified for each of the hazards identified as part of the risk analysis,
- Specialised studies must be included in the development of mitigation measures if needed, and
- A financial assessment of the costs of mitigation measures needs to be done.

## 3.2.7 Components of disaster risk management programs

A disaster risk management program is essentially made up of the following organisational components (Pan American Health Organisation, 2002):

- a) The Institution or Agency Directors,
- b) A Central Emergency Committee,
- c) A Disaster Office (or Disaster Unit),
- d) A Situation Room, and
- e) The Declaration of States of Alert and Emergency.

These components are briefly described below:

#### a) The Institution or Agency Directors

The highest decision making body of the institution is responsible for establishing/adopting all policies, arrangements and strategies concerning emergencies and disasters.

#### b) A Central Emergency Committee

The chief role of the Central Emergency Committee is to ensure that water supply to the community is restored in the shortest possible time after a hazardous event and to prioritise and allocate available water resources.

#### c) A Disaster Office (or Disaster Unit)

The disaster office's responsibility is to carry out the institution's disaster prevention, mitigation and preparedness actions as required by the Central Emergency Committee.

#### d) A Situational Room

To ensure that a disaster management program can be implemented in a coordinated fashion, it is important to have a physical space available that is secure and contains all the required resources required to implement the disaster management program effectively. The Situational Room should be permanently available and should have the following items (among others):

- Backup electricity generators,
- Communication systems,
- All disaster management documentation,
- Operational control systems,
- Food and water,
- Keys to all infrastructure, and
- All relevant system information (e.g. water network map books and detailed system descriptions of water infrastructure components).

#### e) Field teams

Suitably equipped, mobile, qualified, authorised, drilled and motivated field teams with good communication with the Situation Room and having access to key installations (pumps, emergency generators, reservoirs and valves) are required. Such teams should be drawn largely from operating personnel.

#### f) The Declaration of States of Alert and Emergency

A state of alert is the period after an alert (or warning) is issued (i.e. when preparation for an electricity disruption event has been initialised) until the disaster event occurs (when the electricity disruption event occurs). There can be various alert levels associated with various risks, for example:

- Load shedding resulting from the electricity grid being under strain to supply the high demand during peak times can be defined as a low-risk alert level, and
- An imminent asteroid strike that will adversely affect the stability of the electricity grid and result in a blackout can be defined as a high-risk alert level.

It is important to note that a state of alert can only be declared for gradual onset hazardous events and not for sudden onset events.

A state of emergency is declared after a hazardous event has occurred (i.e. an electricity disruption event that results in water supply problems). If the hazardous event that results in a state of emergency was a sudden onset event, it is of cardinal importance that the disaster management plan is immediately implemented.

It should also be kept in mind that disaster management planning (or institutional arrangements) should be developed to address both the risks associated with gradual and sudden onset hazardous events.

## 3.2.8 Wastewater Risk Abatement Plan's approach to risk mitigation

The Wastewater Risk Abatement Plan ( $W_2$ RAP, 2011) was developed in South Africa to plan and manage towards safe and compliant municipal wastewater collection and treatment.

The W<sub>2</sub>RAP contains relevant examples of risk mitigation control measures and possible preventative actions to mitigate risks. This section will briefly summarise the plan's outcomes in terms of risk mitigation control measures and preventative actions that can be adapted as institutional arrangements.

Control measures include all activities and systems that prevent, minimise or mitigate identified risks. Control measures should be defined for all hazardous events and associated risks. Control measures are made up of the following (Van der Merwe-Botha et al., 2011):

- Standard operating procedures (SOPs),
- Contingency measures,
- Training, and
- Emergency procedures.

Risks were subdivided into two main risk categories (Van der Merwe-Botha et al., 2011):

- Operational Risk Categories, including:
  - o Design,
  - Operation,
  - o Maintenance, and
  - $\circ$  Scientific.
- Infrastructure Risk Categories, including:
  - Collection system,
  - o Treatment system,
  - Catchment system, and
  - Administrative system.

Critical Control Points (CCPs) are defined based on identified hazards and the outcome of risk assessments. In the case the W<sub>2</sub>RAP guidelines, CCPs are points along the wastewater collection and treatment chain where monitoring and interventions can significantly impact the quality of wastewater. CCPs therefore enable the managing authority to isolate, mitigate and address problems as soon as they are identified (while the risks associated with the problems are still small) before the problems can escalate and pose higher risks (Van der Merwe-Botha et al., 2011).

Examples of CCPs in wastewater treatment include (Van der Merwe-Botha et al., 2011):

- Pump stations,
- screens,
- Penstocks (sluice gates),
- Chlorine contact tank,
- Emergency pond overflows, and
- Security access points.

Examples of CCPs in water supply systems are given below:

- Raw water supply
- Water purification works
- Bulk water supply pump stations,
- Pressure reducing valves,
- Flow control valves,
- Reservoir inlet valves, and
- SCADA systems.

## **3.3 Development of new institutional arrangements**

## 3.3.1 Introduction

Institutional arrangements are divided into two categories, namely preventative and reactive institutional arrangements.

In terms of electricity supply, preventative arrangements are set in place to reduce the probability of an electricity disruption event from occurring.

These arrangements are either on the supply side (e.g. load shedding) or on the demand side, for example:

- Optimising electricity supply (e.g. using pumped storage schemes effectively), and
- Ensuring adequate supply capacity.
- Disconnecting electrical infrastructure and communication equipment from long cables during the 18-hour warning period before the EMP from a major solar flare reaches the earth's ionosphere. (And leave disconnected for as many days as necessary until the electromagnetic disturbance in the ionosphere has rectified itself. In the case of the Carrington event such effects were still evident four days later.)
- Adequately protect Eskom, RW and municipal control centres.

On the bulk water supply side, preventative arrangements are set in place to mitigate the effect of an electricity disruption event by either eliminating or minimising the electricity disruption event's effect on the water supply to end-users.

These include infrastructure changes such as:

- Provide good telemetry systems,
- Provide and equip control rooms,
- Additional reservoir storage capacity,
- Backup power generation at purification works and bulk water supply pump stations (i.e. Rand Water and Magalies Water),
- Backup power supply at local purification works and distribution pump stations (i.e. Tshwane).

Reactive arrangements are set in place to minimise the effect that an electricity disruption event will have on water supply and its end-users given that the electricity disruption event is inevitable or has already occurred. Reactive arrangements are sub-

divided into two groups, namely pre-emptive arrangements and post-event arrangements.

Pre-emptive arrangements are set in place to mitigate the effect that an inevitable event will have, for example: rapid communication systems setup between electricity suppliers (Eskom) and water suppliers (Rand Water and Tshwane) to inform all affected parties of an inevitable event so that mitigation preparation can be done before the event happens. Inform the public to take appropriate precautions.

Post-event arrangements are set in place to mitigate the effect of an event that already occurred will have, for example: Rapid community awareness communication systems and water restrictions implementation to mitigate the effect of the event.

## 3.3.2 Proposed setup of new institutional arrangements

The institutional arrangements developed to mitigate the effect of electricity disruptions on water supply are defined based on the type of electricity disruption event that affects water supply.

Electricity disruption events are categorised for appropriate planning as illustrated below in **Figure 3-5**.



Figure 3-5: Electricity disruptions events that affect water supply.

Important components of a disaster prevention and response programme are summarised in **Figure 3-6**.

Each Booster Pumping Station then elevates the water a further 180 meters to reservoirs in and around Johannesburg. From these areas the water flows under gravity and is repumped at distribution stations to the extreme boundaries of the supply area.

The water is supplied into 58 reservoirs. The core product is then delivered in bulk from the reservoirs to Rand Water's customers: three metropolitan councils, 15 municipalities, the Royal Bafokeng administration, 45 mines and approximately 771 industries and direct consumers.

In the case of evaluating the supply for the City of Tshwane supply area it is clear that the more important systems are the Zuikerbosch Purification and Primary Pumping Stations and the Palmiet and Mapleton Pump stations. Although there are some cross connections which could feed water from the Vereeniging treatment facility.



Figure 3-6: Disaster prevention and response program

Institutional arrangements to mitigate the effect of electricity disruptions on water supply proposed specifically for water service authorities are illustrated below in **Figure 3-7**.

## 3.3.3 Development of guidelines for water service entities

This Section gives an overview of how guidelines for Water Service Providers and Authorities were developed and what these entities should consider when developing their disaster risk management programmes.

Guidelines developed for Water Service Providers and Authorities are divided into two categories, namely preventative and reactive guidelines.



Figure 3-7: Institutional arrangements for water services authorities

Preventative measures are put in place to prohibit electricity disruptions from happening as far as possible and to ensure that all necessary precautions are in place if an electricity disruption event does occur.

Reactive measures are put in place to minimise the effect of electricity disruptions on water supply given that a disruption is inevitable or has already occurred. These measures can be either pre-emptive or post-event based.

Institutional guidelines for Water Service Providers and Water Service Authorities are based on managerial, administrative and operational changes that are to be made. Design guidelines for water service entities are based on infrastructure changes that need to be incorporated into the entities' water infrastructure.

Included as part of guidelines proposed for Water Service Providers and Authorities are the development of a disaster risk management plan. This plan should include all proposed guidelines made to water service entities.

#### 4 INSTITUTIONAL AND DESIGN GUIDELINES

#### 4.1 Introduction

In order to be able to mitigate the impact of electricity disruption on water supply the first step for stakeholders is to do a comprehensive risk assessment. In the case of this study the stakeholders include electricity utilities (Eskom), Water Service Providers (Rand Water, Magalies Water and CoT) and Water Service Authorities (CoT). The proposed guidelines for doing a risk assessment to achieve this are summarised in Section 2.8.

This chapter recommends institutional and design guidelines to enable the various stakeholders to mitigate the impact of electricity disruption on water supply.

## 4.2 Guidelines for Eskom

#### 4.2.1 Introduction

Eskom already has a comprehensive disaster management system in place, centred on their control room. Perhaps this should be examined further to ensure that the requisite disaster response teams are also ready and equipped to play their roles in the event of a major emergency that threatens the wider grid?

Eskom already has systems in place to alert RW and municipal electricity departments. It is essential to ensure that such early warnings also alert all key managers and operating staff of a high-consequence hazardous event that will result in a long disruption requiring immediate action to preserve stored water resources, or of one that has just occurred. We cannot risk the "broken telephone" syndrome resulting from too many cascading links in the command chain. Actions have to be taken very rapidly. This is obviously a joint responsibility with the municipalities, but someone has to take the initiative.

The prioritisation of key water services also has to be built into Eskom's action list when power is restored after a regional or national blackout. It may be possible to bring in electrical supply to RW's purification works and main pump stations early on in the power station islanding process, bearing in mind that when the water supply is constrained both will be running constantly. Moreover, RW could give an undertaking to purify and pump water at a constant rate during the critical hours and days when the electricity output from the power station(s) is still relatively small and sensitive to variation in the loads of individual users. This section defines institutional and design guidelines for Eskom which should be adopted in order to mitigate the effect of electricity disruptions on water supply.

## 4.2.2 Effect of electricity disruptions on water supply

In order to define the various institutional and design guidelines proposed for Eskom it is important to understand the effect of the different types of electricity disruptions on water supply. These effects are described below.

Short-term electricity disruption events are events that last only for a few hours and at most up to a day. These disruption events can either be planned or unplanned. Examples of planned short-term disruptions are load shedding or scheduled maintenance, refurbishment or upgrading of electricity infrastructure. Examples of unplanned short-term disruption events are Eskom sub-station power trips or local electricity distribution failures due to construction damage or theft of infrastructure.

## a) Planned short-term electricity disruption

Load shedding is a short-term electricity disruption that has been widely implemented in South Africa in the last decade. Due to this, Eskom's current arrangements to deal with high electricity peak demands are well documented and the process is ironed out.

Load shedding is an effective way to manage predictable electricity demand fluctuations, which includes daily peaks and seasonal fluctuation in power demand (winter peaks).

Load shedding related short-term electricity supply disruptions do not pose critical risks to the water sector. This is due to the fact that peak electricity demand periods in winter (the most probable load shedding times) do not correlate with peak water demand periods in summer. Average 7-day water demand during winter periods is at least one third less than average 7-day water demand during summer periods. Water treatment works therefore operate at only about 66% of their treatment capacity during winter low water demand periods.

The effect of a daily two to four hour load shedding period (or 8-16% of the day) on water treatment works and pumping systems should therefore not pose a problem in terms of supplying the required volume of water. This is, however, only true if water treatment works manages their water treatment schedule accordingly and if municipal reservoirs are kept at sufficient levels to ensure 48 hours of storage capacity. Guidelines on the operation of water treatment works and reservoirs are discussed in more detail in the Sections below.

Moreover, larger municipalities have the ability to schedule load shedding in such a manner as to protect strategic water purification and pumping facilities. In some instances making this effective might require additional switching and cabling to limit the size of affected electrical supply zones. Where Eskom directly supplies a strategic installation (such as a major RW pump station) it may be necessary for them to limit the electricity supply zone area so as not to impede their load shedding options.

Essential interactions that have to be initiated by Eskom include minimisation of the need for load shedding, prevention of blackouts, effective communication with municipalities regarding contingency plans, advance warning of the onset of planned load shedding and the expected duration of such events. Other types of planned electricity disruption events can be dealt with in the same way as load shedding. These are included in the institutional arrangements discussed in Section 3.2.

b) Unplanned short-term electricity disruption events

Unanticipated load shedding initiated by Eskom's controllers or automatic control systems can be precipitated by sudden loss of generating capacity or other faults. If the event is big and rapid enough this may lead to regional or national blackouts.

Given that Water Service Providers and Water Service Authorities ensure that reservoirs are operated at the required levels, unplanned short-term electricity disruption events should also not present any unmanageable problems in terms of water supply. These events do, however, pose other risks on water supply and distribution infrastructure such as damage due to pressure surges resulting from pump trips. This is discussed in more detail in the design guidelines for Water Service Providers and Water Service Authorities in Sections 4.3.3 and 4.4.3 below.

c) Medium- to long-term disruptions' effect on water supply

Medium-term electricity disruption events are events that can last from a day up to a week. Long-term events can last from a week to a few months. These events can occur as a result of numerous reasons, including:

- Breakdown of electricity generation or distribution infrastructure,
- Theft of electricity infrastructure,
- Vandalism, terrorism, sabotage or war, or
- Natural disasters.

The effect that any of these events can have on electricity infrastructure depends greatly on the magnitude of the event itself, where the event occurs and whether there was time to implement precautionary measures before the event. This is typical information that will stem from the risk analysis and evaluation processes.

Eskom's current arrangements to respond to a blackout are summarised as:

- measures to prevent a black or cold start of power stations, such as islanding of power stations,
- the availability of only two Black Start facilities in the case of a total blackout,
- Eskom's Restoration Plan to prevent a failed Black Start.

Eskom's first priority in terms of its blackout recovery plan is to protect any power stations that remain in operation (or the stations that have been restored first). After successfully powering these stations own needs, electricity is restored around the stations in small isolated circles that are increased gradually to ensure that the small grids are kept stable. Eskom's focus during this stage is to supply electricity to consumers with predictable and relatively constant demand patterns such as residential users.

Industries and the water sector, with less predictable fluctuations in electricity demand, are therefore not included in the early stages of Eskom's restoration plan to prevent a failed black start. Eskom therefore needs to include as part of their restoration plan, estimates of how long it would take to provide the Water Service Providers and authorities with electricity so that these entities can plan accordingly. The energy usage patterns of key water sector users during emergency conditions also need to be examined to determine if these can be prioritised for early return to service in Eskom's restoration plans. This may be possible, since during emergency conditions with severely restricted water supply, purification and pumping should be continuous since demand will exceed supply and reservoirs will be at critically low levels and therefore have ample free storage at night time.

## 4.2.3 Institutional guidelines for Eskom

Institutional guidelines proposed for Eskom to mitigate the effect of short-term disruptions on water supply are listed below:

- Maintain suitable internal controls to anticipate the need for planned load shedding to prevent a blackout. This is especially important now that the imminent threat of power shortages has receded and complacency could set in.
- Maintain frequent communication with municipalities and RW to ensure that they remain capable of implementing load shedding. This is particularly important from now onwards, since recent generating plant acquisitions should stave off the

need for load shedding for long periods, during which complacency and/or loss of capacity within municipalities could occur.

- Put in place measures to further decrease and manage the country's peak electricity demand, including:
  - Promote optimised electricity usage focussing on sectors that can be expected to adapt their electricity demand patterns such as the water supply and distribution sector, the mining sector, the industrial and commercial sectors.
  - Adjusting peak electricity usage tariffs upward for specific sectors that can adapt their demand patterns without affecting their normal operation (specifically the water supply and distribution sectors).
- Identify an Eskom team to be part of the risk assessment and evaluation process specifically in terms of the effects that electricity disruptions can have on water supply.
- That Eskom, upon becoming aware of any electricity supply disruption event (or the chance of an event occurring), calculates the magnitude (expected duration and area affected) of the event.
- Maintain effective communication with Water Service Providers, Water Service Authorities, and DWS and ensure that all key managers and operating staff of water service entities are notified of electricity disruption events.
- Give early warning to Water Service Providers and Water Service Authorities as far as possible in the case of planned or predicted disruptions to ensure that these entities can plan their operations and prepare accordingly,
- That Eskom notifies water service entities as soon as it becomes aware of any electricity disruption event that was not planned or predicted.
- As soon as possible inform Water Service Providers and Water Service Authorities of the nature and expected duration of disruption events so that they know how to husband available reservoir storage and fuel and chemical reserves.
- Examine Eskom's disaster management system further so that their disaster response teams are ready and equipped to play their roles in the event of a major emergency that threatens the wider electricity grid.

- Drive the adoption of new legislation which would formalise the scrap metal industry in order to minimise cable theft a major cause of electricity disruptions in South Africa.
- Guidelines specifically for short-term electricity disruption events:
  - Keep water service entities updated of any changes in the planned load shedding schedules.
  - Keep water service entities informed of Eskom's planned maintenance, refurbishment and upgrading activities that may result in electricity disruptions.
- Guidelines specifically for medium- to long-term electricity disruption events:
  - Give water service entities detailed information and updates on how long it would take before electricity can be restored in the event of a blackout.
  - Determine if it would be possible to revise its electricity restoration plan in the event of a blackout to prioritise electricity supply to water service entities, taking account of the heavily restricted water supply and hence anticipated uniform electricity usage pattern.

## 4.2.4 Infrastructure guidelines for Eskom

Design guidelines proposed for Eskom to mitigate the effect electricity disruptions on water supply are listed below:

- It goes without saying that Eskom should put measures in place to ensure that sufficient electricity generation capacity is planned and constructed for the country's future demand (not only taking into consideration the increase in electricity demand but also the predicted decrease in electricity generation capacity due to the decommissioning of older coal power stations that supply the country's base-load).
- Ensure sufficient security measures are put in place at generation plants and substations to prohibit vandalism, sabotage and terrorist attacks.
- Put in place more security measures on electricity distribution infrastructure to prohibit cable theft.
- Upgrade the central control room and other control facilities, if necessary, to ensure that this room will have the following:

- $\circ~$  Ensure adequate power surge protection and disconnection options are incorporated,
- Backup power supply with emergency fuel storage,
- Backup communication facilities including radio communication in order to make communication with water service entities possible during blackouts,
- A secure store room with maps, detailed drawings of all infrastructure and documentation on all emergency response plans.
- Where necessary install switch gear, cabling and whatever is necessary to enable Eskom to prioritise electricity supply to critically important purification works and pump stations that are supplied directly by Eskom, in the event of a restart after a blackout.
- Install whatever is necessary to protect the grid and other equipment from damage due to direct currents induced by a high magnitude solar flare. Likewise, maintain any equipment and 24x7 monitoring required to provide advance warning of such an event and determine when it is safe to re-connect electricity distribution and generating plant. (It is understood that the ionosphere can remain affected for some days after the passage of a high magnitude electro-magnetic pulse.)

## 4.3 Guidelines for Water Service Providers

This Section describes institutional and design guidelines proposed for Water Service Providers or bulk water suppliers to Water Service Authorities. In the case of this project, these guidelines are applicable to Rand Water, Magalies Water and also to the City of Tshwane where they treat and supply water to the city's population.

## 4.3.1 Operational and administrative guidelines for Water Service Providers

The institutional guidelines discussed below are specifically applicable to operational and administrative staff.

#### a) Ensure reservoirs are operated at correct levels

Where Water Service Providers' reservoirs are used to directly supply water to municipalities' end-users, these reservoirs' minimum operational levels should be revised to ensure that at least the 48 hours of storage capacity is available. This

should be based on the annual average daily demand of the distribution zone supplied by the reservoir.

#### **b)** Optimise reservoir storage

In view of the additional risk imposed by electricity supply, the required reservoir storage should be revised to ensure that a basic water supply can be maintained during prolonged emergency conditions. This optimisation should take account of emergency generating capacity.

## c) Optimise water treatment works and bulk supply pipelines to ensure required reservoir levels can be maintained

Evaluate the current operation of water treatment works, pump stations and bulk pipelines that supply to the Water Service Providers' reservoirs that directly supply the municipalities' customers with water. This is required in order to maintain the minimum required operating levels of reservoirs.

#### d) Ensure adequate storage of chemicals, fuel and spares

Adequate storage of chemicals, fuel and spares should be kept in stock in order to ensure continued water supply capabilities in the event that electricity disruption affects the availability of these materials. The minimum time to make provision for should be determined as part of the risk assessment and evaluation process. Materials stored on sites should also be sufficient to accommodate supply shortages following an electricity disruption event.

Adequate stock of chemicals required for maintaining the required levels of free available chlorine in reservoirs should also be ensured. This should take into consideration that the water in the reservoirs may be used sparingly in the event of an electricity disruption, which may affect the chemical demand of the water in the reservoir.

#### e) Rapid implementation of water restrictions

The rapid implementation of water restrictions is of cardinal importance in the event of a major electricity disruption event. Procedures should be put in place to ensure that this can be done across the whole Water Service Provider's supply chain (from raw water abstraction, to water treatment, to bulk water supply) as soon as possible in the event of an electricity disruption.

This implementation should include the necessary communication to Water Service Authorities and other Water Service Providers (Tshwane metro, Rand Water and Magalies Water in the case of this project) and it goes without saying that clear communication between Water Service Providers, Water Service Authorities and Eskom is crucial to the effectiveness of these rapid water restrictions implementation measures.

Longer duration disruptions will necessitate increasingly more severe curtailment, optimised against the cost of emergency supply measures vs consequences of the disruption of the water supply. Close cooperation with Water Service Providers and Eskom is essential to ensure understanding and agreement by all on the level of service and duration of electricity outage to be planned for.

# f) Identify maintenance requirements of infrastructure that will negate effectiveness of mitigating measures taken

The operational staff should take responsibility for identifying infrastructure maintenance requirements and to make technical (planning) and managerial staff aware of such requirements. These maintenance issues include leaking pipelines, cathodic protection, flow meters, valves and reservoirs, faulty telemetry, pump faults, switchgear faults, etc.

Specific attention should be given to minimise water leaks from bulk water supply systems and a dedicated programme should be initiated (or continued if already in place) to accomplish this. Achievable goals should be set based on industry norms to measure the effectiveness of the leak detection / minimisation programme.

# g) Security measures to minimise risk of vandalism, theft, sabotage and terrorism

The operational staff should be made aware of risks involved in terms of security threats so that the staff can be vigilant and able to address security issues (or report to technical or managerial staff) as they become aware of such issues.

#### h) Disaster risk management programme implementation drills

It is important that operational staff understand what is expected of them in the event of an electricity disruption. It is therefore proposed that the staff responsible for implementing mitigation measures as defined in the disaster risk management programme do drills and exercises. This should include drills on water restrictions, using backup power generation facilities, operation of the emergency control centre, communication stations and communicating effectively, etc.

These drills will also be effective for measuring and evaluating performance of the disaster risk management programme and to identify areas that this programme (or the staff responsible) still needs to improve on.

## 4.3.2 Managerial guidelines for Water Service Providers

The guidelines discussed below should be implemented by the Water Service Provider's management structure. The institutional changes required in the process of adopting these guidelines are, however, not only a management task but will involve technical (planning and design), operational and administrative staff.

## a) Develop a disaster risk management programme focussed on electricity supply disruptions

A disaster risk management programme should be developed based on the outcomes of the risks assessment and evaluation process which focuses on risks that Water Service Providers and Water Service Authorities face due to the effect of electricity disruptions on water supply.

The requirements of the disaster risk management programme were discussed in more detail in Section **Error! Reference source not found.** above.

In the case of this project Rand Water and Magalies Water (and where applicable the City of Tshwane in their capacity of bulk water supplier) should liaise with one another and with the Water Service Authority (in this case the City of Tshwane) during the development of these programmes. This is to ensure that the various institutions' disaster risk management programmes and objectives are integrated in order to prevent contradictory provisions in the programmes that would confuse Water Service Authorities affected by these programmes.

## b) Set up workshops with other institutions involved to ensure successful development of the disaster risk management programme

Workshops needs to set up with Water Service Authorities and Eskom to ensure the disaster risk management programme is developed with the correct information and agreed objectives from the various organisations. These workshops should be held on an ongoing basis as the disaster risk management programme is developed until the final adopted programme is accepted by all organisations involved.

Additional workshops should also be held specifically between the Water Service Providers and Water Service Authorities to ensure that the authorities (municipalities) understand (1) the implications of the Water Service Provider's disaster risk management programme and (2) the various measures the Water Service Provider will enforce to mitigate the effect of electricity disruptions on water supply.

Another outcome of these additional workshops is to ensure that the various Water Service Providers (including the City of Tshwane's own water treatment facilities in the case of this project) can come to an agreement on which areas will be supplied by each provider and the appropriate levels of curtailment in the case of electricity disruptions. This should be based on the capabilities of each Water Service Provider (based on an assessment of each Water Service Provider's infrastructure).

## c) Identify training needs of staff

The managerial, technical (design staff), operational and administrative staff should be trained to better understand the risks associated with their organisation as a whole and the risks specifically due to the effect of electricity disruptions on water supply.

## d) Review and correct current agreements between Water Service Providers and Water Service Authorities (municipalities)

This guideline is applicable in situations where reservoirs are used by multiple institutions. Current reservoir operating procedures between various entities are only defined for normal system operation and do not make provision for scenarios where water restrictions are implemented.

In terms of this project this is applicable to a reservoir that is owned by Johannesburg, supplied by Rand Water and distributes water to both Johannesburg and Tshwane. Normal operating procedures are defined for the reservoir in that the reservoir's outflows are metered and billed separately for each Water Service Authority. The procedures to follow in the case of water supply interruptions or water restrictions are, however, not defined. These situations should be addressed by Water Service Authorities in order to define procedures to be followed in case of water supply interruptions or water restrictions. It is proposed that these situations be addressed in the form of a memorandum of understanding between the various entities and that information stemming from the development of such understandings be conveyed to technical and operational staff of all institutions involved.

It should also be ensured that shared reservoirs have sufficient storage capacity to accommodate the demand of all zones supplied from the reservoir (refer to Section 4.3.3 (a)).

#### e) Establish community awareness programmes

Community awareness programmes of Water Service Providers should summarise the capabilities and constraints of the organisations in a clear and understandable way so that the general public can appreciate the implications of risks and challenges that Water Service Providers face.

The Water Service Provider's community awareness programmes should summarise the capabilities and challenges that the organisation faces during normal operation and during emergency operations. These programmes should also clearly indicate what the Water Service Provider has done in preparation to mitigate various risks identified and specifically to mitigate the risks associated with the effect of electricity disruptions on water supply.

## 4.3.3 Infrastructure guidelines for Water Service Providers

This Section describes infrastructure guidelines proposed for Water Service Providers or Water Service Authorities responsible for bulk water treatment and supply. These guidelines are applicable to technical staff of the Water Service Provider responsible for planning, design, construction and maintenance of infrastructure.

#### a) Provide sufficient reservoir storage capacity

Where the Water Service Provider's reservoirs are used to provide storage capacity for municipal water distribution zones, it is required that these reservoirs have sufficient storage capacity.

For existing reservoirs that fit this description, it needs to be determined whether the reservoirs have sufficient capacity to provide 48 hours of spare storage capacity based on each distribution zone's annual average daily demand, plus the storage required to meet the needs of the Water Service Provider (given that the reservoir is operated optimally as described in Section 4.3.1 point (a), (b) and (c)). If it is determined that the available storage capacity is insufficient, additional storage capacity should be made available through the design and construction of an additional reservoir / reservoirs.

For new reservoirs that fit this description, these reservoirs should be designed to have sufficient spare storage capacity to meet the above needs based on the distribution zone's future predicted annual average daily demand.

#### b) Provide backup power generation

Water Service Providers should provide backup power generation facilities at their water treatment works, pump stations, other parts of their infrastructure that require electricity during emergency operation (e.g. telemetry, SCADA and automatic valves) and auxiliary systems that will become effective in the case of electricity disruptions.

It is very important that the Water Service Provider in cooperation with the Water Service Authority determines the absolute minimum daily water supply volume required from each water treatment works if water restrictions are implemented in the event of electricity disruptions of differing duration. This should be calculated so that the Water Service Provider can determine the capacity of emergency power generation infrastructure that should be provided at each water treatment works.

Determination of the required backup power generation capacity should be based on the Water Service Provider's control methods (i.e. the use of automated valves, telemetry and SCADA systems). It is proposed that the Water Service Provider identifies which parts of his water supply system that normally operate automatically can be operated manually without electricity (such as reservoir control valves). This information should be used by the Water Service Provider to plan and design additional power generation or backup power facilities only where it is absolutely necessary.

#### c) Provide storage facilities for chemicals, fuel and spares

Storage facilities for chemicals, fuel and spares should be designed and constructed to ensure sufficient stock is available in the event that electricity disruptions affect the supply of these stocks. The size of storage facilities should

be sufficient to store enough stock for emergency works operation during the electricity disruption period as well as the recovery period after the disruption during which supplies can still be affected.

#### d) Design and construct emergency communication systems

Based on the communication requirements of the Water Service Provider's disaster risk management programme communication facilities should be designed and constructed. This is to ensure that effective communication can be maintained with all institutions (Eskom, Water Service Authorities and other affected parties) in the event of an electricity disruption.

The Water Service Provider's communication systems should also make provision for the communication requirements within the Water Service Provider's own emergency operations. These systems include: SCADA and telemetry information where it cannot be done without in an emergency situation, communication between various sites such as water treatment works, pump stations, reservoirs and the central control room (emergency control room).

#### e) Design and construct an emergency / disaster office and situation room

To successfully implement its disaster risk management programme it is proposed that the Water Service Provider design and construct an emergency disaster office and situation room.

The Water Service Provider's existing control room can be upgraded for this purpose. It is recommended that the existing control room be evaluated in order to determine what is additionally required to meet the requirements of the emergency disaster office and situational room.

## f) Provide the necessary valves to supply water during emergency situations

Based on the emergency operations procedures as defined in the Water Service Provider's disaster risk management programme the necessary valves and telemetry systems should be incorporated into the organisation's existing infrastructure. Most of the infrastructure required for emergency operations will probably already be in place as this is used for the metering and control of infrastructure (for billing of Water Service Authorities).

It is proposed that the existing infrastructure be evaluated to determine if the emergency operations procedures can be accommodated with current infrastructure and in order to determine what additional infrastructure is required for the implementation of the emergency operations procedures.

#### g) Evaluate, design and construct water supply cross linkages

It is recommended that the Water Service Provider determines the current capacity of existing cross linkages between various bulk water supply pump stations. This information should be compared with the minimum required volumes as determined in cooperation with the relevant Water Service Authorities.

If it is found that existing cross linkages do not have sufficient capacity, it is recommended that these be upgraded to supply the minimum required volumes.

# h) Re-evaluate existing infrastructure in order to optimise future electricity consumption based on updated information available

The Water Service Provider should ensure that its water treatment and specifically its bulk water supply (i.e. pump stations) are operating effectively. It is proposed that current infrastructure's electricity consumption cost is compared to optimised infrastructure's electricity consumption cost (including the cost of upgrading current infrastructure).

If it is determined (through a life cycle cost analysis) that upgrading infrastructure is the most economical solution, the Water Service Provider should plan the necessary upgrades of its pipelines and pump stations. This will not only benefit the Water Service Provider in terms of minimising its assets' total life cycle cost but will also decrease future electricity demand.

## i) Optimising electricity demand of existing infrastructure

To further reduce electricity demand especially during peak electricity demand periods, the Water Service Provider should ensure that pump schedules are optimised in terms of electricity peak, standard and off-peak times.

This will not only reduce the electricity cost of pump stations but will also ensure that the Water Service Provider plays its role in minimising electricity consumption during peak electricity demand periods.

# j) Re-evaluate current security design criteria, adopt revised criteria and implement improved security criteria

It is proposed that current security design criteria be reviewed based on the outcome of the risk assessment in terms of security threats. It is further proposed that revised security related design criteria be developed and adopted. The current infrastructure should be evaluated based on the revised security related design criteria in order to ensure that risk related to vandalism, theft, sabotage and terrorism can effectively be mitigated.

#### 4.4 Guidelines for Water Service Authorities

This Section describes institutional and design guidelines proposed for Water Service Authorities. In the case of this project, these guidelines are proposed for the City of Tshwane.

These guidelines specifically focus on the responsibilities of Water Service Authorities in the provision of adequate water storage and distribution infrastructure. Where Water Service Authorities also perform the role of Water Service Provider in that they treat and supply their own potable water the guidelines proposed for Water Service Providers specifically in terms of bulk water supply are also applicable to the Water Service Authority.

#### 4.4.1 Operational and administrative guidelines for Water Service Authorities

The institutional guidelines discussed below are specifically applicable to operational and administrative staff.

#### a) Ensure reservoirs are operated at correct levels

The Water Service Authority's reservoirs' minimum operational levels should be revised to ensure that 48 hours of storage capacity is available. This should be based on the annual average daily demand of the distribution zone supplied by the reservoir.

#### b) Identify nature and duration of event

It is essential to rapidly identify the nature and expected duration of every electricity supply event that disrupts the provision of water services.

The first priority is to determine if the outage affects a high risk large area and is a long duration event. This is essential information since it implies the need to implement water rationing, the appropriate level of restriction and initiation of emergency water supply measures with immediate effect to conserve available storage in reservoirs. This requires excellent communication with Water Service Providers and with Eskom. It also requires pre-planned and well drilled emergency procedures. Such an event would also require fully manning the emergency control centre with the required managers and operators. A pre-prepared public awareness and co-operation campaign would also have to be set in motion.

If the event is less severe, communication with the Water Supply Authority's own electricity department would be required, to determine if the fault stems from the authority's own infrastructure, or an external source (in this case, Rand Water's pumping or purification systems, or an Eskom substation or power line).

Appropriate actions will stem from the extent and expected duration of the event.

It is also important to check with the Water Service Authorities Water and electricity departments to determine if the sudden loss of electricity has resulted in pressure surges that may have damaged plant, such as pipelines and electrical distribution equipment.

## c) Optimise municipal reservoir storage

In view of the additional risk imposed by electricity supply, the required reservoir storage should be revised to ensure that a basic water supply can be maintained during prolonged emergency conditions. This optimisation should take account of emergency generating capacity.

## d) Optimise electricity supply redundancy

The City of Tshwane has two major external sources of electricity supply from Eskom, with little overlap between two large areas of supply. This increases the municipality's vulnerability. A means of supplying each of the two zones from either of the two major sub-stations would make it possible to implement a local form of rolling load-shedding in the event that either major sub-station is damaged. Without this there is the risk that half of the metro could be blacked out for an extensive period of time. Addressing this issue would be beneficial to all electricity users, not just the water sector.

Some other Water Service Authorities might face similar hidden risks.

## e) Rapid implementation of water restrictions

The rapid implementation of water restrictions is of cardinal importance in the event of a major electricity disruption event. Procedures should be put in place to ensure that this can be done across the whole Water Service Authority's supply chain (from reservoirs to distribution) as soon as possible in the event of an electricity disruption.

This implementation should include the necessary communication to Water Service Providers and other Water Service Authorities affected. It goes without saying that clear communication with Water Service Providers and Eskom is crucial to the effectiveness of these rapid water restrictions implementation measures.

Longer duration disruptions will necessitate increasingly more severe curtailment, optimised against the cost of emergency supply measures vs consequences of the disruption of the water supply. Close cooperation with Water Service Providers and Eskom is essential ensure understanding and agreement by all on the level of service and duration of electricity outage to be planned for.

# f) Identify maintenance requirements of infrastructure that will negate effectiveness of mitigating measures taken

The operational staff should take responsibility for identifying maintenance requirements of water distribution infrastructure and to make technical (planning) and managerial staff aware of such requirements. These maintenance issues include leaking pipelines, cathodic protection, flow meters, valves and reservoirs, faulty telemetry, pump faults, switchgear faults, etc.

Specific attention should be given to minimise water leaks in distribution systems and a dedicated programme should be initiated (or continued if already in place) to accomplish this. Achievable goals should be set based on industry norms to measure the effectiveness of the leak detection / minimisation programme.

It goes without saying that all of these measures need to be in operation long (years) before a serious electricity disruption incident. This is important to limit the amount of water that needs to be provided and to increase the effectiveness and reduce the risk of mitigation measures, such as closing supply systems at night time.

## g) Optimise the emergency power generation needs for wastewater treatment works

Portable generators could be used to deal with electricity outages of relatively small extent. The locations where such equipment is stored and suitable transport facilities and fuel requirements also need to be considered. However, this will not suffice for wide area events such as regional or national blackout.

The viability of permanent generators with suitable fuel storage facilities can be considered. During the first hours of a power outage it will be necessary to run the plant as normal since sewage will still be flowing towards the wastewater treatment works and effective implementation water restrictions will also not be immediate. However, during longer duration outages sewage discharges can be expected to decline in step with potable water restrictions. Available raw sewage storage might help to absorb part of the initial raw sewage inflow and permit a reduction in the standby generating capacity.

Gas generators fed from own bio-sources could provide an attractive sustainable option, especially in instances when the benefit cost ratio is close to or exceeds unity. Such plant need not be big enough to run the full capacity of the plant, seeing as during a high risk electricity outage event sewage return flow can be expected to decline in step with the heavily curtailed water supply. However, these would need to be phased in over time as demands grow and older plant is replaced.

The viability of solutions depends on the risk posed. Small works with little downstream water use might pose insufficient risk to warrant high priority intervention. During high risk electricity outages, prolonged loss of treated water supply poses a much bigger threat than pollution of rivers. Rivers also have natural self-cleansing properties with regard to biological pollution, especially when there are intervening reservoirs or long river reaches between source and abstraction point. The impact is greater when sewage works are large relative to the natural water resource. The risk is also mitigated by the fact that abstraction of untreated river water for potable use is limited. Moreover, the emergency itself will reduce effluent flow rates.

Optimisation of emergency measures should include environmental impact assessment.

# h) Security measures to minimise risk of vandalism, theft, sabotage and terrorism

The municipal operational staff should be made aware of risks involved in terms of security threats so that the staff can be vigilant and able to address security issues (or report to technical or managerial staff) as they become aware of such issues.

Support by way of motivation should be given to initiatives by Eskom, Water Service Providers, transport and telecom authorities and industrial users to curtail copper theft. In this regard municipalities should be primary role players since most of the cable theft takes place within their boundaries and causes frequent damage to municipal infrastructure. Champions should be sought to spearhead such initiatives.

#### i) Disaster risk management programme implementation drills

It is important that municipal operational staff understand what is expected of them in the event of an electricity disruption. It is therefore proposed that the staff responsible for implementing mitigation measures as defined in the disaster risk management programme do drills and exercises. This should include drills on water restrictions, using backup power generation facilities, operation of the emergency control centre, communication stations and communicating effectively, etc.

These drills will also be effective for measuring and evaluating performance of the disaster risk management programme and to identify areas that this programme (or the staff responsible) still needs to improve on.

## 4.4.2 Managerial guidelines for water service authorities

The guidelines discussed below should be implemented by the Water Service Authority's management structure. The institutional changes required in the process of adopting these guidelines are, however, not only a management task but will involve technical (planning and design), operational and administrative staff.

# a) Develop a disaster risk management programme focussed on electricity supply disruptions

A disaster risk management programme should be developed based on the outcomes of the risks assessment and evaluation process which focuses on risks that Water Service Authorities face due the effect of electricity disruptions on water supply.

The requirements of the disaster risk management programme were discussed in more detail in Section **Error! Reference source not found.** above.

In the case of this project the City of Tshwane (the Water Service Authority) should liaise with other institutions involved such as Rand Water and Magalies Water (Water Service Providers) and Eskom during the development of this programme. This is to ensure integration of the various institutions' disaster risk management programmes and objectives in order to prevent contradictory provisions in the programmes that would confuse Water Service Authorities affected by these programmes.

# b) Setup workshops with other institutions involved to ensure successful development of the disaster risk management programme

Workshops needs to set up with Water Service Providers and Eskom to ensure the disaster risk management programme's is developed with the correct information and agreed objective from the various organisations. These workshops should be held on an ongoing basis as the disaster risk management programme is developed until the final adopted programme is accepted by all organisations involved.

Additional workshops should also be held specifically between the Water Service Authority and provider(s) to ensure that the Water Service Provider(s) (Rand Water and Magalies Water) understand the requirements of the Water Service Authority in terms of is minimum water supply requirements during the water restrictions.

Another outcome of these additional workshops is to ensure that the Water Service Authority be informed of which Water Service Provider will provide water to which of the authority's zones and at what level of curtailment.

#### c) Identify training needs of staff

The managerial, technical (design staff), operational and administrative staff should be trained to better understand the risks associated with their organisation as a whole and the risks specifically due to the effect of electricity disruptions on water supply.

## d) Review and correct current agreements between Water Service Providers and Water Service Authorities (municipalities)

This guideline is applicable in situations where reservoirs are used by multiple institutions. Current reservoir operating procedures between various entities are only defined for normal system operation and do not make provision for scenarios where water restrictions are implemented.

In terms of this project this is applicable to a reservoir that is owned by Johannesburg, supplied by Rand Water and distributes water to both Johannesburg and Tshwane. Normal operating procedures are defined for the reservoir in that the reservoir's outflows are metered and billed separately for each Water Service Authority by Rand Water. The procedures to follow in the case of water supply interruptions or water restrictions are, however, not defined.

These situations should be addressed by Water Service Authorities in order to define procedures to be followed in case of water supply interruptions or water restrictions. It is proposed that these situations be addressed in the form of a memorandum of understanding between the various entities and that information stemming from the development of such understandings be conveyed to technical and operational staff of all institutions involved.

It should also be ensured that shared reservoirs have sufficient storage capacity to accommodate the demand of all zones supplied from the reservoir (refer to Section 6.2(a)). In this instance this is especially important to the City of Tshwane, which also requires negotiation with another Water Service Authority (the City of Johannesburg).

#### e) Establish community awareness programmes

Community awareness programmes of the Water Service Authority should summarise the capabilities and constraints of the organisation in a clear and understandable way so that the general public can appreciate the implications of risks and challenges faced by the Water Service Authority and the Water services Provider and the boundaries between the responsibilities of each.

The Water Service Authority's community awareness programmes should summarise the capabilities and challenges that the organisation faces during normal operation and during emergency operations. These programmes should also clearly indicate what the Water Service Authority has done in preparation to mitigate various risks identified and specifically to mitigate the risks associated with the effect of electricity disruptions on water supply.

Volunteer programmes should also be included as part of the community awareness programme. Volunteer programmes will minimise the risk of community uprising in the event of an electricity disruption as the community will feel like they are (and will remain) part of the solution.

## f) Establish public communication strategies

Most importantly, it is imperative to win the cooperation of the public in adhering to water rationing and to calm public reaction when they realise the gravity of the situation.

It is essential to keep the public informed of emergency situations so that they know where and when to get water, receive regular updates on how long the crisis is expected to last and what progress has been made and receive assurances that the matter is under control. At the very earliest stages their co-operation in implementing and maintaining emergency water restrictions is required. Full and rapid use of radio, cell phone, telephone, TV, printed media, notices in public places and in streets and dissemination through municipal structures and NGOs. Municipal Call Centres need to be prepared to handle a massive influx of public enquiries and armed with suitable messages for high risk disruptions. Arrangements must be made ahead of time with media houses, Ward Committees and NGOs.

Key information required for dissemination during high risk incidents must be prepared ahead of time so that rapid deployment can take place. Community information structures for rapidly disseminating information should be prepared ahead of time.

Stocks of hand outs and posters need to be prepared ahead of time to cover high risk events since printing facilities are unlikely to have power supplies and few of
their staff will be at work due to traffic and fuel problems and dealing with their own domestic problems.

## 4.4.3 Infrastructure guidelines for water service authorities

This Section describes design guidelines proposed for Water Service Authorities. These guidelines are applicable to technical personnel of the Water Service Authority that are responsible for planning, design, construction and maintenance of infrastructure.

## a) Provide sufficient reservoir storage capacity

It needs to be determined whether the Water Service Authority's reservoirs have sufficient capacity to provide 48 hours of spare storage capacity based on a the distribution zone's annual average daily demand, plus the storage required to meet the needs of the Water Service Authority (given that the reservoir is operated optimally as described in Section 4.4.1 point (a), (b) and (c)). If it is determined that the available storage capacity is insufficient, additional storage capacity should be made available through the design and construction of an additional reservoir / reservoirs.

New reservoirs should be designed to have sufficient spare storage capacity to meet the above needs based on the distribution zone's future predicted annual average daily demand.

# b) Provide backup power generation

Backup power generation capacity should be determined based on the Water Service Authority's control methods (i.e. the use of automated valves, telemetry and SCADA systems). It is proposed that the Water Service Authority identifies which parts of his water distribution system that normally operate automatically can be operated manually without electricity (such as reservoir control valves). This information should be used by the Water Service Authority to plan, design and provide additional power generation or backup power facilities only where it is absolutely necessary.

To prohibit long-term sewer spillages and contamination of raw water sources during electricity disruption events, backup power generation infrastructure should also be designed and constructed for the Water Service Authority's sewerage pump stations and wastewater treatment works.

## c) Provide electricity supply redundancy

Depending on the outcome of recommendation 6.1.1(d), the City of Tshwane (or Eskom) should design and construct the switchgear and transmission lines necessary to enable each of the two main substations to supply either of the two major supply area zones.

The rationale is given in Section 6.1.1(d).

## d) Provide storage facilities for chemicals, fuel and spares

Storage facilities for chemicals, fuel and spares should be designed and constructed to ensure sufficient stock is available in the event that electricity disruptions affecting the supply of these stocks. The size of storage facilities should be sufficient to store enough stock for emergency works operation during the electricity disruption period as well as the recovery period after the disruption during which supplies can still be affected. In determining stock requirements it is important to note that after a regional blackout, getting Sasol's operations back on stream could take 2½ weeks, resulting in serious widespread fuel and chemical shortages. In the event of a national blackout shut downs of oil refineries would exacerbate this situation.

#### e) Design and construct emergency communication systems

Based on the communication requirements of the Water Service Authority's disaster risk management programme communication facilities should be designed and constructed. This is to ensure that effective communication can be maintained with all institutions (Eskom, Water Service Providers and other affected parties) in the event of an electricity disruption.

The Water Service Authority's communication systems should also make provision for the communication requirements within the Water Service Authority's own emergency operations. These systems include: SCADA and telemetry information where it cannot be done without in an emergency situation, communication between various sites such as pump stations (potable and sewerage), reservoir sites, wastewater treatment works and the central control room (emergency control room).

## f) Design and construct an emergency / disaster office and situation room

To successfully implement its disaster risk management programme it is proposed that the Water Service Authority design and construct an emergency disaster office and situation room.

If available, the Water Service Authority's existing control room can be upgraded for this purpose. It is recommended that the existing control room be evaluated in order to determine additional requirements to meet the needs of the emergency disaster office and situational room.

# g) Provide the necessary valves to distribute water during emergency situations

Based on the emergency operations procedures as defined the Water Service Provider's disaster risk management programme the necessary valves and telemetry systems should be incorporated into the institution's existing infrastructure. Most of the infrastructure required for emergency operations will probably already be in place as this is used to manage its distribution zones.

This is considered essential since the general public cannot be expected to implement effective water restrictions within a few days or even a month. Hence restrictions will have to be initiated at source reservoirs and valves at key points within the reticulation system.

It is proposed that the existing infrastructure be evaluated to determine if the emergency operations procedures can be accommodated with current infrastructure and in order to determine what additional infrastructure is required for the implementation of the emergency operations procedures.

#### h) Evaluate, design and construct water distribution cross linkages

It is recommended that the Water Service Authority determines the current capacity of existing cross linkages and connections between bulk reservoir distribution zones. This information should be compared with the minimum required volumes of each zone in order to determine whether reservoirs with surplus storage capacity can accommodate areas supplied by reservoirs with insufficient storage capacity.

This information is especially important for mitigating the effects of localised electricity supply disruptions on water supply. For example, water supply

interruptions in isolated areas within the Water Service Authority's boundaries can be addressed from areas without interruptions.

# i) Re-evaluate existing infrastructure in order to optimise future electricity consumption based on updated information available

The Water Service Authority should ensure that its internal supply pipelines (internal pump stations) are operating effectively. It is proposed that current infrastructure's electricity consumption cost is compared to optimise infrastructure's electricity consumption cost (including the cost of upgrading current infrastructure).

If it is determined (through a life cycle cost analysis) that upgrading infrastructure is the most economical solution, the Water Service Authority should plan the necessary upgrades of its pipelines and pump stations. This will not only benefit the Water Service Authority in terms of minimising its assets' total life cycle cost but will also decrease future electricity demand.

# j) Optimising electricity demand of existing infrastructure

To further reduce electricity demand especially during peak electricity demand periods, the Water Service Authority should ensure that pump schedules (of pump stations within the authority's boundaries) are optimised in terms of electricity peak, standard and off-peak times.

This will not only reduce the electricity cost of pump stations but will also ensure that the Water Service Authority plays its role in minimising electricity consumption during peak electricity demand periods.

# k) Re-evaluate current security design criteria, adopt and implement revised criteria and implement revised security criteria

It is proposed that current security design criteria be reviewed based on the outcome of the risk assessment in terms of security threats. It is further proposed that revised security related design criteria be developed and adopted.

The current infrastructure should be evaluated based on the revised security related design criteria in order to ensure that risk related to vandalism, theft, sabotage and terrorism can effectively be mitigated.

## I) Initiate additional Water Conservation Demand Management projects

Managing real water losses in Water Service Authorities is critical when water demand needs to be minimised especially when water restrictions are implemented.

It is therefore proposed that Water Service Authorities take drastic action to minimise water losses. This could only be achieved through effective implementation of Water Conservation and Demand Management projects.

#### m)Analyse the effect of electricity disruptions on water supply

It is proposed that the Water Service Authority analysis the effects of various electricity disruptions (distribution failures, regional and national blackouts) to determine the theoretical effects of these disruptions on water supply.

# n) Provide emergency power generation needs for wastewater treatment works

As required, provide portable generators and storage facilities to deal with electricity outages of relatively small extent.

Where appropriate, design and build permanent standby generators with suitable fuel storage facilities, or biogas powered generators fed from Wastewater Treatment Works sludge digesters.

# 4.5 Guidelines for other affected parties

#### 4.5.1 Guidelines for other institutions

It is proposed that Water Service Providers and Water Service Authorities identify other institutions that may be required to participate in mitigating the effects of electricity disruption on water supply.

These institutions include:

- Health services (both state and private),
- The National Defence Force,
- The Police Force,
- Public Organisations,
- Political Parties (to ensure stable social acceptance of the electricity disruption event and cooperation with mitigation steps taken),

- Communication centres,
- State and private radio stations, TV channels and printed media,
- Other countries (developments of a quid pro quo type of arrangement which will bind South Africa to assisting other countries if they are affected by disastrous events given that other countries will assist South Africa in return),

After Eskom, Water Service Providers and Water Service Authorities have completed the development of their disaster risk management programmes, it is proposed that the above mentioned institutions be made aware of what the programmes consist of. This will provide these institutions with good information to be used for the development of their own risk management programmes if they deem it necessary.

# 4.5.2 Guidelines for the general public

It is of critical importance to win the general public's buy-in and acceptance of the various stakeholders' disaster risk management programmes. The public should be recommended to make the necessary preparations for any event, regardless of the effectiveness of the implementation of the institutional disaster management program.

If this is implemented correctly, it can achieve the following:

- It will ensure that the public gains an understanding of the risks associated with electricity disruptions and the resultant water supply failures,
- It will give the public an appreciation of the scale of preparation work necessary to prepare adequately for such an event (given that the necessary institutional preparation is being done), and
- It will increase the effectiveness of institutional arrangements made to mitigate the effect of electricity disruption on water supply. (If the public took the necessary precautions as proposed, it is possible that they use emergency water stored for disaster events and will therefore not be totally reliant on municipal water)<sup>2</sup>. Suitable household treatment of swimming pool water could serve a similar purpose. This would require that such households keep adequate stocks of chemicals needed to purify the water that they draw and know how to use them.

<sup>&</sup>lt;sup>2</sup> This is, however, unlikely since the public will most probably deplete the stored municipal water before resorting to their own stored emergency water.

# 5 CASE STUDY

## 5.1 Background on the City of Tshwane

### 5.1.1 Introduction

In order to estimate the number of people whose water supply will be affected by an electricity disruption event it is necessary to know the city's population, its number of households, the city's water demand and the city's available water storage capacity as well as the co-dependence of the water and electricity networks.

Furthermore, the city's economic information is used to quantify the economic effect of water supply interruptions due to electricity disruptions. Some background on the city's economy is therefore also given.

According to the City of Tshwane's Integrated Development Plan for the period 2016 to 2021 the city had a population of 3 152 162 in 2015. There are approximately 911 550 households in the City of Tshwane. Therefore the number of inhabitants per household in the City of Tshwane is approximately 3.5.

The City of Tshwane plays an important economic role in South Africa and Africa. The city accounts for approximately 28% of Gauteng's Gross Domestic Product (GDP) output and 10% of South Africa's GDP output. Furthermore, it is estimated that approximately 2% of Africa's GDP output can be attributed to the City of Tshwane. Gauteng's GDP in 2014 was R720 billion and the City of Tshwane's 2014 GDP was R202 billion (Tshwane Economic Development Agency, 2015).

Comparing this to the city's population puts the importance of the City of Tshwane in terms of its economy into perspective, as is summarised in **Table 5-1**.

Table 5-1: The importance of the City of Tshwane's economy in South Africa and
Africa (Statistics South Africa, 2016).

Comparison area	Tshwane contribution	Percentage of total
	towards GDP	population
Gauteng	28%	23%
South Africa	10%	6%
Africa	2%	0.3%

The following can be determined from **Table 5-1**:

- Although only 6% of South Africa's population lives in the City of Tshwane, the city accounts for 10% of the country's GDP, and
- Although only 0.3% of Africa's population lives in the city, the city accounts for 2% of Africa's GDP.

The most important economic sectors in the City of Tshwane are the government, social and personal services sector followed by the finance and business services sector. The city's various sectors' contribution to its economy is illustrated in **Figure 5-1**.



Figure 5-1: City of Tshwane's various sectors' contribution to its economy (Tshwane Economic Development Agency, 2015).

Although all of the sectors listed in **Figure 5-1** will be affected if water supply is interrupted as a result of an electricity disruption event, only a few sectors will be affected in such a way that their day to day economic activities can't continue. The effect that water supply interruptions will have on the various sectors' economic activity is summarised in **Table** 5-2.

# Table 5-2: Effect of water supply interruptions on various sectors' economic activity.

	Will sector's	
Soctor	economic	Commont
Sector	activity be	Comment
	affected?	
Government, social	No <sup>1</sup>	Social services including hospitals,
and personal services		clinics and the fire brigade won't be able
		to continue with their work if water
		supply is interrupted. Water is less
		important to these services in terms of
		economic activity and more important in
		terms of the service being delivered.
Finance and business	No <sup>1</sup>	
services		
Wholesale and retail	No <sup>1</sup>	
trade		
Manufacturing	Yes	Only if the specific industry requires
		potable water from the City of Tshwane
		(specifically wet industries)
Transport and	No <sup>1</sup>	
communication		
Construction	Yes	Only if the construction activity requires
		potable water to continue construction
		(i.e. concrete mixing batch plants wetting
		soil for optimum compaction, dust
		control)
Electricity, gas and	Yes	This sector is intrinsically linked to the
water		topic of this case study (i.e. these
		industries will be the reason for the
		electricity disruption event or won't be
		able to supply water due to the
		disruption event)
Agriculture, forestry	No	The agriculture and forestry industries
and fishing		typically use raw water for their
		activities
Mining and quarrying	No	Mining and quarrying activities will
		typically use raw water

Note:

1 Water is not part of the product of these economic activities. Hence they are unaffected by smaller duration water supply disruptions. However, a minimal

supply of potable water is essential to sustain their workforce, without which economic activity ceases.

It should be noted that if an electricity disruption event affects a sector in such a way that its economic activities can't continue, the fact that it will not have water will not have any further economic effect on the sector's economic activity. The economic effect of water supply interruptions therefore only comes into play when economic activity can't continue as a result of the water supply interruption and the industry is not affected by the electricity disruption event<sup>3</sup>.

# 5.1.2 The City of Tshwane's water demand

The City of Tshwane's current AADD is approximately 843 Mℓ/day according to the latest City of Tshwane Bulk Water Supply Systems' Master Plan (GLS Consulting, 2017). According to the City of Tshwane's Annual Report for the 2014/2015 financial year (2015) the city's water demand by each sector is given in

**Table** 5-3.

					Unaccounted	То	
Sector	Agriculture	Forestry	Industrial	Domestic	for Water	neighbouring	Other
					Losses	municipalities	
Percentage							
of water	0%	0%	4.6%	53.2%	22.0%	7%	13.2%
use							

Table 5-3: City of Tshwane water use by sector (City of Tshwane, 2015).

Assuming that unaccounted for water losses are distributed evenly between Industrial, Domestic and Other water uses, the city's water demand per sector in Tshwane is therefore summarised in **Table** 5-4 below.

<sup>&</sup>lt;sup>3</sup> An example of this is where the industry has made provision for backup power generation facilities in the event of an electricity disruption but cannot continue with its day to day activities due to water supply interruptions also resulting from the electricity disruption event.

Industrial	Domestic	Other <sup>1</sup>	Total
6.5%	75.0%	18.6%	100%
55	632	157	843
55	032	157	045
12	197	171	650
42	407	121	030
	Industrial           6.5%           55           42	Industrial         Domestic           6.5%         75.0%           55         632           42         487	Industrial         Domestic         Other1           6.5%         75.0%         18.6%           55         632         157           42         487         121

Table 5-4: City of Tshwane water use by sector – simplified (City of Tshwane, 2015).

<u>Notes</u>:

1 It is assumed that "Other" water use includes commercial, municipal, government, education, health services and the construction sectors' water use.

2 The city's total water losses of 193 M $\ell$ /day (refer to **Section 5.1.8**) are excluded for each sector's water demand as water losses are dealt with separately in some calculations in Chapter 5.4 and 5.5 of the report.

It is important to keep in mind that the city's water demand varies based on numerous variables, including:

- The day of the week,
- The season,
- The temperature, and
- The climate (i.e. precipitation / sunshine).

The 7-day peak demand in summer can typically be 1.5 times the AADD (Council for Scientific and Industrial Research, 2005). Winter off-peak periods' 7-day minimum demand can be as low as 0.65 of the AADD based on the outcome of a recent study done for the Mangaung Metropolitan Municipality in which 10-year's daily water demand in Bloemfontein was analysed (Bigen Africa, 2016).

It should further be noted that an area's AADD is not an accurate measure of water demand if drastic water restrictions are imposed. Especially taking into consideration that if people are made aware of possible water supply interruptions they will probably disregard calls to use water sparingly and try to fill up all empty baths, sinks and bottles that they have to ensure that they have water for the first couple of days of the water supply interruption. What's even worse is that people will probably also continue to irrigate their gardens and fill their swimming pools (because they won't be able to do that once the taps run dry). Therefore, if water restrictions are not implemented and controlled effectively, it can result in water demand spikes.

a) CoT's Domestic water use

The current daily household water demand is on average 693  $\ell$ /household taking into account the city's current AADD and the fact that 75% of the city's AADD is for domestic

use. Based on the city's population and its AADD the daily water demand per person is on average 200  $\ell$ /person.

Typical domestic water use in South Africa is illustrated in **Figure 5-2** below (Price, 2009). As can be seen, outdoor use of water (irrigation and swimming pools) generally makes up a quarter of total water demand in a residential area.



Figure 5-2: Typical domestic water use breakdown (Price, 2009).

b) Industrial water use and its impact on the economy

The city of Tshwane's industrial water demand is 55 M $\ell$ /day. Industries are classified as either dry- or wet-industries. Wet-industries require water as part of their production processes and will therefore be economically affected if water supply is interrupted.

As summarised in Section 5.1.1, the City of Tshwane's sectors that will be economically affected by water supply interruptions include Manufacturing and Construction. These industries account for 16.6% of the city's GDP of R202 billion, or R33.5 billion.

The industries that account for approximately 80% of the City of Tshwane's industrial water use include brewery, recycling, beverages, textile, and food manufacturing and canning industries. The proportional water use of these industries is illustrated in **Figure 5-3**.



Figure 5-3: Top 80% water use industries in the City of Tshwane (Cloete et al., 2010).

No additional information of the city's distribution between wet- and dry-industries could be obtained and further research on this field will be required. For the purpose of this study it is assumed that the whole manufacturing sector (i.e. industrial water users) will be economically affected by water supply interruptions and that the part of the construction sector that will be economically affected by water supply interruptions is negligible.

As discussed in Section5.1.1, the manufacturing sector accounts for 11.2% of the city's economy, or R22.6 billion per year. The worst case economic impact of water supply interruptions on the city's industrial sector will therefore be R62 million per day of water supply interruption. For the purpose of the case study it is assumed that wet-industries are evenly distributed in the City of Tshwane for larger areas analysed as part of the Electricity Disruption Scenarios in Chapter 5.4 of this report.

This is only a rough estimate of the economic impact of water supply interruptions on the city's wet-industries and as stated above further research on this topic will be required in order to determine:

- Detailed water demand data of Tshwane's industrial sector, specifically the water demand of the city's wet- and dry-industries,
- The economic contribution of the city's industrial sector, specifically in terms of wet- and dry-industries,
- Spatial distribution of the city's industrial sector's wet- and dry-industries. This information will be useful to identify areas where most wet-industries are located in order to accommodate these industries during water supply interruptions, and

• Data indicating how many of the city's industries have backup power supply / generation facilities in place to continue operations in the event of electricity disruption events (specifically for wet-industries).

This additional information will be required to more accurately determine the economic impact of water supply interruptions due to electricity disruption events in the City of Tshwane.

# c) "Other" water use

As stated above "other" water use includes commercial, municipal, government, education, health services and the construction sectors' water use.

In a situation where water supply is interrupted due to an electricity disruption event, it will be crucial for these water-use sectors to decrease their water demand. The water demand of these sectors can be divided up into critical water demands and non-critical water demands.

Critical water demands include water for health services, water for the police and army to be able to perform their function, water for firefighting and water for laboratories and scientific research institutes that require it for their continued operation.

Non-critical water demands include water for commercial areas, retail areas and water for governmental and municipal use. This will typically be water that will be used for washing of floors and windows and water for irrigation. There will, however, be a minimum water demand for these sectors to continue with daily operations – if possible this minimum water demand for these sectors should still be supplied.

Detailed information on "other" water use sectors' critical and non-critical water demand will be required in order to determine the minimum water demand for these users. This needs to be addressed in future studies. For the purpose of this study it is assumed that "other" water use can be decreased by 75% whilst ensuring critical water demands can still be supplied.

# 5.1.3 Emergency water supply

Emergency household water storage is a possible mitigation measure that can assist authorities greatly if a hazardous event results in water supply failures. There are various guidelines on the minimum volume of water that should be stored at a household for emergency use. This section will briefly discuss these guideline's recommendations. The Centre for Disease Control and Prevention (CDC) has guidelines for emergency water supply in case a disaster strikes. This emergency water supply is based on an absolute minimum daily water supply for drinking, cooking and basic hygiene. These are summarised in three steps (Centre for Disease Control and Prevention, 2016):

# 1) Determining how much water a household requires:

The volume of water to be stored for emergency use should be calculated as follows:

- A bare minimum of 4 *l* of water per person per day for at least 3 days for drinking purposes (i.e. 12 *l* per person),
- Water for cooking and personal hygiene, and
- Water for household pets.

It should be kept in mind that children, pregnant women, sick people and people living in hot climates will need more water.

# 2) Gather and store the emergency water supply:

Emergency water can be either pre-packaged bottled water (the safest option) or filled water containers. The following containers should be avoided:

- Containers that have held any poisonous substance,
- Containers that can break easily,
- Containers without a tight seal,
- Containers that can be hard to clean, and
- Containers that are made of plastics that can break down over time.

Stored emergency water should be replaced every 6 months.

# 3) Stay healthy and safe:

Some additional precautions highlighted by the CDC include:

- Stay hydrated (never drink less than the prescribed minimum volume of water to ration it it will end in dehydration),
- Only drink clean water (if emergency stored water is finished, take steps to ensure that additional water obtained is as clean as possible before drinking it), and
- Protect the household (shut-off the household's erf connection valve to ensure that unsafe water cannot enter the home).

In terms of South Africa's proposed minimum water requirements as per the Council for Scientific and Industrial Development (CSIR), the bare minimum water requirement is 25  $\ell$  per person per day (CSIR, 2005).

These minimum water requirements proposed are set to sustain basic human life. However, it does not take into consideration additional water requirements to sustain economic, administrative, public health and safety activities in urban area's industrial and commercial areas.

# 5.1.4 The City of Tshwane's minimum water demand for household use

As was discussed in the above section the recommended minimum daily water supply is 25 litres per person per day (Council for Scientific and Industrial Research, 2005).

Based on this recommended minimum, the City of Tshwane with its population of about 3.2 million will require 80 M $\ell$ /day of potable water if all citizens are supplied with the recommended minimum. Supplying only this recommended minimum water to the city's community would decrease the city's demand by more than 90%. This decreased demand does not, however, take into account real water losses in the system (this is discussed in more detail in Section 5.1.8).

Supplying only the above minimum domestic water demand into the CoT will still result in a high risk of civil unrest and guaranteed economic loss due to water interruptions to wet industries and the city's other economic sectors. Therefore, a basic minimum water supply during electricity disruption events that takes into consideration the cost vs. benefits of ensuring uninterrupted water supply is defined in Section 5.5.

# 5.1.5 The City of Tshwane's water storage capacity

The City of Tshwane has 166 water storage reservoirs and ground level tanks with an average volume of approximately 11 M $\ell$  and a combined total volume of approximately 1877 M $\ell$  (GLS Consulting, 2017). Furthermore, the city has 38 elevated water storage towers with an average volume of approximately 342 k $\ell$  and a combined total water storage volume of approximately 13 M $\ell$  (City of Tshwane, 2015).

The total available (spare) water storage capacity of the city is an important parameter when developing alternative solutions in dealing with the effect of electricity disruptions on water supply.

The available water storage capacity is estimated for the City of Tshwane based on the assumptions made as discussed below.

The Guidelines for Human Settlement, Planning and Design (2005) recommends that reservoirs' water storage volume makes provision for the following as is illustrated in **Figure 5-4** below:

- The reservoir's fluctuation volume: storage volume to accommodate diurnal peak demands combined with summer peak demands this is required to ensure that the reservoir level doesn't drop below the 2xAADD storage capacity as part of daily fluctuations, and
- Two times the reservoir zone's AADD<sup>4</sup>,
- Additional storage volume to accommodate fire water storage in accordance with the national standard SANS 10090 (2003): Community Protection Against Fire.



Figure 5-4: Reservoir sizing guidelines.

As stipulated in SANS 10090 (2003) the fire water storage requirement is based on the fire risk category of the zone supplied by the reservoir. The fire water storage required in reservoirs for the various fire risk categories is summarised in **Table 5-5**.

<sup>&</sup>lt;sup>4</sup> This can be reduced to 1 day's AADD if the reservoir can be filled from multiple sources which will reduce the risk of water supply interruptions. This is, however not the case for the City of Tshwane's water sources as the bulk of its potable water is supplied from Rand Water.

Fire water storage required			
Risk category	Flow required	Duration required	Fire water storage required
	<b>ℓ</b> /min	hours	kℓ
А	13000	4	3120
В	9000	4	2160
С	6000	2	720
D1	1900	2	228
D2	2850	2	342
D3	3800	2	456
D4	5700	2	684

#### Table 5-5: Fire water storage required.

The various fire risk categories are summarised in **Table 5-6**.

Table 5-6: Fire risk categories.

Fire risk categories		
А	Non-residential buildings with divisions not greater than 5000 m <sup>2</sup>	
В	Non-residential buildings having divisions not greater than 2 500 m <sup>2</sup>	
С	Non- residential premises not greater than 1 250 m <sup>2</sup>	
D1	Houses > 30 m apart	
D2	Houses 10,1 to 30 m apart	
D3	Houses 3 – 10 m apart	
D4	Houses < 3 m apart	
E	As determined by risk assessment - To be defined by fire department	

In order to determine the city's available water storage the following assumptions are made:

- That water storage reservoirs have an operation fluctuation volume of  $10\%^2$ ,
- That elevated storage towers have an operational fluctuation volume of 20%<sup>5</sup>,
- That operational rules to ensure that water levels in reservoirs and towers are adhered to and that reservoirs and towers are only operated within their fluctuation volume,
- That reservoirs have a dead storage volume of 1%<sup>2</sup>,
- That elevated towers have no dead storage volume,
- That water storage for fire water requirements is in terms of fire risk category C which equates to 720 kl of water storage per reservoir for fire water requirements, and
- That no provision for fire water storage is made in elevated towers since elevated towers are usually constructed next to / close to reservoirs from which they are

<sup>&</sup>lt;sup>5</sup> Based on the percentage of the total reservoir and elevated tank storage volume.

supplied via pumps (i.e. it is assumed that towers zones' fire water storage required is also stored in the reservoirs from which they are supplied)<sup>6</sup>.

Based on the assumptions above, the City of Tshwane's current AADD and the current number and volume of reservoirs and elevated towers, the city theoretically has approximately 1561 M $\ell$  or 1 day and 20 hours' worth of available water storage (assuming that reservoirs' fire water storage is not included as available storage capacity).

If reservoirs' fire water is also included as part of available water storage, the city has approximately 1681 M $\ell$  or 2 days' worth of available water storage. The risk of this approach is that if the municipal supply fails there will be no fire water available at hydrants to fill up fire engines' tanks in case of a fire.

The available water storage estimated above is for the City of Tshwane as a whole and does not compare specific reservoirs and/or towers with the zone(s) supplied from them. The outcome of a detailed comparison between each reservoir and tower and the zone(s) supplied from them will indicate that some reservoirs have a surplus (i.e. more than two days' AADD stored as available storage capacity) and others a shortage.

The exercise of comparing the city's total available water storage capacity with its demand gives a good indication of the period that water will be available in the event of an electricity disruption.

# 5.1.6 The city's water pipelines

The City of Tshwane has 10 505 km of bulk and distribution pipelines. The total volume of water in the city's water pipelines equates to approximately 456 M $\ell$ . In a critical water supply interruption situation, this volume of water can also be utilised to ensure citizens are supplied with water.

This will only be possible if the water can be accessed at local low points in the distribution network. Furthermore, emptying the city's bulk and distribution pipelines will mean that polluted groundwater can infiltrate the water network if the city's pipelines are empty and the ground water table is above the pipelines. This will result in a water quality risk when water supply is resumed after the electricity disruption event.

<sup>&</sup>lt;sup>6</sup> This means that in order to be able to supply the elevated towers zones' minimum fire flow requirements during electricity disruption events backup power generation facilities are required at the pumps supplying the elevated towers.

# 5.1.7 Clean Water Pump Stations in the City of Tshwane

There are 102 active pump stations in the city (GLS Consulting, 2017). Functions of the pump stations include (excluding sewer pump stations):

- Raw water abstraction;
- Water treatment;
- Bulk water supply from the city's water treatment works;
- Distribution pump stations within the city;
- Network booster pump stations;
- Pump stations to fill elevated water towers; and
- Boreholes.

In order to gauge the importance of each of these pump stations in the event of an electricity disruption event, the following information will be crucial:

- The area supplied from the pump station;
- The power requirements of each pump station;
- The number of households served (or population served); and
- The primary function of each pump station (i.e. the level of service provided by each pump station<sup>7</sup>).

The abovementioned information is important in order to prioritise pump stations to be provided with backup power generation in case an electricity disruption affects the pump station. This information was not available at the time of this case study and will therefore have to be included in future studies.

#### 5.1.8 Water losses in the City of Tshwane

This section gives an overview of the City of Tshwane's Water Conservation Demand Strategy (WCDS) and real water losses. Real water losses are the physical losses of water from a distribution system. Real losses increase the production and distribution costs of potable water and increase the stress on raw water resources (AWWA, 2017).

The city has a potable water System Input Volume (SIV) of 342 million m<sup>3</sup> per annum (937 M $\ell$ /day). Non-Revenue Water (NRW) makes up approximately 25.7% of the SIV. Real losses account for 80% of the city's NRW and equate to 70 million m<sup>3</sup> per annum (193 M $\ell$ /day) (City of Tshwane, 2015). Note that the System Input Volume of

<sup>&</sup>lt;sup>7</sup> It will be a lower priority to provide backup power generation to a network booster pump station ensuring municipal pressures in the network are maintained during peak demand periods than to provide backup power generation to a pump station filling reservoirs.

937 M $\ell$ /day is not in line with the city's AADD of 843 M $\ell$ /day used in this report (referred to in **Section 5.1.2**). This discrepancy is briefly explained as follows:

Approximately 7% of the city's water is exported to neighbouring municipalities (or 66 M $\ell$ /day). The remaining part of the city's SIV is 870 M $\ell$ /day (this is 2015's data), referred to as the "WCDS AADD". Assuming a 1.5% water demand growth rate per annum the city's water 2017 WCDS AADD would have been 896 M $\ell$ /day. This is 6% higher than the "CoT AADD" of 843 M $\ell$ /day. The reason for the discrepancy is most probably due to the source of the data used for the AADD determination:

- The data source used for WCDS AADD determination is typically monthly bulk water sales invoices from Water Service Providers (WSPs) supplying water to the city (including bulk water supply from the city's own sources). Even accurately calibrated bulk flow meter readings can be out by a few percent. (If the meters are old and not maintained this can be even more).
- The data source used to determine the CoT AADD is GLS' Swift software, which statistically analyses the city's water users' monthly water demand to determine the theoretical AADD of the users. For users that are not billed monthly, the theoretical AADD of the water demand is calculated separately in accordance with provisions made in the Guidelines for Human Settlement, Planning and Design.

Although either of the two AADDs listed above (the WCDS AADD of 896 M $\ell$ /day and the CoT AADD of 843 M $\ell$ /day) can be correct, the discrepancy between the two (of 6%) is deemed negligible and the CoT AADD was used for calculations in the report.

A problem with real water losses is that the losses will typically stay constant or increase if water demand drops due to the implementation of water restrictions due to the following reasons:

- The water level of reservoirs and elevated water towers will rise to the full storage level as demand decreases, and
- As demand decreases friction and secondary pressures losses in the distribution network will decrease.

The result of this is that distribution zones' pressures will tend to increase towards the zones' static pressures and therefore increase the real water losses through leaks.

Losses will only start to decrease once the pressure in the system falls due to emptying reservoirs and water towers.

The City of Tshwane's current real loss volume as a percentage of its AADD is 23%. If 50% water restrictions are imposed effectively, the real loss volume as a percentage of the city's AADD will jump to  $\pm 46\%$ . This means that if 50% water restrictions are imposed as a measure to mitigate the impact of electricity disruptions on water supply, approximately half of the water stored in the city's reservoirs and towers will be lost through real losses (i.e. leaks, connection losses, evaporation, etc.).

The city's total available water storage volume estimation described in Section 5.1.5above of 1561 M $\ell$ /day and the average daily real water losses of 193 M $\ell$ /day are important parameters to keep in mind when identifying and developing measures to mitigate the impact of electricity disruptions on water supply.

It is imperative that the city (and the country) reduces its real water loss as there are numerous advantages to this, including:

- Less raw water used;
- Less energy consumed across the water sector (from raw water abstraction, treatment, distribution, wastewater treatment to discharge);
- A lower water bill for municipalities whilst keeping water sales revenue unchanged; and
- More effective water-use curtailment if water restrictions are imposed (important in this study).

To put the real loss in the city (at 23%) into perspective in terms of what can be achieved, real water losses in Israel is estimated at 10% nationwide (Kaye, 2017) whilst the real water loss rate in Dubai is 8.26% (Khaleej Times, 2017).

# 5.1.9 The City of Tshwane's bulk water supply

The City of Tshwane is supplied with potable water from Rand Water, Magalies Water, its own sources and a few small scale private suppliers.

The majority of the city's water is supplied from Rand Water. Approximately 81% of the city's water is supplied from Rand Water ( $\pm$ 76%) and Magalies Water ( $\pm$ 5%) (City of Tshwane, 2015). Rand Water therefore supplies an average of 641 M $\ell$ /day to the City of Tshwane based on the city's current AADD.

Magalies Water has three WTWs supplying water to the City of Tshwane (among others) including (Magalies Water, 2015):

- Klipdrift WTW (18 M $\ell$ /day);
- Cullinan WTW (16 M $\ell$ /day); and
- Wallmannsthal WTW (12  $M\ell/day$ ).

Magalies Water's water treatment works supplying the City of Tshwane therefore has a total treatment capacity of 46 M $\ell$ /day.

The City of Tshwane has four large water treatment works, namely (GLS Consulting, 2017):

- Rietvlei WTW (40 M $\ell$ /day);
- Roodeplaat Dam WTW (90 M*l*/day);
- Temba WTW (60  $M\ell/day$ ); and
- Bronkhorstspruit WTW (54 M $\ell$ /day).

The City of Tshwane's water treatment works are shown below in **Figure 5-5** (City of Tshwane, 2015). The Bronkhorstspruit WTW is located approximately 50 km east of the city at the Bronkhorstspruit Dam.



Figure 5-5: Location of the City of Tshwane's water treatment works (City of Tshwane, 2015).

There are also a few smaller water treatment works within the city's urban edge supplying approximately  $1 M\ell/day$  in total to the city's inhabitants. These water treatment works include the Kungwini Country Estate WTW, the Bronkhorstbaai WTW, the Summerplace WTW and the Aqua Vista WTW (GLS Consulting, 2017).

# 5.1.10 The City of Tshwane's wastewater treatment works

According to the Department of Water Affairs (now Department of Water and Sanitation) Masterplan for Gauteng Wastewater Treatment Works (2014) the City of Tshwane has a total of 27 wastewater treatment works. The capacity of these wastewater treatment works is summarised below:

- The works have a total wet weather capacity of 1593 M $\ell$ /day; and
- The total biological capacity of the works is 692 M $\ell$ /day.

A summary of the City of Tshwane's 10 largest wastewater treatment works is given below, these wastewater treatment works account for 86% of the city's total wastewater treatment capacity (Department of Water Affairs, 2014):

- 1. Babalegi WWTW (2.3 Mℓ/day);
- 2. Temba (Klipdrift) WWTW (12.5 M*l*/day),;
- 3. Rietgat WWTW (20 Mℓ/day);
- 4. Klipgat WWTW (55 M $\ell$ /day);
- 5. Sandspruit WWTW (20 Mℓ/day);
- 6. Rooiwal WWTW (220 Mℓ/day);
- 7. Zeekoegat WWTW (30 Mℓ/day);
- 8. Baviaanspoort WWTW (60 Mℓ/day);
- 9. Daspoort WWTW (55 M $\ell$ /day); and
- 10.Sunderland Ridge WWTW (95 Mℓ/day).

The locations of the City of Tshwane's 10 largest wastewater treatment works are indicated in **Figure 5-6** (City of Tshwane, 2015).

In terms of emergency storage capacity at wastewater treatment works a general rule of thumb is that 4-6 hours of emergency storage (attenuation) facilities are included as part of wastewater treatment works design (Slabbert, 2017). Furthermore, wastewater treatment works typically have standby generators on site although these generators are not normally big enough for the plant to continue operating at its design capacity. As part of the case study it is assumed that the City of Tshwane's wastewater treatment works have 6 hours of emergency storage capacity and no standby generators on site.



Figure 5-6: City of Tshwane's 10 largest wastewater treatment works (City of Tshwane, 2015).

# 5.1.11 Eskom and the City of Tshwane's protection against electricity disruption

The current protection and measures in place by Eskom and the City of Tshwane to prevent damage to electricity infrastructure if an electricity disruption event occurs will have to be confirmed as part of future studies.

#### 5.2 Estimating the Cost of Infrastructure Required

This section summarises the cost of infrastructure required in order to mitigate the impact of electricity disruption on water supply.

# 5.2.1 Electricity requirements of water infrastructure

The electricity consumption range for the South African water supply chain is summarised in **Table 5-7** (Swartz et al., 2013).

Process	Electricity consumption range (kWh/Mℓ)		
FIOCESS	Minimum	Maximum	
Raw water abstraction	0	100	
Water treatment	150	650	
Water distribution	0	350	
Water reticulation	0	350	
Wastewater treatment	200	1800	

Table 5-7: Electricity consumption range for the South African water supply chain(Swartz et al., 2013).

It is estimated that the City of Tshwane's water treatment works require  $600 \text{ kWh/M}\ell$  for raw water abstraction, water treatment and water distribution (supply into the city)<sup>8</sup>. This will however have to be determined individually for every water treatment works supplying the city.

Most of the Vaal River water supplied to Tshwane by Rand Water is pumped from the Zuikerbosch WTW (the remainder from the Vereeniging WTW) to one or more booster pump stations, from where it is lifted to the top of the Witwatersrand catchment divide.

From there it is gravitated to Tshwane. The maximum pumping head (static and friction head) is 319 m from the Zuikerbosch Pump Station over the Witwatersrand Escarpment to the Vlakfontein Reservoir. CoT's minimum water demand of 423 M $\ell$ /day requires additional water supply (apart from its own WTWs' capacity of 244 M $\ell$ /day) from Rand Water if a City-wide electricity disruption event causes water supply interruptions. The minimum water supply in such an event is approximately 179 M $\ell$ /day (refer to Section 5.1.3)

Assuming a pump efficiency of 75%, supplying 179 M $\ell$ /day to CoT from Rand Water at a total head of 319 m will require 8.64 MW of power. Although the total head that water is pumped will be less than the maximum head of 319 m if supply volumes are decreased due to lower system friction and secondary losses, the conservative value of 8.6 MW is used for the cost-benefit analysis in Chapter 5.5.

Since raw water gravitates from the Vaal Dam to the Zuikerbosch WTW supplying the City of Tshwane, raw water abstraction from Vaal Dam uses no electricity.

The purification cost for Rand Water's WTWs supplying the city of Tshwane is estimated at the minimum value of  $150 \text{ kWh/M}\ell$  for the electricity consumption range stated in **Table 5-7**. This minimum value is used as the raw water quality in the Vaal Dam is

<sup>&</sup>lt;sup>8</sup> Total energy use is based on a breakdown of 50 kWh/M $\ell$  for raw water abstraction, 400 kWh/M $\ell$  for treatment and 150 kWh/M $\ell$  for water distribution.

considerably better than that of the City of Tshwane's own sources. Furthermore, due to the size of the Zuikerbosch WTW (with a treatment capacity of  $3200 \text{ M}\ell/\text{day}$ ), water treatment will use considerably less electricity per unit of water treated than other smaller WTWs in South Africa due to the economies of scale of a very large WTW.

More detailed wastewater treatment works electricity consumption rates (in KWh/M $\ell$ ) are given for various types of wastewater treatment works in South Africa in **Figure 5-7** below (Scheepers & Van der Merwe-Botha, 2013).

It is assumed that all wastewater treatment works in the City of Tshwane have electricity consumption rates comparable to that of Activated Sludge Plants for the purpose of this Case Study. It is assumed that the city's wastewater treatment works require  $500 \text{ kWh/M}\ell$  for backup power generation – this needs to be confirmed from the City of Tshwane for each of its wastewater treatment works.



Figure 5-7: Electricity consumption rates for various types of wastewater treatment works (Scheepers & Van der Merwe-Botha, 2013).

# 5.2.2 Backup power generation

Backup power generation is one way to mitigate the impact of electricity disruptions on water supply. It is required throughout the water sector, from water treatment works and distribution to wastewater treatment.

The cost of backup power generation is based on two factors. Firstly, the cost of generation infrastructure (the capital cost) which is based on the diesel generator's capacity and secondly, the operational cost of the generator when it is in use (mostly diesel).

Based on a study by Foster and Steinbuks (2009) the cost of generation capacity for generators smaller than 1 MW was approximately US\$ 600 per kW in 2005. Based on the United States historical inflation rates the cost of generation capacity in Net Present Value (NPV) is US\$ 753 per kW (CoinNews Media Group LLC, 2015).

The cost of backup power generators with a capacity of less than 1 MW in Rand (NPV) based on the current Rand / US\$ exchange rate of R12.97/\$ (24 July 2017) is therefore R9 766.41 per kW.

The operating cost of reservoirs is based on the fuel efficiency of generators. The fuel efficiency of generators smaller than 1 MW is approximately  $0.45 \ell$ /kWh. Based on the current diesel price of R10.98/ $\ell$  (Automobile Association South Africa, 2017) the operational cost of standby electricity generators is therefore approximately R4.94 per kWh.

The cost of permanent on site backup power generation (a) and mobile backup power generation (b) is summarised below.

a) Permanent on-site backup power generation

On-site (permanent) backup power generators can be installed at critical pump stations in the City of Tshwane or at the pump stations of Water Service Providers (Rand Water and Magalies Water) supplying potable water to the city.

Backup power generators will also be required at sewer pump stations in the city's sewer network and at pump stations supplying elevated water towers with water from larger ground level reservoirs.

The capital cost of on-site backup power generators for water distribution is assumed to be R9 766 per kW of generation capacity as discussed above.

On-site backup power generation capacity for water distribution is calculated based on the following equation (Chadwick et al., 2013):

$$P = \frac{pgHQ}{n}$$

Where:

- *P* is the hydraulic power required (in kW),
- p is the density of water (1000 kg/m<sup>3</sup>),
- g is gravitational acceleration (9.81 m/s<sup>2</sup>),

- *H* is the differential head that the water is pumped (in m),
- Q is the flow required (in m<sup>3</sup>/s), and
- *n* is the pump efficiency (assumed as 75%).

Permanent on-site backup power generators will require servicing and maintenance, for instance:

- Generators will have to be serviced annually,
- Fuel in the generators' tanks will have to be changed every six months if the generators were standing, and
- The generators will have to be started up every two weeks (or in accordance with their manufacturers recommendation) to ensure continuous operation.

Installing permanent on-site backup power generators will therefore also place an administrative burden on the municipality's technical division. The servicing and maintenance of the generators will also have a yearly financial implication.

b) Mobile backup power generation

Mobile backup power generators can be an effective tool to mitigate the impact of isolated electricity disruption events on water supply. It may be worthwhile to purchase mobile generators based on the budget available to ensure water can be distributed to the city's reservoirs and elevated towers in the event of an electricity disruption event.

The capital cost of a 250 kVA (200kW) generator is R366 000 (Maverick Generators, 2017) and a 5t flatbed truck to transport the generator to various sites is R548 000 (Isuzu Trucks, 2017). The total cost of backup mobile generator sets equates to R914 000.

Mobile backup power generators will require the same service and maintenance as permanent (on-site) backup power generators. However, since these generators are mobile, it will be easier to service and maintain these generators as all mobile generators can be serviced at a central location.

# 5.2.3 Additional potable water storage capacity

Additional storage capacity will be required in all cases where reservoirs and elevated water towers' available storage capacity is insufficient to supply 2 days' AADD.

The cost of new reservoirs is based on the values used in the latest City of Tshwane's Water System Master Plan and are illustrated in **Figure 5-8** (GLS Consulting, 2017).



Figure 5-8: New reservoirs construction cost (GLS Consulting, 2017).

It is estimated that the construction cost of elevated water towers is R5 million per M $\ell$ . This is based on the construction cost of elevated towers recently constructed (Bigen Africa, 2016).

# 5.2.4 Additional emergency storage capacity for wastewater

Temporary storage capacity for wastewater to prevent spillages at municipal pump stations is an alternative to on-site backup power generation. Especially if the electricity disruption event planned for is of a short-duration (less than one day). Emergency wastewater storage capacity comprises open dams that are typically concrete lined. These concrete-lined dams cost approximately R490 thousand per M $\ell$ . This unit cost is based on the following rates:

- Excavation and spoiling of excavated material rate of R300 per m<sup>3</sup>,
- Steel mesh-reinforced concrete rate of R2500 per m<sup>3</sup>.

The restriction in terms of emergency storage capacity for wastewater is generally available land. For instance, a medium sized wastewater treatment works such as the Baviaanspoort WWTW with a capacity of  $60 \text{ M}\ell/\text{day}$  will require an emergency storage pond with a floor area of approximately  $40 000 \text{ m}^2$  ( $200 \text{ m} \times 200 \text{ m} \times 1.5 \text{ m}$  deep) for only one day's emergency storage capacity. It will therefore probably not be possible to provide emergency wastewater storage capacity for electricity disruption events longer than a day (especially considering that open space is generally not available at existing wastewater treatment works).

## 5.2.5 New pipelines

The cost of additional water conveyance infrastructure was derived from the Guidelines for Infrastructure Asset Management in Local Government for 2006 to 2009 (DPLG, 2009). These guidelines were developed in accordance with best practice asset management standards as depicted in the International Infrastructure Asset Management Manual (NAMS & IPWEA, 2011).

The cost of installing new steel pipelines is illustrated in **Figure 5-9** (Department of Provincial and Local Government (DPLG), 2009)<sup>9</sup>.



Figure 5-9: New steel pipelines construction cost (DPLG, 2009).

# 5.2.6 Cost of water tankers

In order to be able to ensure continuous supply of potable water to the community during water supply interruptions caused by electricity disruptions, it will be necessary to have water tankers available on stand-by.

The cost of a second-hand 16 000  $\ell$  water tanker is R600 thousand (Truck & Trailer, 2017). The cost of a new water tanker is estimated at R1 million.

<sup>&</sup>lt;sup>9</sup> The Guideline's 2005 costs were escalated in line with South Africa's historical inflation rate to get the 2017 construction cost of new steel pipelines.

# 5.3 The total life cycle cost of infrastructure required

In Chapter 5.5 the cost of mitigating the impact of electricity disruption events on water supply was compared to the benefit of ensuring uninterrupted water supply. The events that are dealt with have varying Recurrence Intervals (RIs) that can be longer or shorter than the estimated useful life of the required infrastructure. As a result of this it may be necessary to replace the infrastructure when it reaches its estimated useful life without having used it once as the electricity disruption event planned for may not have occurred yet.

For instance, if backup electricity generation infrastructure with an estimated useful life of 30 years is installed to mitigate the impact of an electricity disruption event with a Recurrence Interval (RI) of 155 years, the generator will have to be replaced on average approximately 5 times for every one time that the event will occur.

# 5.3.1 Estimated useful life of infrastructure

Backup electricity generators (mobile and permanent on-site) are required to power water treatment works, wastewater treatment works, and water supply and sewer pump stations to ensure uninterrupted water supply to the City of Tshwane.

These generators will consist mostly of mechanical and electrical equipment which typically has an estimated useful life of only 15 years (DPLG, 2009). However, since the backup generators will only be used infrequently it is estimated that these generators will last for 30 years given that service and maintenance on the generators are carried out when required.

# 5.3.2 Operational and maintenance costs of infrastructure

The operational and maintenance costs were also included as part of the cumulative future replacement cost of infrastructure.

Typical annual operational and maintenance costs of mechanical and electrical plant as a percentage of the Current Replacement Costs (CRC) of the plant are summarised in **Table** 5-8.

	( ), )	
Plant type	Operational costs	Maintenance costs
	(% of CRC)	(% of CRC)
Electrical plant	3	4.6
Mechanical plant	2	2.3

# Table 5-8: Operational and maintenance costs of infrastructure (Department of Provincial and Local Government (DPLG), 2009).

Backup electricity generation infrastructure consists of mechanical and electrical equipment. The combined annual operational and maintenance costs of electricity generators are estimated at 2.5% and 3.5% respectively of the CRC, or a total of 6% of the CRC per year.

However, in the case of permanent installations civil works with a much lower percentage maintenance cost have to be factored in. Moreover, it is important to recognise that the envisaged plant would be used only infrequently and then for only short durations during electricity outages. Hence much smaller maintenance cost percentages are envisaged.

As will be seen later in the report, operational costs have been estimated more directly from kWh and hence fuel usage.

# 5.4 Electricity Disruption Scenarios

# 5.4.1 Introduction

As part of the City of Tshwane case study different types of electricity disruptions and their impact on water supply were analysed in order to determine whether the conclusions and recommendations of the previous project deliverables will be feasible and implementable.

Electricity disruption scenarios investigated that may result in water supply interruptions are described in **Table** 5-9.

Scenario	Area affected	Duration
Scenario 1	Small	Short-term
Scenario 2	Medium	Short-term
Scenario 3	Large	Short-term
Scenario 4	Small	Medium-term
Scenario 5	Medium	Medium-term
Scenario 6	Large	Medium-term
Scenario 7	Small	Long-term
Scenario 8	Medium	Long-term
Scenario 9	Large	Long-term

 Table 5-9: Electricity disruption scenarios investigated.

The size of affected areas investigated includes:

- Small areas Only one reservoir / water tower's zone,
- Medium areas One of Tshwane's bulk water supply regions
- Large areas The entire City of Tshwane

The duration of electricity disruptions investigated includes:

- Short-term Up to one day
  Modium term
- Medium-term Up to one week
- Long-term Up to one month

Mitigating the impact of the electricity disruption scenarios on water supply was approached following the steps described below:

Step 1: Scenario description	Describe the scenario in terms of the electricity disruption event duration and area of effect.
Step 2: System description	Describe the electricity and water infrastructure and the number of end- users affected by the electricity disruption event.
Step 3: Risk analysis	Discuss the risks that result from the electricity disruption event.
Step 4: Risk mitigation options	Identify and describe risk mitigation options for the scenario.
Step 5: Cost estimate	Estimate the cost of risk mitigation and compare this cost with the estimated benefit of mitigation.
Step 6: Scenario conclusion	Summarise the scenario, identify shortcomings of the risk mitigation approach, and develop alternative solutions where necessary.

It should be noted that solutions identified to mitigate the effect of electricity disruption events on water supply are very similar for most of the electricity disruption scenarios below. The cost of implementing these solutions will therefore be shared between the various scenarios. This is not taken into consideration in the scenario analyses below but only in Section 5.5 below (Case Study Cost-Benefit Analysis).

Furthermore, where obvious shortcomings in the theoretical solutions described in this Section are identified, these shortcomings are highlighted and addressed in Section 5.5.

# 5.4.2 Scenario 1 (Short-term disruption, small area)

#### Step 1: Scenario Description

Scenario 1 is a small-sized area affected by a short-term electricity disruption event where an elevated storage tower can't be filled via a pump station from a reservoir due to an electricity disruption. The duration is less than one day.

#### Step 2: System Description

The system affected consists of the Constantia Park Tower, the pumps filling the tower and its distribution zone consisting of approximately 140 houses (i.e. ±490 people).

The area affected by Scenario 1 is shown in red in **Figure 5-10**. The Constantia Park Tower is also indicated in red.



Figure 5-10: Scenario 1 area affected – Constantia Park Tower distribution zone.

The demand (AADD) of this area is 355 kl/day and the storage volume of the tower supplying this area is estimated at 370 kl. The available spare capacity that should be available in the tower given that its operating rules are adhered to is  $\pm 300 \text{ kl}$ .

#### Step 3: Risk analysis

Risks associated with this event are as per **Table**2-12: water supply to towers interrupted for less than one day.

The water tower almost has sufficient available storage capacity to accommodate the development's AADD for one day and water supply will at most be interrupted for only a few hours. There is therefore no health risk to the affected community that can arise due to water supply interruptions. There is, however, a risk that if the tower empties, the water distribution network will also empty which can result in pollution of groundwater infiltrating the network.
The area affected by this scenario is on a hill which means that sewerage will definitely gravitate from the area and there is therefore no risk in terms of environmental pollution due to sewerage overflows at sewer network pump stations.

# Step 4: Risk mitigation options

Since the tower's available storage capacity is not sufficient to accommodate the zone's AADD water demand for one day, alternative solutions will need to be identified.

Solutions identified are discussed below:

a) The do-nothing approach

Depending on the season, temperature and climate the do-nothing approach can work for short-term water supply interruptions due to electricity disruptions. Especially considering that a few hours of water supply interruptions will almost certainly not be detrimental to human health, except where excessive pipe leakage is present. Alerting residents to this danger and the precautionary measure of avoiding potable consumption until the potentially polluted slug of water has been consumed by other uses is required. This should be backed up by good disinfection, although this can only be relied upon to partially overtake the tail of the polluted slug of water.

b) Water restrictions

Informing the affected community of the situation and imposing water restriction for the day (especially prohibiting watering of gardens) could decrease the area's water demand to ensure that the available water storage in the tower is sufficient. This will in turn ensure that water supply is not interrupted during the electricity disruption.

There are numerous problems associated with imposing water restrictions effectively on end-users, especially if this needs to be done within a short warning period. There should therefore be a detailed plan and consumer buy-in to ensure water restrictions are imposed effectively.

c) Flushing the distribution network

If the town's water storage volume was depleted as a result of the electricity disruption event it may be possible that polluted groundwater infiltrated the water distribution network. It will therefore be necessary to flush the water in the distribution network to ensure the polluted water is not used by the affected community.

## Step 5: Cost estimate

There will not be a cost implication for either solution (a) or (b) above in terms of infrastructure. There might be administrative costs involved for solution (b), however, these costs will be minimal and form part of the municipality's day to day expenses.

If solution (c) is required, it will have a cost implication in terms of potable water losses. It will, however, not have a capital cost (i.e. infrastructure) cost implication.

### Step 6: Scenario 1 conclusion

Conclusions drawn from Scenario 1:

- Small-scale and short-term electricity disruptions (i.e. less than one day) will typically not pose any major risks to the community,
- The impact of these electricity disruptions on water supply should be relatively simple to deal with,
- It should not have a cost implication in terms of additional infrastructure required, and
- Since this is a purely residential small area a water supply interruption event will not have a significant economic impact on the city.

## 5.4.3 Scenario 2 (Short-term disruption, medium area)

### Step 1: Scenario Description

Scenario 2 is a medium-sized area affected by a short-term electricity disruption event where Rietvlei WTW can't supply water since it would be interrupted by the same electricity disruption. The duration of the electricity disruption is less than one day.

### Step 2: System Description

The area affected by Scenario 2, comprising Tshwane's Region 6, is shown in red in **Figure 5-11**.

This area is supplied from Rand Water's Bronberg Reservoir, the Rietvlei Dam WTW and from Magalies Water's Wallmannsthal WTW.

The AADD of this bulk water supply region is 159.9 M $\ell$ /day. The total volume of reservoirs and elevated water tower supplying water to this area is 403.2 M $\ell$ . The total available water storage in reservoirs and towers in Bulk Supply Region 6 is 394.3 M $\ell$  based on the assumptions made in Section 5.1.5.

This area consists of 173 thousand households and a population of approximately 600 thousand people. The domestic water demand of this area is  $120 \text{ M}\ell/\text{day}^{10}$ . It is assumed that the city's wet-industries are uniformly distributed in the city for larger areas analysed. The industrial water demand of this area is therefore estimated as  $10 \text{ M}\ell/\text{day}^8$ . The water demand of other sectors is  $30 \text{ M}\ell/\text{day}^8$ .



Figure 5-11: Scenario 2 area affected – City of Tshwane bulk supply Region 6.

It is assumed that the electricity disruption event affecting this area results in the Rietvlei Dam WTW not being able to supply water. As stated in Section 5.1.9 the Rietvlei WTW has a capacity of 40 M $\ell$ /day.

There are four elevated water towers in the City of Tshwane's Bulk Water Supply Region 6. The demands of these towers are summarised in **Table 5-10**.

 $<sup>^{\</sup>rm 10}$  These water demands include real losses as it was obtained from the theoretical model (which includes losses)

It is assumed that the electricity disruption event affecting this area results in the Rietvlei Dam WTW not being able to supply water. As stated in Section 5.1.9 the Rietvlei WTW has a capacity of 40 M $\ell$ /day.

	0		
Tower name	Zone AADD	Number of	Population
Tower name	(kℓ/day)	households	served
Constantia Park Tower	355	140	490
(Scenario 1, 4 & 7)			
Erasmusrand Tower	1116	440	1540
Grootfontein Tower	496	196	686
Murrayfield Tower	90	35	123

 Table 5-10: Elevated water towers in Bulk Region 6.

Wastewater treatment works in this area include the Zeekoegat WWTW (30 M $\ell$ /day) and the Baviaanspoort WWTW (60 M $\ell$ /day).

# Step 3: Risk analysis

Possible risks associated with this event are as described in **Table** 2-12. The most critical risks include:

- Wastewater spillages,
- Sections of Bulk Water Supply Region 6 where specific reservoirs or towers do not have sufficient available storage capacity to accommodate their zones' demand can run out of water, and
- Economic loss due to water supply interruptions to wet-industries.

Such a large area will almost certainly include small home industries that would be disrupted for want of water supply. This has not been investigated in this project.

The total available storage capacity in this area is more than two days' AADD of the region. The existing water storage infrastructure has sufficient capacity to ensure continuous water supply during the electricity disruption. However, in view of the size of the area involved and the initial lack of prior knowledge of how long the power outage will last, it would be prudent to take immediate action to preserve stored water and commence emergency pumping into the elevated water towers to protect continued water supply to high lying areas.

The various potable water and sewage pump stations within the region also need to be examined to identify what other backup generating capacity is required to ensure continuation of service. It is common knowledge that unexpected glitches can greatly prolong the repair time for small electricity outages, so how much greater the uncertainty when more complex repairs to bigger, harder to source plant is required? (A case in point occurred in September 2014, when a large transformer supplying power to one of RW's four major booster pump stations failed. When the standby transformer was switch on it blew up and it would have taken two weeks to provide an alternative power supply. So on the first day it looked like a 2-week loss of a quarter of RW's supply. Fortunately the next day it was possible to repair the original transformer, reducing it to a 1-day outage. But it was essential to assume that the outage would be of longer duration and operate the system accordingly, which of course was the logical right course of action at the time.)

Wastewater spillages resulting from the electricity disruption events and wastewater treatment works overflowing will have negative environmental effects. This will need to be addressed through the installation of backup power generation capacity or sufficient storage to temporarily detain the raw sewage.

Since this area has sufficient storage to accommodate the area's water demand for the duration of the electricity disruption event, water supply to wet-industries and other significant generators of GNP will not be interrupted. There should therefore not be an economic impact due to interrupted water supply.

# Step 4: Risk mitigation options

The following risk mitigation options were identified:

a) The do-nothing approach

During the day when the power is out raw sewage will continue to enter WWTWs. It is assumed that the raw sewage inflow rate for a short duration event like this will remain the same as for normal operation. The ensuing overflow of untreated sewage would pose environmental and health risks for informal users. Moreover, it risks overloading the biological capacity of downstream water treatment works, thereby imperilling domestic users.

After a region-wide power outage has occurred the erstwhile relatively low risk would have been transformed into a present certainty, at which point the much higher subservient risk of the outage lasting longer than a day would come into play. This high knock-on risk cannot be ignored by operators, managers and planners. Hence the risk posed by the do nothing option would not be tenable. b) Addressing wastewater treatment works' backup power generation requirements.

The Zeekoegat and Baviaanspoort WWTWs have a total capacity of 90 M $\ell$ /day. Based on the assumed electricity consumption rate of 500 kWh/M $\ell$  and assuming the WWTWs will have constant electricity demand throughout the day the two WWTWs will require backup power generation capacity of approximately 1875 kW. The total energy consumption of the two WWTWs for the duration of the disruption will be 45 MWh.

c) Addressing wastewater through additional emergency storage capacity

If wastewater inflows into the two wastewater treatment works are not addressed through the installation of backup power generation capacity, it will have to be addressed through additional emergency storage capacity. Approximately  $68 \text{ M}\ell$  of additional emergency storage capacity to accommodate the wastewater inflow at the Zeekoegat and Baviaanspoort WWTWs will be required (assuming there are already 6 hours of emergency storage capacity on site).

d) Water restrictions

Based on the fact that total available storage capacity in reservoirs is more than the Bulk Water supply Region's AADD, water restrictions are theoretically not necessary to prohibit water supply interruptions. However, water restrictions will ensure that less of the available water storage is used ensuring that reservoir levels are kept as high as possible in case the electricity disruption event lasts longer than anticipated.

Furthermore, water restrictions may also result in lower sewerage flows entering the wastewater treatment works that will also be affected by the electricity disruption, which will result in lower electricity consumption and in lower fuel consumption.

e) Addressing the area's fire risk

Provision is made in the SANS 10090 (2003) standard for a municipality's water division to inform the city's fire brigade if a situation arises where certain fire hydrants in an isolated area cannot be used for filling fire engines due to water supply interruptions to the area. The fire brigade will therefore have to be notified accordingly to ensure that they don't use fire hydrants in the affected area to fill fire engines' tanks in the case of a fire. This is only applicable to mitigation for small high lying areas within Region 6 that are supplied from elevated towers.

# f) Additional storage capacity

Additional storage capacity is required in order to ensure that the towers' volume is at least sufficient to be able to supply 2 days' AADD as is recommended in the Red Book (Council for Scientific and Industrial Research, 2005). Therefore, approximately 400kl of additional storage capacity would have to be constructed. Water tankers

If backup power generation is not available on site, water tankers will have to be deployed to the affected area to ensure that the community in the affected area has access to potable water.

Based on the populations served by each of the four areas supplied from elevated towers, a total of five 15 000 l water tankers would be needed to meet the 25 litres per person per day requirement as recommended in the Red Book (Council for Scientific and Industrial Research, 2005). This is provided that two of the trucks would have to service two different areas. (If it is not possible, then seven trucks would be required.)

If it is assumed that it will take 30 seconds to fill up a 25 litre water container then it would take 5 hours to empty one 15 000 l truck. However, this is an impractical ideal that assumes that the residents have any such large water containers and additional clean containers in which to store it. It is likely then that many more trips would be required to collect the household water quota. Moreover, residents are unlikely to be available at the same time. Hence considerably longer vehicle standing time can be expected.

A water delivery schedule will therefore have to be developed and communicated clearly to the community. However, this is also highly impractical for an unexpected 1-day event.

g) Backup power generator on-site

A backup power generator on site will ensure that the water tower's water level can be maintained through normal operation of the pumps supplying the main reservoir. Assuming that the water is pumped 7 m from the ground level main reservoir into the tower a 5 kW on-site generator will be able to provide sufficient power generation capacity on site to ensure an unchanged level of service for this tower (including providing the minimum fire flow requirements in the tower's distribution zone).

# h) Mobile backup power generator

If the city has mobile backup power generators available and there are no on-site backup power generation facilities, it can be used to provide electricity to the pumps affected by the electricity disruption. If mobile backup power generators are to be used it should be kept in mind that pump station's electrical systems will probably have to be upgraded to accommodate external power supply (power connection points, surge protection, etc.).

i) Bypass the tower

Another option is to bypass the tower completely if possible and supply the affected area with potable water from the reservoir from which water is usually pumped to the elevated tower. If the pipework required to do this is not already in place, it should be relatively simple and quick to do so. It is estimated that approximately 50 m of 200 mm diameter pipe will be required.

Although static pressures will be very low (in the order of 5 m head at the highest houses supplied from the tower), the affected community will still be able to get water from their taps. The affected community members will therefore be supplied at a lowered level of service for the duration of the electricity disruption event but will continue to have access to water from their municipal connections.

j) Water restrictions

This electricity disruption will result in the affected high-lying communities not having water or having very little water it will be of upmost importance to effectively implement water restrictions to ensure that all affected community members will have access to potable water.

If the option of bypassing the tower is chosen, it is important to extend the area of water restrictions to include the larger main reservoir's distribution zone.

# Step 5: Cost estimate

a) Wastewater treatment

The capital cost of the backup electricity generation infrastructure needed to prevent untreated sewage spills is approximately R18 million. The two wastewater treatment works will consume 20 thousand litres of diesel to ensure continuous operation (at a cost of R222 thousand). This does not include the cost of fuel storage and transport.

The cost of additional emergency storage capacity at the two wastewater treatment works will be approximately R33.2 million. This is considerably higher than the cost of backup electricity generation capacity.

- b) Sus of mitigating measures identified and discussed above are summarised as follows:
- Providing the additional storage capacity required to ensure that the Constantia Park tower alone has sufficient available storage capacity for at least 2 days' AADD will cost R2 million. In view of the high cost it was not worth carrying out estimates for the other three elevated towers shown in Table 5-10.
- Five water tankers to supply this area will cost approximately R5 million to purchase. This excludes the cost of maintenance, fuel and labour. The cost of the tankers would be shared amongst a number of communities. However, given the long periods between events this option is considered to be too expensive.
- On-site backup power generators at each tower would cost approximately R194 thousand, with a diesel cost for the 7-day period of R71 thousand.
- The capital cost of four mobile backup power generators that can supply power to the pump station filling the water tower would cost approximately R3.7 million, although it could be shared between communities.
- Bypassing the four towers would cost approximately R400 thousand. Although the running costs would be negligible, this option would lower the level of service to the end-users.

Comparing the various options above it can be seen that the least costly option to mitigate the risk and to ensure continuous water supply (whilst ensuring an unchanged level of service) to these four small areas would be to provide an on-site power generator to power the pumps that fill the tower.

c) Water treatment

It is not necessary to consider the cost of backup power generation for the Rietvlei WTW because for this option RW would continue to meet 76% of Tshwane's water supply, which is more than adequate to meet basic domestic, industrial and other contributors to Tshwane's GDP.

The costs are summarised in **Table** 5-11.

Description	Cost (million R) <sup>1</sup>		Benefit <sup>1</sup>
*	Capital	Operating	(million R)
WWTW power supply	18.312	6.669	-
Elevated water tower power supply	0.194	0.071	-
Total	18.506	6.740	-

# Table 5-11: Summary of costs for Scenario 2: 1-day power outage, medium area.

### <u>Note</u>:

1 Neither the costs nor the benefits have been annualised at this stage. This is done in the economic analysis (Section 5.5)

# Step 6: Scenario 2 conclusion

Conclusions drawn from Scenario 2:

- Based on the available information it doesn't appear that potable water supply will be interrupted in the event of an electricity disruption that is shorter than one day.
- For some time the duration of the power outage will be unknown and hence the precautionary measure of switching on emergency generators to supply water towers will be required. The capital cost of the emergency generators is estimated at R0.2 million.
- Appropriate power supply backup measures also have to be evaluated for potable water supply pumps within the region (and Tshwane's other five regions).
- Backup power generation to ensure wastewater treatment works can continue operating and to mitigate the environmental impact of electricity disruption will cost approximately R18.2 million.

# 5.4.4 Scenario 3 (Short-term disruption, large area)

# Step 1: Scenario Description

Scenario 3 is a large area affected by a short-term electricity disruption where it is assumed that the entire City of Tshwane does not have electricity and all water treatment works supplying the city (except for Rand Water) are affected by the electricity disruption event.

# Step 2: System Description

It is assumed that the entire City of Tshwane water and sewer systems are affected by an electricity disruption. The area affected by Scenario 3 is shown in red in **Figure 5-12**.

It is further assumed that water delivery from RW will not be affected. The reasoning here is that restoration of city-wide power within only one day implies an event that cannot be attributable to a regional or national blackout or serious damage to infrastructure. Hence this scenario is analogous to Scenario 2, but on a larger scale.

All pump systems supplying elevated storage towers will be affected, together with all network booster pump systems.



Figure 5-12: Scenario 3 area affected – City of Tshwane.

No water will be supplied into the city from any water treatment works.

Total wastewater treatment works capacity that will be offline is approximately 584 M $\ell$ /day (refer to Section 5.1.10).

# Step 3: Risk analysis

Risks associated with this event are as described in **Table** 2-12. The most critical risks include:

- Wastewater spillages at the city's wastewater treatment works and wastewater pump stations,
- All reservoirs and elevated storage towers that do not have sufficient available storage capacity to accommodate at least one day's water demand will cause water supply interruptions, and
- Economic loss due to water supply interruptions to wet-industries.

The total available reservoir and elevated water tower storage capacity in the City of is equal to approximately 1 day and 20 hours' worth of water storage based on the city's AADD. Therefore, only isolated areas where reservoirs or elevated water towers have insufficient capacity will have water supply interruptions.

Wastewater spillages resulting from the electricity disruption event and wastewater treatment works overflowing would have negative environmental effects and endanger downstream domestic water use. These impacts need to be addressed.

# Step 4: Risk mitigation options

The following risk mitigation options were identified:

a) The do-nothing approach

As was stated for Scenario 2, the do nothing approach will only be suitable in terms of water supply. Addressing the backup power generation requirements will be needed to mitigate negative environmental impacts of wastewater spillages.

b) Addressing wastewater treatment works' backup power generation requirements.

The City of Tshwane's wastewater treatment works have a total treatment capacity of  $584 \text{ M}\ell/\text{day}$ . Therefore, the wastewater treatment works will require a combined backup power generation capacity of 12.2 MW and 292 MWh of energy will be consumed during the 24 hour period. The energy requirement is a little conservative since curtailing the water demand should result in a corresponding reduction in sewage discharge. However, for the first part of the day sewage will continue to flow at its normal rate. Accordingly the generating capacity has to be able to handle the full treatment capacity.

c) Addressing wastewater through additional emergency storage capacity

If wastewater inflow into the city's wastewater treatment works are not addressed through the installation of backup power generation capacity, it would have to be addressed through additional emergency storage capacity. Approximately 438 M $\ell$  of additional emergency storage capacity to accommodate the wastewater inflow into the city's wastewater treatment works would be required (assuming there are already 6 hours of emergency storage capacity on site).

d) Supply to high lying areas

The power and one-day energy requirements for standby generators at Tshwane's 38 elevated water towers are estimated at 0.19 MW and 4.56 MWh respectively.

e) Water restrictions

The considerations are the same as for Section 5.4.3, Step 4 (d).

### Step 5: Cost estimate

The capital cost of the backup electricity generation infrastructure for WWTWs is approximately R119 million. The city's wastewater treatment works will consume 131 400 litres of diesel to ensure continuous operation (at a cost of R1.4 million).

The capital cost of increasing emergency storage capacity at the city's wastewater treatment works will amount to R215 million. It will therefore be cheaper to install backup electricity generation infrastructure at the city's wastewater treatment works.

The costs are summarised in **Table 5-12**.

 Table 5-12: Summary of costs for Scenario 3: 1-day power outage, large area.

Description	Cost (m	Benefit	
Å	Capital	Operating	(million R)
WWTW power supply	119.15	1.446	-
Elevated water tower power supply	1.86	0.023	-
Total	121,01	1.469	-

## Step 6: Scenario 3 conclusion

Conclusions drawn from Scenario 3:

- Based on the available information it doesn't appear that potable water supply will be interrupted in the event of an electricity disruption event that is shorter than one day,
- Isolated cases of water supply interruptions can occur where reservoirs or water towers do not have sufficient available storage capacity to accommodate their zones' water demand for one day,
- The cost of precautionary backup power generation requirement at elevated water towers is estimated as R18.8 million, and
- Backup power generation to ensure wastewater treatment works can continue operating and to mitigate the environmental impact of electricity disruption will cost approximately R120.6 million.

### 5.4.5 Scenario 4 (Medium-term disruption, small area)

### Step 1: Scenario Description

Scenario 4 is a small-sized area affected by a medium-term electricity disruption event where an elevated storage tower can't be filled via a pump station from a reservoir due to an electricity disruption. It is assumed that this disruption will last 7 days.

### **Step 2: System Description**

The system affected consists of the Constantia Park Tower, the pumps filling the tower and its distribution zone consisting of approximately 140 houses (i.e. ±490 people). Refer to **Figure 5-10** for an indication of the tower's water distribution zone.

The demand (AADD) of this area is 355 kl/day and the volume of the tower supplying this area is estimated at 370 kl. The available spare capacity that should therefore be available in the tower given that its operating rules are adhered to is therefore  $\pm 300 \text{ kl}$ .

### Step 3: Risk analysis

Risks associated with this event are as per **Table** 2-13: water supply to towers interrupted for up to a week.

Since the water tower only has sufficient available storage capacity to accommodate the development's AADD for one day, water supply to the tower's zone will be interrupted for more than 6 days if the tower is not supplied during the electricity disruption event.

There is an additional risk that the zone supplied by the tower does not have sufficient storage capacity to supply the required minimum fire water requirements. However,

since the area is small this should not pose a problem, especially if the fire department is kept up to date on the situation.

# Step 4: Risk mitigation options

The water tower's available water storage capacity is only sufficient to supply approximately one days' water to its zone.

Options to mitigate the impact of the electricity disruption on this tower's water supply are discussed below.

a) Do-nothing

This will not be a feasible solution as the result of this will be that the inhabitants of the zone supplied by the water tower will not have water for up to six days.

b) Addressing the area's fire risk

Provision is made in the SANS 10090 (2003) standard for a municipality's water division to inform the city's fire brigade if a situation arises where certain fire hydrants in an isolated area cannot be used for filling fire engines due to water supply interruptions to the area. The fire brigade will therefore have to be notified accordingly to ensure that they don't use fire hydrants in the affected area to fill fire engines' tanks in the case of a fire. This is only applicable mitigation option for the small area supplied from this elevated tower.

c) Additional storage capacity

Additional storage capacity is required in order to ensure that the towers' volume is at least sufficient to be able to supply 2 days' AADD as is recommended in the Red Book (Council for Scientific and Industrial Research, 2005). Therefore, approximately 400k $\ell$  of additional storage capacity will have to be constructed. The additional storage capacity will, however, not be sufficient to prohibit water supply interruptions during the 7-day electricity disruption event.

d) Water tankers

If backup power generation is not available on site, water tankers would have to be deployed to the affected area to ensure that the community in the affected area has access to potable water.

Based on the estimate that 140 households and 490 people would be affected by the electricity disruption, this means that 12 250 litres of water would have to be delivered per day in order to meet the required 25 litres per person per day as recommended in the Red Book (Council for Scientific and Industrial Research, 2005).

Therefore, one 15 kl water tanker daily should be sufficient to supply this area with water during the electricity disruption event. If it is assumed that it would take 30 seconds to fill up a 25 litre water container and that the 490 water containers will need to be filled up, it would take approximately 4 hours per day to fill the affected community's water containers. However, this is an ideal that assumes that the households have any such large water containers and additional clean containers in which to store it. It is likely then that many more trips would be required to collect the household water quota. Moreover, residents are unlikely to be available at the same time. Hence considerably longer vehicle standing time can be expected.

A water delivery schedule would have to be developed and communicated clearly to the community.

e) Backup power generator on-site

A backup power generator on site will ensure that the water tower's water level can be maintained through normal operation of the pumps supplying the main reservoir. Assuming that the water is pumped 7 m from the ground level main reservoir into the tower a 5 kW on-site generator will be able to provide sufficient power generation capacity on site to ensure an unchanged level of service for this tower (including providing the minimum fire flow requirements in the tower's distribution zone). Running the on-site 5 kW generator for 20 hours per day (the assumed pumping time per day) for the 7-day period will consume 700 kWh of electricity and the diesel generator will use  $315 \ell$  of diesel.

f) Mobile backup power generator

If the city has mobile backup power generators available and there are no on-site backup power generation facilities, it could be used to provide electricity to the pumps affected by the electricity disruption. If mobile backup power generators are to be used it should be kept in mind that the pump station's electrical systems will probably have to be upgraded to accommodate external power supply (power connection points, surge protection, etc.).

# g) Bypass the tower

Another option is to bypass the tower completely if possible and supply the affected area with potable water from the reservoir from which water is usually pumped to the elevated tower. If the pipework required to do this is not already in place, it should be relatively simple and quick to do so. It is estimated that approximately 50 m of 200 mm diameter pipe will be required.

Although static pressures will be very low (in the order of 5 m head at the highest houses supplied from the tower), the affected community will still be able to get water from their taps. The affected community members would therefore be supplied at a lowered level of service for the duration of the electricity disruption event but will continue to have access to water from their municipal connections.

h) Water restrictions

Since this electricity disruption would result in the affected community not having water or having very little water it would be of upmost importance to effectively implement water restrictions to ensure that all affected community members will have access to potable water.

If the option of bypassing the tower is chosen, it is important to extend the area of water restrictions to include the larger main reservoir's distribution zone.

# Step 5: Cost estimate

The cost of mitigating measures identified and discussed above is summarised as follows:

- Additional storage capacity required to ensure that this tower has sufficient available storage capacity for at least 2 days' AADD will cost R2 million,
- A water tanker to supply this area will cost approximately R1 million to purchase, although it could be shared amongst a number of communities for small isolated events.
- An on-site backup power generator will cost approximately R thousand, with a diesel cost for the 7-day period of R3485,
- A mobile backup power generator that can supply power to the pump station filling the water tower will cost approximately R914 thousand, although it could be shared between communities.
- Bypassing the tower will cost approximately R100 thousand.

Comparing the various options above it can be seen that the least costly option to mitigate the risk and to ensure continuous water supply (whilst ensuring an unchanged level of

service) to this small area would be to provide an on-site power generator to power the pumps that fill the tower.

Bypassing the tower will lower the level of service to the end-users and will be more expensive in this instance in terms of capital cost. There will, however, be less ongoing operational and maintenance cost on the civil infrastructure (bypassing pipework) than the electrical infrastructure.

The costs are summarised in **Table 5-13**.

······································			
Description	Cost (mi	Benefit	
	Capital	Operating	(million R)
Elevated water tower power supply	0.049	0.004	-
Total	0.049	0.004	-

### Table 5-13: Summary of costs for Scenario 4: 7-day power outage, small area.

# Step 6: Scenario 4 conclusion

The following conclusions are drawn from this scenario:

- Water restrictions will again be key to ensure that all community members will be supplied with water,
- Installing on-site backup power generators will be the lowest cost option to ensure uninterrupted water supply, and
- If water supply via water tankers had been the least costly option, it would have been unlikely that the affected community members would have had enough 25 litre water containers (at least 4 per household). Members of the community will therefore have to be provided with (or be advised to purchase) water containers in case of emergency.

# 5.4.6 Scenario 5 (Medium-term disruption, medium area)

### Step 1: Scenario Description

Scenario 5 is a medium-sized area affected by a medium-term electricity disruption event where Rietvlei WTW can't supply water. The duration of the electricity disruption will last 7 days.

# Step 2: System Description

The system is the same as that for Scenario 2 and is described in Section 5.3.2. The area affected by Scenario 5 is shown in red below in **Figure 5-11**.

#### Step 3: Risk analysis

It is important to recognise that since this scenario leaves the other five regions in operation, the electricity outage cannot be due to a national blackout. Since the primary cause of the power outage would have to be local, due for instance to failure of a major sub-station, it is likely that the operation of Tshwane's Rietvlei WTW as well as the Magalies Water supply to Region 6 would also be out of commission. However, 76% of Tshwane's water supply is provided by RW and this source be unaffected by the Region 6 power outage. RW's supply is by gravity and can reach virtually anywhere in Tshwane without recourse to additional pumping, although at a reduced level of service. Moreover, by diverting a small portion of RW's supply from other regions to Region 6, even more than 76% of Region 6's demand could be met with little impact on other regions.

The total available storage capacity in this area is more than two days' AADD of the region. This, together with the continued supply of most of the region's water demand from RW should ensure that little noticeable reduction in supply will occurs.

Hence backup power for the stricken Rietvlei and MW WTWs will not be required.

Possible risks associated with this event are as described in **Table 2-13**. The most critical risks include:

- Bulk water supply to the area affected (supply from the Rietvlei WTW and from Magalies Water will be interrupted),
- The RW supply will remain fully operational,
- Water supply to reservoirs and elevated towers filled from pump stations in the affected area will be interrupted, and
- Wastewater spillages will occur.

Wastewater spillages resulting from the electricity disruption events and wastewater treatment works overflowing will have negative environmental effects. This needs to be addressed through the installation of backup power generation capacity (discussed below in Risk Mitigation Options).

This limited water supply interruption should not have any economic impact on wet industries or other users contributing to the GDP of the region.

Action will be required to supply residents of high lying areas normally supplied from elevated water towers.

## Step 4: Risk mitigation options

a) The do-nothing approach

This will not be an option for this scenario due to the size of the affected area and the duration of the electricity disruption event.

b) Wastewater treatment works' backup power generation requirements

As for Scenario 2, permanent backup generating capacity is the favoured solution for the Zeekoegat and Baviaanspoort WWTWs, with an estimated power generation requirement of 1875 kW. The assumption is made that the WWTWs would need to deliver at their full capacity for the first day and that for the remaining 6 days operating costs would be at 35% of capacity, due to the reduction in water supply available to users. The total energy consumption of the two WWTWs for the 7 day duration of the disruption will be 140 MWh.

c) Backup power generation for the water treatment works

Backup power generation at the Rietvlei Dam WTW is not necessary for this Scenario since RW can supply 76% of Tshwane's water requirements.

d) Dealing with elevated towers' distribution zones' demand

The water supply interruptions to the four water towers' distribution zones can be mitigated through any of the following options:

- Bypassing the towers and supplying the zones from the ground level reservoirs next to the towers,
- Providing mobile backup power generation to the towers,
- Providing permanent backup power generation to the towers,
- Water tankers deployed to the affected area.

Each of these options is discussed below:

**Bypassing the towers:** This option will lower the level of service to the community for the duration of the event. It will cost approximately R100 thousand per tower in pipework and there will not be a significant operational and maintenance cost due to this option.

**Providing mobile backup power generation to the towers:** This option will entail four mobile generators deployed to the four towers' pump stations. This option will cost R4 million based on the estimated cost of mobile generators.

**Providing permanent backup power generation:** This option will entail the installation of permanent power generators at each of the four sites. The power required for each of these generators are summarised in **Table 5-14**.

Table 5-14: Summary of permanent on-site power generation required for
Scenario 5.

Tower name	Zone AADD (kℓ/day)	Peak demand (m <sup>3</sup> /s)	Power required (kW)	Generator cost
Constantia Park Tower	355	0.048	4.4	R43 000
(Scenario 1 & 4)				
Erasmusrand Tower	1116	0.083	7.6	R75 000
Grootfontein Tower	496	0.055	5.0	R49 000
Murrayfield Tower	90	0.036	3.3	R32 000
Total				R199 000

Water tankers deployed to the towers zones': There are 811 households, or approximately 2900 people, supplied from the four towers. The volume required (at 25  $\ell$  per person) and time for one 16000  $\ell$  water tanker to distribute water to the affected community (at 30s per person) are summarised in **Table 5-15**.

Towernamo	Dopulation convod	Volume	Water distribution
Tower name	ropulation serveu	required ( <i>l</i> )	time
Constantia Park Tower	490	12250	4 hours
(Scenario 1 & 4)			
Erasmusrand Tower	1540	38500*	13 hours
Grootfontein Tower	686	17150	6 hours
Murrayfield Tower	123	615	1 hour

# <u>Note</u>:

1 Three tanker trucks would be required to supply 38500 *l*.

From the table it is clear that distributing water to affected communities using water tankers will not be a feasible solution for larger areas affected. It can, however, be a suitable solution for smaller isolated areas.

At least 5 water tanker trips per day would be required to deliver the required minimum domestic water requirement, assuming that two of the vehicles deliver water to two sites. The cost of five water tankers is estimated at R5 million.

e) Pump Stations in Bulk Region 6

There are 18 pump stations in Bulk Region 6 (including the four that supply the elevated towers discussed above). Critical pump stations in this area that are responsible for filling reservoirs will have to be supplied with backup power generation facilities.

There are three bulk pump stations in Bulk Region 6. Information on the pump sizes and power required for the pump stations is not available and will have to form part of future studies.

f) Water restrictions

Since this electricity disruption event will affect water supply to almost 600 thousand people. It will be worthwhile to impose water restrictions not only on the affected area's community but also on the adjacent Bulk Regions to ensure uninterrupted water supply.

### Step 5: Cost estimate

a) Costs to mitigate risks

The cost of mitigating risks identified for Scenario 5 through the various options identified is briefly discussed below.

The capital cost of backup power generation facilities at the affected wastewater treatment works is R18 million. The two wastewater treatment works will consume 142 thousand litres of diesel which will amount to R1.6 million.

Alternatively, emergency storage dams for the wastewater inflow during the electricity disruption event would cost R309 million.

The capital cost of backup power generation facilities at the Rietvlei WTW would be roughly R10 million. The water treatment works would consume 76 thousand litres of diesel which will amount to R830 thousand. However, this should not be required for this scenario since the gravity supply from RW should be able to reach all but the high lying areas supplied from water towers.

The cost of providing on-site backup power generators at the elevated towers is the cheapest option to ensure uninterrupted water supply to the affected areas during the electricity disruption events. This is estimated at R199 thousand.

Since no detailed information is available for the bulk pump stations in Region 6, the cost of providing backup power generation facilities at these pump stations cannot be determined.

b) Costs vs. benefit: Supplying water to industrial areas

Industrial and "other" consumers do not appear to be at risk for this scenario.

The costs are summarised in **Table 5-16**.

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Table 5 10. Summary	of costs for section	io Ji / uay power o	Jutage, meanin area.

Description	Cost (m	Benefit	
	Capital	Operating	(million R)
WWTW power supply	18.312	0.689	-
Elevated water tower power supply	0.194	0.017	-
Total	18.506	0.706	-

### Step 6: Scenario conclusion

The following conclusions are drawn from this scenario:

- Water restrictions will again be key to ensure that all community members will be supplied with water,
- Providing permanent on-site backup power generation at wastewater treatment works will be cheaper than providing emergency storage capacity,
- Providing emergency storage capacity for wastewater inflow at wastewater treatment works during medium- and long-term electricity disruptions will probably not be feasible due to the size and cost of emergency storage dams, and
- The city's wastewater infrastructure's backup power generation requirements in terms of fuel will need to be prioritised in order to ensure that fuel will be available for these works to continue.

# 5.4.7 Scenario 6 (Medium-term disruption, large area)

## Step 1: Scenario Description

Scenario 6 is a large area affected by a medium-term electricity disruption where it is assumed that the entire City of Tshwane does not have electricity and all water treatment works supplying the city are affected by the electricity disruption event.

A city-wide medium duration event such as this would almost certainly be associated with a national blackout that would also affect RW and MW.

The objective of this scenario is to analyse the cost vs. benefit of medium- to long-term electricity disruptions that will interrupt water supply into the City. It is a high level cost vs. benefit analysis taking account of the city's industries, other economically active water sectors and the city's domestic minimum water requirements. This scenario also investigates how much of the city's minimum water demand can be met from its own sources.

Evaluation of the implications of supplying water to high lying areas is not dealt with in this section since they have already been covered by Scenario 3.

### Step 2: System Description

The area affected by Scenario 6 is shown in red in Figure 5-12

It is assumed that the City of Tshwane's entire water system would be affected by this electricity disruption, requiring drastic curtailment of domestic (including all bulk, booster and elevated tower pump stations), industrial and all other sectors contributing to the economy. Wastewater treatment works would also be affected.

Normal power supply to all water treatment works supplying water into the city will be affected by the electricity disruption event (including supply from Rand Water and Magalies Water). Since Tshwane's local sources are the cheapest to treat and distribute, it is assumed that the first recourse of the city will be to supply water to its citizens from its own water treatment works.

The following priorities for minimum water supply are considered:

- Meet the basic minimum water demand of domestic users.
- Prevent spillage of untreated sewage.
- Sustain as many as possible of Tshwane's GDP-generating activities

# Each of these priorities are discussed below:

a) Minimum domestic water supply

Meeting the basic minimum domestic water supply is the non-negotiable first priority.

Ideally supply to domestic water users could be reduced to the baseline minimum of  $25 \ell$  per capita per day, which is considered sufficient to sustain human requirements and permit those gainfully employed to continue to participate in the economy (provided that their employers have sufficient backup electricity generation facilities to run their operations and that they can find transport).

This is fine for a small isolated area being supplied by road tanker, where few residents would have the opportunity (or time to queue) to withdraw their 25  $\ell$  per capita per day allocation. However, supplying the 3.15 million residents of Tshwane by this means would require no less than 5254 road tankers. Travelling at 60 km per hour, this would require a convoy 305 km long! Moreover they would all have to converge on a few local water treatment works and queue up for a very long time to fill their 15 kl tanks. A fleet that size comprised even of second-hand tankers would cost Tshwane R3.2 billion (even if there were that many second hand tankers available to be bought), only to sit idle for decades, along with many of their drivers, waiting for such an emergency to occur. The time delay involved in filling all these tankers at a few supply points at one end and eking out their water to residents at the other end would also be absurdly time consuming. The many hours spent by all of Tshwane's residents queuing up to collect their ration would also be prohibitively disruptive to economic production. Clearly this solution is hopelessly impractical and costly.

By far the cheapest and most practical solution is to make use of the existing developed pipelines, pumping systems and distribution networks that have been invested in over many decades with the express purpose of delivering potable water to all of Tshwane's residents. However, there are challenges to accomplishing this.

The problem is that it is impossible to supply all residents with 25  $\ell$  per capita per day without giving upstream consumers served by the same network the opportunity to withdraw considerably more than their quota. The half year delay in achieving anything like the comparatively modest 15% restriction called for during 2016, together with the failure of Cape Town residents to reduce water demand below 90  $\ell$  to meet extremely severe prolonged drought conditions renders voluntary attainment of a 25  $\ell$  per capita per day goal within only 7 days an impossible dream. Fortunately, during the drastic conditions being addressed in this option, physical constriction of water supply at source and limitation of times of supply to limited hours would go a long way to achieving the

minimum target. However, this would only partially solve the problem of users located nearer to the supply source unconsciously withdrawing more than their allocation and consequently leaving downstream users with nothing at all, which is clearly unacceptable.

In view of the above practical difficulties the assumption has been made that assuring a minimum supply of 25  $\ell$  per capita per day to all residents will require Tshwane having to supply twice this amount, i.e. a total of 158 M $\ell$ /day.

Further research is required to refine this estimate, determine the best ways to achieve it and how the additional extra water requirement can be reduced.

Achievement of the basic minimum supply to all would also require standby pumps to lift water from ground level reservoirs to elevated towers. This would require pumping an average of  $0.34 \text{ M}\ell/\text{day}$  to each of the 38 elevated towers throughout Tshwane.

b) Wastewater treatment

Tshwane's wastewater treatment works have a combined capacity of 584 M $\ell$ /day. This is assumed to define the required standby power requirement, since initially raw sewage will continue to flow into the WWTWs at this rate, while there is no power supply to run the works.

The rate at which this flow declines due to the reduction in water supply combined with the storage available at the WWTWs might allow this upper limit of the power requirement to be reduced. However, it must be appreciated that the emergency storage at WWTWs is meant to cater for wet weather sewage flow and that such conditions might prevail at onset of the power outage. Moreover, the water stored in municipal reservoirs and in the reticulation pipework could sustain normal operation of toilets, laundry, washing and showering for some time before sewer discharges decline in response to reduced water supply. Refinement of this assumption requires further investigation.

The assumption has been made that the energy requirement would be based on the full average WWTW flow of 584 M $\ell$ /day for the first day, and decline to 207 M $\ell$ /day for the remaining 6 days. (This is based on the ratios of the real water demands after and before the reduction in demand is achieved. This is calculated as the restricted overall water demand less the real loss divided by unrestricted water demand less the real loss, i.e. (423-193)/(843-193)·584 = 207 M $\ell$ /day)

The electricity disruption event will also affect the city's sewer pump stations, the requirement for which will have to be examined in further studies.

c) Industrial water use

The supply to the city's industrial users is assumed to be kept unchanged at 42 M $\ell$ /day since the dominant wet industries need most of their supply to facilitate continued operation,

It should be possible to reduce this requirement by separating essential wet industry use from non-critical activities such as vehicle and floor washing and wasteful water losses in bathrooms and kitchens. These refinements require further investigation.

d) Water use by other economic sectors

The assumption is made that the supply to "other" (commercial, governmental, etc.) water use sectors can be reduced by 75% (i.e. to 30 M $\ell$ /day), which is assumed to be the minimum required to keep dry industries and commercial operations functional. (This assumption will have to be confirmed by more detailed investigation.)

e) Real water losses

Real water loses have been allowed to grow to a large proportion of supply (193 M $\ell$ /day) and this water loss will not diminish when the supply is reduced. If anything it will increase, since the friction head of the pipes will drop resulting in a rise in pressure close to the full static head of the mostly gravity fed system.

Clearly the water supply needed to meet minimum water requirements can be substantially reduced by addressing water leaks. However, it must be recognised that the dire need to reduce water demand by 15% through leak reduction has been known for decades, during which the real water loss increased, rather than decreased. It would therefore be most unwise to assume that an as yet unachieved water loss reduction can be relied upon to meet the minimum water supply target. Until such time that there is clear evidence that the physical water losses have been reduced to more manageable levels, the assumption has to be made that the current real water losses have to be replaced in full.

For the purposes of this study the assumption has been made that the real water loss will remain constant at 193 M $\ell$ /day (2015 demand year). Further study is required to refine this assumption.

It must be stressed that under conditions of severe water restriction this wastage would comprise much more than double the basic water requirement for domestic use of 79 M $\ell$ /day (based on 25  $\ell$  per capita per day). This emphasises the urgent need to curtail

water losses, which even under normal operating conditions offers considerable economic benefit for Tshwane anyway. Not only that, excessive water losses are also seriously degrading water security due to the extra demand on our scarce water resources. Temporarily redressing the ensuing scarcity of developed resource at source (very difficult to achieve in a water scarce interior region) is also unnecessarily increasing water tariffs, which is also bad for Tshwane and all other water users.

For this scenario the city's minimum water demand would be reduced to the following:

- Domestic: 158 Mℓ/day
- Industrial:  $42 \text{ M}\ell/\text{day}$
- Other water sectors:  $30 M\ell/day$
- Losses: 193 Mℓ/day

Based on the above assumptions the city's total water demand could therefore be decreased to a minimum of  $423 \text{ M}\ell/\text{day}$  whilst meeting basic requirements for human consumption and critical services and continuing 75% of GPD-generating activities (see step 3 below). This is equivalent to 73  $\ell$  per person per day.

# Step 3: Risk analysis

Risks associated with this event are as discussed in **Table 2-13**. The most crucial of these risks include:

- Water supply into the city being interrupted for 7 days,
- Reservoirs and elevated storage towers will not be supplied with water if they are filled from pump stations in the city with insufficient backup power,
- Wastewater spillages at the city's wastewater treatment works and wastewater pump stations,
- Economic loss due to water supply interruptions to wet-industries and other economic sectors.

The city's industries account for R22.6 billion per year of the city's GDP (R61.9 million per day). If all industries can't continue to function as a result of a water supply interruption due to a 7-day electricity disruption event, the economic loss would amount to R433 million (this is the worst case economic loss and includes all industries). Since some industries may not have enough backup electricity generating plant to run all their plant and be affected by labour stay-aways, that even with a full water supply only half of the industrial output can be produced (i.e. R216.6 million).

It is reasonable to assume that the "other" water use economic sector produces the remaining R179.4 billion of Tshwane's GDP. (A small part of this is contributed by small home industries, but this is expected to be immaterial to the calculation.) Hence this sector contributes a very substantial R3 438 million over a 7-day period. In fact the "other" water use sector is 1.8 times more efficient at generating GDP per unit of water

consumption than the manufacturing sector. This provides a telling indication of the economic importance of the services sectors.

However, not all of the "other" economically active sectors will have sufficient electricity generating capacity to sustain full production, or will not have enough fuel reserves to keep operating for 7 days. This would reduce economic activity anyway, thereby reducing the benefit attributable to maintaining an adequate water supply. Accordingly the assumption has been made that the daily economic benefit would be reduced by 25% to R2578 million for "other" sectors. (It is reasonable to assume a higher percentage output since computers and office machinery draw much lighter currents and after years of load shedding many such operations already have backup power facilities. The higher proportion of professional staff is also more likely to have independent transport and greater motivation to get to work.)

While the domestic users are not shown as directly contributing significantly to the GNP, they do provide the entire work force to the sectors that do. Hence it must be concluded that if domestic users do not receive their basic minimum water requirement that the ensuing distress would cause all economic activity to cease.

The City of Tshwane's water treatment works have a total treatment capacity of 244 M $\ell$ /day. The minimum water demand is 230 M $\ell$ /day and the daily real water loss is 193 M $\ell$ /day, giving a total minimum water demand (including losses) of 423 M $\ell$ /day. Hence 179 M $\ell$ /day would have to be obtained from external Water Services Providers (i.e. RW and MW). The risk in terms of the city's water treatment capacity is that the city's real water losses are so high that the minimum water demand cannot be met from its own sources if losses aren't reduced.

# Step 4: Risk mitigation options

The following risk mitigation options were identified:

a) Providing backup power generation at the city's wastewater treatment works

Based on a WWTW AADD of 584 M $\ell$ /day, the backup power requirement to prevent raw water spillage during the first day of the power outage is estimated at 12.2 MW,

The WWTWs are assumed to run at capacity for the first day, requiring an energy consumption of 293 MWh, declining to 35% of capacity for the remaining 6 days, requiring a further 616 MWh, giving a total for the 7-day outage of 908 MWh.

b) Providing backup power generation at the city's water treatment works

The city's four water treatment works with a combined treatment capacity of 244 M $\ell$ /day will require 6.1 MW of backup power generation capacity and will consume 1025 MWh of electricity over the 7 day period.

c) Providing backup power generation to supply water from water boards

Since a 7-day Tshwane-wide outage will almost certainly be associated with a national blackout, it can be assumed that external water boards supplying Tshwane will also be affected. It is reasonable to expect water boards, which after all are Tshwane's paid Water Services Providers, to make provision for emergency water supply during such serious electricity supply outages. Naturally it is also reasonable to expect that Tshwane will pay its fair share of the cost of such provision. (This will happen automatically through bulk water tariffs.)

A conservative estimate of the standby power requirement is based on obtaining the entire  $179 \text{ M}\ell/\text{day}$  additional water requirement from Rand Water. Where appropriate some of the emergency supply from Water Boards would be obtained from Magalies Water. Determining the optimal proportions from RW and MW would require an in-depth examination of pumping heads, treatment costs and pipeline constraints for individual supply zones, which is beyond the scope of this study.

The total pumping head from Rand Water's Zuikerbosch water treatment works to the top of the Witwatersrand via the Mapleton booster pump station through a 600 M $\ell$ /d pipeline is estimated at 319 m. This would require 8.65 MW of installed capacity to pump 179 M $\ell$ /d. Further generating capacity of about 1.12 MW, based on the minimum unit requirement given in Table 3-1. Hence the total standby power requirement comes to 9.77 MW. (Rand Water would require more standby pumping capacity than is required for Tshwane, since they have to supply two other major metros and smaller municipalities that are totally dependent on supply by Rand Water.)

There is no energy requirement to deliver raw water from Vaal Dam to the head of the Zuikerbosch water treatment works since this is delivered by gravity via the Zuikerbosch canal.

d) Providing backup power generation for the city's pump stations

The city's bulk, booster and elevated tower pump stations will have to be provided with backup power generation capacity. Based on 38 water towers each requiring a 5 kW pump, this amounts to 0.19 MW.

Pump stations that are required to ensure uninterrupted water supply at an acceptable level of service to industrial water users should be specifically focussed on (this will need to be determined as part of a future study).

The requirements for standby power generation to run raw sewage pump stations also need to be investigated.

e) Water restrictions

Effectively restricting water use for domestic and "other" economic sectors will be very important to ensure uninterrupted water supply to the entire city and sufficient supply to the industrial sector. Also refer to point (f) below.

f) Addressing the city's water losses

The city's real water losses of 193 M $\ell$ /day is seriously inflating (by 84%) the standby power generation required to meet minimum water demands in time of emergency. It also means that the city's own water treatment works will not be able to supply the city's minimum water demand from its own sources and 179 M $\ell$ /day (an extra 78% of the minimum supply to users) has to be supplied from distant more expensive external sources. It is therefore imperative that the city's water losses be addressed.

One way to minimise water losses in the city's distribution network will be to close reservoir outlet valves (to residential areas) for most of the day and only opening up the reservoirs' outlet valves for a brief period or, in other words, apply "water shedding" (similar to electricity "load shedding"). This may also be an effective way to reduce the risk of the community using more water than their minimum daily allowance of  $25 \ell$  per person per day.

It should, however, be kept in mind that water losses will still occur during the periods that reservoir outlet valves are open. There are a few other problems with this solution:

- The City of Tshwane has 204 reservoirs and elevated towers coordinating reservoir outlet valves opening and closing times will be very difficult and time consuming and will require good up-front planning and drills,
- There is a risk of damaging reservoir valves (especially older valves) which can result in increased water losses during a time of water supply interruptions or in the valve being stuck in the open or closed position,
- The pipe network at reservoirs and elevated towers is often complex which would further complicate closing and opening reservoir outlet valves,
- There is danger of air locks forming as pipes empty during times when the valves are closed, leading to pipe damage when the water supply is switched back on,

- Allowing pipes to run dry makes it possible for contaminated water to flow into the pipes from the surrounding soil through the self-same leaks through which water is normally lost, and
- If the municipality's "disaster mitigation plan" (i.e. water shedding) isn't communicated clearly and effectively with the community before a disaster situation strikes, it may result in civil unrest and uproar. Water restrictions will only work effectively if public buy-in and acceptance of mitigating options is achieved. The public has to be convinced that the mitigation options opted for by the municipality are (1) put in place by the municipality in the public's best interest, (2) not occurring unnecessarily as the result of negligence by any of the parties involved (e.g. Eskom, CoT or Rand Water) and (3) that the implementation of the mitigating options is the only way to ensure continued economic activity in the CoT.

# Step 5: Cost estimate

a) Providing backup power generation at the city's wastewater treatment works

The capital cost of providing generators to run Tshwane's WWTWs is estimated at R119.2 million, with a 7-day energy cost of R4.48 million, assuming full flow for the first day and the flow reduced to 35% for the remaining 6 days.

b) Providing backup power generation at the city's water treatment works

Providing backup power generation for the city's water treatment works to operate at full capacity will cost approximately R59.6million. During 7 days the cost of energy consumption is estimated at R5.06 million,

c) Providing backup power generation to supply water from water boards

Backup power generating plant for water treated and pumped by Rand Water would cost a further R65.3 million with a 7-day fuel cost of R5.6 million.

d) Providing backup power generation for the city's pump stations

The capital cost of providing generators to pump water into elevated towers is estimated at R0.19 million, with a 7-day energy cost of R0.16 million.

The city's distribution pump stations will also require backup power generation to ensure the minimum water demand is met – the cost of this will have to be determined as part of future studies.

The different cost and benefit components are summarised in **Table 5-17**.

Description	Cost (million R)		Benefit
L L	Capital	Operating	(million R)
WWTW standby power supply	119.150	4.484	-
WTW standby power supply	59.575	5.063	-
Water boards standby power supply	95.418	8.108	-
Elevated water tower power supply	1.856	0.158	-
Manufacturing industries	-	-	216.6
Other sectors	-	-	2 578.6
Total	275.999	17.812	2 795.2

 Table 5-17: Summary of costs for Scenario 6: 7-day power outage, large area.

e) Apportionment of potable water supply costs

Comparing the cost and benefits of supplying economically active water sectors requires splitting the costs of the components shown in **Table 5-9** differently. The costs of supplying water to all types of potable water use, including water losses, are inextricably intertwined. Hence the potable water costs (i.e. supply from Tshwane's own WTWs plus supply by water boards) have been apportioned to each type of water use according to its portion of the total minimum water supply. In the case of the minimum domestic supply, the costs associated with standby power supply to elevated tanks have been added to the apportioned total for this water use. This information is carried forward to the economic analyses discussed in Section 6.

The apportioned capital and operating costs are given in **Table 5-18**.

Water supply	Supply	Cost (mi	Benefit	
i i i i i i i i i i i i i i i i i i i	(Mℓ/day)	Capital	Operating	(million R)
Domestic	290.6	108.33	9.206	-
Industrial	77.2	28.30	2.405	216.6
Other sectors	55.2	20.22	1.718	2 578.6
TOTAL	423.0	156.85	13.329	2 795.2

Table 5-18: Summary of apportioned potable water supply costs for Scenario 6:7-day power outage, large area.

The cost for preventing untreated water spillages remains as per the first row of **Table 5-17**.

# Step 6: Scenario conclusion

The following conclusions can be drawn from the Scenario 6 analysis:

- Minimising the city's real water losses will be key to minimising the cost of uninterrupted water supply to the city during an electricity disruption event,
- Effective water restrictions will be necessary to ensure all of the city's inhabitants have sufficient water and that industrial water demands can be met,
- The provision of backup power generation for the city's water treatment works, WWTWs, elevated towers and external water boards is the most cost-effective means of ensuring an adequate minimum water supply to users and residents.
- This Scenario alone carries a very high benefit, suggesting that the most economical option for the city will be to ensure uninterrupted supply to the city's wet industries and a minimum viable supply to the "Other" economic sectors.
- It should be possible to restrict the supply to wet industries by prohibiting nonessential water uses, such as for vehicle and floor washing and garden watering. Further investigation is required to determine the degree of restriction that can be achieved for major industries.

# 5.4.8 Scenario 7 (Long-term disruption, small area)

Scenarios 7, 8 and 9 are similar in every way to Scenarios 4, 5 and 6, except that they are for longer 30-day periods of power outage. Hence the power requirements are identical and all that is required is to scale up the operating costs and the benefits from 7 to 30 days.

The estimated costs for scenario 7 are given in **Table 5-19** 

Description	Cost (million R)		Benefit
	Capital	Operating	(million R)
Elevated towers	0.049	0.018	-
Total	0.049	0.018	-

## Table 5-19: Summary of costs for Scenario 7: 30-day power outage, small area.

# 5.4.9 Scenario 8 (Long-term disruption, medium area)

Scenario 8 covers the same medium sized area used in Scenario 5, except that the period of electricity failure is longer, at 30 days. The costs are summarised in **Table 5-20**.

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Description	Cost (million R)		Benefit
	Capital	Operating	(million R)
WWTW power supply	18.312	2.479	-
Elevated water tower power supply	0.194	0.017	-
Total	18.506	2.495	0.0

# 5.4.10 Scenario 9 (Long-term disruption, large area)

Scenario 9 covers the same large area used in Scenario 6, except that the period of electricity failure is longer, at 30 days. The costs are summarised in **Table 5-21**.

Description	Cost (million R)		Benefit
	Capital	Operating	(million R)
WWTW standby power supply	119.150	16.128	-
WTW standby power supply	59.575	21.696	-
RW standby power supply	95.418	34.750	-
Elevated water tower power supply	1.856	0.676	-
Manufacturing industries	-	-	928.1
Other sectors	-	-	11 051.3
Total	275.999	73.250	11 979.4

 Table 5-21: Summary of costs for Scenario 7: 30-day power outage, large area.

The capital and operating costs for potable water supply apportioned to different water use sectors is given in **Table 5-22**.

Table 5-22: Summary of apportioned potable water supply costs for Scenario 9:30-day power outage, large area

Water supply	Supply	Cost (million R)		Benefit
(Mℓ/da	(Mℓ/day)	Capital	Operating	(million R)
Domestic	290.6	108.33	39.451	-
Industrial	77.2	28.30	10.308	928.1
Other sectors	55.2	20.22	7.363	11 051.3
TOTAL	423.0	156.85	57.122	11 979.4

# 5.5 Case Study Cost-Benefit Analysis

# 5.5.1 Introduction

This section compares the cost of ensuring uninterrupted water supply during electricity disruption events to the economic and other benefits of uninterrupted water supply. Through comparing the probability of each electricity disruption event type (load shedding, distribution failure and blackout) to the cost and benefit of mitigating the effect of the electricity disruption event the average annual cost and benefit was determined.
The costs that will result from an electricity disruption event that causes water supply interruptions can be either direct or indirect.

Direct costs are the total economic impact of water supply interruptions due to electricity disruption events. The direct costs are relatively simple to estimate based on the City of Tshwane's available economic information. The bulk of this Section deals with direct costs.

The following were considered for the cost-benefit analysis:

- The city's water demand,
- The city's water supply,
- The city's wastewater treatment,
- The type, probability and duration of electricity disruption events, and
- The direct and indirect cost of the electricity disruption event.

Backup power generation is required to meet the minimum requirements for the following water uses:

- Basic minimum supply for domestic water use,
- Prevention of spillage of untreated sewage, and
- Sustaining GNP-producing activities.

A direct assessment of the economic benefit of meeting the first two minimum requirements cannot be assessed. In these two instances the emphasis must rather be placed on meeting these requirements by the most efficient means. Section 5 Chapter provides an initial assessment of this.

In the case of the last bulleted requirement, a definite benefit can be attributed and compared with the cost of achieving it.

## 5.5.2 Economic analyses carried out

For scenarios that do not have a direct benefit with which to compare costs, the economic analysis has been confined to calculating the levelised annual cost and comparison of this with the volume of water normally supplied to all Tshwane consumers to facilitate calculating a unit cost per kl. This facilitates direct comparison with normal water accounts to place decision makers in a position to assess the implications for water billing. In cases where direct estimates of the economic benefit can be made, a fuller economic assessment has been made to calculate the present value net benefit, the annual net benefit and the benefit/cost ratio.

The following assumptions were made to carry out the cost vs. benefit analysis:

a) Life of works

A 30 year life has been assumed for the standby generators. Although the life of mechanical/electrical plant is normally taken as 15 years, a longer life is feasible since the plant at any given elevated tower will seldom be used, and then only for a short duration. In fact, this might justify an even longer generating plant life.)

b) Maintenance costs

For similar reasons, the annual maintenance cost for generators has been taken at a low 1% of capital, rather than the normally expected 4%.

c) Net discount rate

An annual net discount rate of 3% has been assumed. This value is considered appropriate for a large entity like Tshwane, since the net discount rate should reflect the net rate (i.e. after inflation) that Tshwane can expect to earn on a similar investment if it did not invest in the generating plant.

There has been pressure to adopt a "social" (i.e. high) net discount rate in economic studies since this is thought to favour labour intensive projects. However, this is a fallacy for a project of this nature, where labour plays very little role. For these scenarios a high net discount rate would instead favour energy intensive projects over capital intensive ones, which is diametrically opposed to what we really want to achieve as a society.

d) Outlook period

The outlook period for the economic analyses, over which costs and benefits are discounted has been taken as the RI of the power outage event being considered. In instances when there are no direct economic benefits, such as meeting the minimum water supply requirement for domestic users, or the standby requirement to prevent sewage overflow, the outlook period has been set equal to the life of the capital works.

Costs have been expressed as cents per kl of normal billed consumption for comparative purposes.

e) Scale factors

In the cases of scenarios covering power outages in small and medium sized areas, the costs have been scaled up to cover the whole of Pretoria. For example, the small area encompasses only one of Pretoria's elevated water towers, with a supply area AADD of 0.355 M $\ell$ /day. This has to be scaled up to encompass all 38 such water towers in Tshwane, which have a combined AADD of 13 M $\ell$ /day, resulting in a cost scaling factor of 36.6.

f) Scenario recurrence intervals

Economic analyses required the estimation of event recurrence intervals for each scenario to facilitate calculation of probable annual benefit. In each case the benefit is the attained reduction in the cost of not mitigating against the electricity supply disruption.

g) Construction period

The analysis was simplified by assuming a one-year construction period for capital works.

h) Residual value

In instances when the life of the works does not divide exactly into the RI of the power outage event, then the Present Value of the residual value of capital works remaining at the end of the last year has been credited. Straight line depreciation has been assumed for this purpose.

**Table 5-23** summarises events described in **Table 2-15** and **Table 2-15** reconciled withthe scenarios given in **Table 2-15**.

Scenario	Event	Extent	Dur. (day)	RI (Yr)	
1	Local substation	Maintenance, old equipment, cable theft	Small	1	5
4	Local substation	As above	Small	7	20
7	Local substation	As above	Small	30	50
2	1 main sub-station	As above	Medium	1	20
5	1 main sub-station	As above	Medium	7	50
8	1 main sub-station	As above	Medium	30	100

Table 5-23: Estimation of recurrence intervals for each scenario.

Scenario	Event	Cause	Extent	Dur. (day)	RI (Yr)
3	Regional blackout, islanding successful, no serious damage	Operating error	Large	1	38
6a	Blackout, no islanding, failed cold start, limited damage	As above, operator strike, lower intensity solar flare	Large	7	44
6b	Blackout, no islanding, infrastructure damage	High intensity solar flare with inadequate warning, computer attack, etc.	Large	7 <sup>1</sup>	100
6	As above	As above	Large	7	30²
9	Blackout, no islanding, Black Start facilities damaged	High intensity solar flare with inadequate warning, sabotage, attack on control centre and/or operating staff, high altitude EMP device, war, natural disaster	Large	30	155

Notes:

1 Option 6b is estimated in Table 2-16 to have a duration of 10-15 days, but has been simplified to 7 days to conform to the assumptions of Scenario 6.

2 The combined RI of Scenarios 6a and 6b calculated as  $(1/44+1/100)^{-1}$ .

## 5.5.3 Basic minimum domestic water supply

Meeting the basic minimum water requirement of residents is considered to be a nonnegotiable cost. Put plainly it is the bottom line minimum supply level underlying the emergency service delivery target of the municipality. The cost, in terms of both human suffering and economic collapse is simply too massive to ignore.

Failure to meet the minimum water requirement will mean unprecedented human distress, leading to the disintegration of the municipality and social anarchy. It would also mean the total cessation of all economic activity, since all sectors contributing to the generation of Tshwane's GDP would be deprived of their workforce, from top management right through to unskilled labourers. If this massive disruption results in violent regime change (as is highly likely under current conditions) the loss of economic activity would persist for much longer than the duration of the power outage. Hence responsible municipal, WSP and central government managers dare not ignore the risk and cannot escape the responsibility to take reasonable action to prevent its occurrence.

It is inappropriate to attempt to justify securing a minimum domestic water supply by means of a benefit-cost analysis. It is plain and simply an unescapable service delivery cost that has to be met, come what may. If providing a comfortable (normal) water supply is an important municipal target (and it is), then meeting the basic minimum lifeline supply requirement for residents is a much greater imperative.

As discussed in Section 5.1.4, a basic minimum domestic supply of 25  $\ell$  per capita per day has been used. However, achievement of this is assumed to require a supply at the top end of the distribution system of twice this amount to account for users higher up the system being able (even unconsciously) to abstract well above their quota, which would leave downstream residents with no water at all.

It is also essential to satisfy continuing (if not increasing) water losses before users can receive their quota.

Pumping water into elevated towers is considered to be part of the cost of meeting the basic minimum domestic water supply.

The capital, annual capital maintenance and annual operating costs for the standby power generation plant required to meet the basic water demand have been derived from the summary tables of Sections 5.4.2 to 5.4.10.

The cost requirements for each scenario are shown in **Table 5-24**.

Scenario				Mainto	Opera	3% NDR <sup>1</sup>		
		RI Capital		nance	tion	Annual Cost <sup>2</sup>	$\Delta$ billing <sup>3</sup>	
No.	Description	(Yr)	(mill. R)	(mill. R)	(mill. R)	(mill. R)	(c/kl)	
1	Small, 1 day	5	0.000	0.000	0.000	0.000	0.000	
2	Medium, 1 day	20	1.856	0.019	0.023	0.116	0.051	
3	Large, 1 day	38	1.856	0.019	0.023	0.114	0.050	
4	Small, 7 day	20	1.856	0.019	0.158	0.129	0.056	
5	Medium, 7 day	50	1.856	0.019	0.158	0.119	0.052	
6	Large, 7 day	30	108.329	1.083	9.205	6.978	3.050	
7	Small, 30 day	50	1.856	0.019	0.676	0.127	0.055	
8	Medium, 30 day	100	1.856	0.019	0.676	0.120	0.052	
9	Large, 30 day	155	108.329	1.083	39.452	6.877	3.006	

Table 5-24: Cost requirements for minimum domestic supply.

#### Notes:

- 1 Net discount rate.
- 2 Annual cost of each scenario in isolation.
- 3 Required increase in normal billing to customers based on average supply to paying customers of 626 Ml/d.

Counter intuitively a large area 7 day event (Scenario 6) has 167% the probability of occurrence of a medium area 7 day event. This is due to differences in the nature of the events. A one week outage of an entire Tshwane region's electricity supply without affecting Rand Water's supply requires specific conditions that are regarded as less likely to occur than a 7-day national blackout that could have a number of causes (see **Table 2-16**).

The additional charge to be borne by water users paying for their services would come to less than 0.3%. This is a small price to pay to protect against the social and political consequences of a national blackout.

There is a strong likelihood of violent social upheaval inherent in a national blackout. Moreover, such an event also has a high probability of occurrence (1:30 year RI for a 7day outage and 1:155 RI for a 30-day outage, giving a combined RI of 1:25 years). That represents a 4% probability of occurrence in any one year and a one in five chance of occurrence within the term of office of a politician. The tiny economic cost of protecting society against such a calamity pales into insignificance against such an enormous risk, which has an almost incalculable associated cost and high probability of occurrence.

When interpreting T**able 5-24**, it is noteworthy that if a larger event (such as a national blackout) is catered for, then the same capital equipment will serve to meet the

generating requirements for all smaller events. Hence the capital and maintenance costs would not be repeated for any of the lesser events. All that needs to be added for smaller events is the event operating cost divided by the event recurrence interval, which gives the probable average annual operating cost.

For example, if a decision is taken to make provision for a large area event of 7 or 30 day duration, then the capital investment of R108.3 million, with an annual maintenance cost of R1.08 million would suffice for all eventualities. The operating cost would then depend on the sum of the expected annual fuel spend for each scenario divided by its RI. Hence, in this instance the probable annual operating cost for all 9 scenarios comes to R0.67 million.

Against this, the benefits accruing to each option are cumulative.

# 5.5.4 Prevention of raw sewage spillage

Standby power generation to prevent the spillage of up to 584 M $\ell$ /day of raw sewage is considered necessary to protect the natural environment, obviate biological overloading of downstream water treatment works and informal users.

The direct economic benefit is difficult to assess. For example, river biota would recover after such an event. The impact on downstream WTWs is heavily dependent on their distance from the location of the raw sewage overflow since biological and viral matter decays in rivers. The presence of intervening dams also plays a major role in the decay process. Informal use is a major concern, but is difficult to quantify and can be expected to diminish as the already high proportion of domestic users receiving a treated potable water supply increases.

The desirability of preventing infrequent sewage overflows will have to be weighed against its affordability by competent decision makers supported by expertise in aspects such as heath, river ecology, demographics, informal domestic water usage patterns, locations of WWTWs and WTWs, water quality modelling, water treatment and economics.

The capital, annual capital maintenance and operating costs for the standby power generation plant required to meet the basic water demand have been derived from the summary tables of Sections 5.4.2 to 5.4.10.

The cost requirements for each scenario are shown in **Table 5-25**.

Scenario		DI	Capital	Mainte-	Opera-	3% N	
			Capital	nance	tion	Ann. cost	$\Delta$ billing <sup>2</sup>
No.	Description	(Yr)	(mill. R)	(mill. R)	(mill. R)	(mill. R)	(c/kl)
1	Small, 1 day	1	0.000	0.000	0.000	0.000	-
2	Medium, 1 day	10	119.150	1.192	1.446	8.375	3.926
3	Large, 1 day	19	119.150	1.192	1.446	7.847	3.679
4	Small, 7 day	10	0.000	0.000	0.000	0.000	-
5	Medium, 7 day	30	119.150	1.192	4.484	7.420	3.479
6	Large, 7 day	25	119.150	1.192	4.484	7.669	3.595
7	Small, 30 day	50	0.000	0.000	0.000	0.000	-
8	Medium, 30 day	100	119.150	1.192	16.128	7.450	3.493
9	Large, 30 day	155	119.150	1.192	16.128	7.377	3.458

Table 5-25: Cost requirements for preventing sewage overflow.

Note: 1 Net discount rate.

2 Required increase in normal billing to customers based on 584 Mℓ/day sewage effluent discharge.

If a decision is taken to make the R119.2 million capital investments in power generation plant to prevent raw sewage overflows, then all 9 scenarios would be covered. Taking account of the RI and the fuel (operating) cost of each scenario, combined probable annual operating cost for all 9 scenarios comes to R0.81 million.

A cost-effective means of preventing raw sewage overflows during times of power outage would be to install gas engines using biogas derived from digesters at municipal WWTWs. It is understood that the installation at the Johannesburg's Northern WWTW is competitive with the cost of Eskom supply. Hence switching to gas engines could actually be beneficial, thereby turning a cost into a benefit. It may be preferable to phase in the gas engines using gas from digesters as effluent flows increase and as old plant is decommissioned.

## 5.5.5 Maintaining industrial activity

R22.6 billion of Tshwane's GDP is derived from the manufacturing sector, much of which is from wet industries.

The standby power generation required to maintain 50% of Tshwane's industrial output in the face of different durations of blackout has been estimated. In this instance the data required to estimate the direct economic benefit is available, facilitating comparison between costs and benefits. The capital, annual capital maintenance and operating costs for the standby power generation plant required to maintain 50% of the GDP output of industries during blackouts are summarised in Sections 5.4.2 to 5.4.10.

Since RW supplies 76% of Tshwane's water supply, the industrial water supply is only threatened by an event that shuts down power supply to both Tshwane and RW. Since wet industries are highly dependent on water supply, process utilisation would be the dominant water use and there is little flexibility to reduce demand. Hence the simplifying assumption has been made that the full industrial water demand would have to be met. However, it is unreasonable to expect that even after providing a full water supply that industrial activity will not be constrained by labour stay-aways and late arrivals due to transport difficulties. Also, some users may not have enough power generating capacity to maintain full operation. Accordingly the assumption has been made that only 50% of industrial output could be maintained.

The most feasible cause for a disruption of this areal extent and duration would be a national blackout, i.e. Scenarios 3, 6, or 9. Moreover, in view of the available reservoir storage such an event would have to persist for longer than one day. This rules out Scenario 3, leaving Scenarios 6 and 9.

able 5 20. costs and benefits of maintaining 50 / 01 maustrial output.												
				Mainta	Onora	Ponofit	3% net discount rate annual					
	Scenario	RI	Capital nance	tion	/event	Benefit	Cost	B-C	B/C ratio	∆ billing <sup>1</sup>		
No	Description	Yr	mill. R	mill. R	mill. R	mill. R	mill. R	mill. R	mill. R	-	c/kl	
6	Large, 7 day	30	28.303	0.283	2.405	216.600	7.220	1.807	5.413	4.00	0.79	
9	Large, 30 day	155	28.303	0.283	10.308	928.100	5.988	2.298	3.689	2.61	1.01	
	Combined		28.303	0.283	-	-	13.208	2.379	10.929	5.55	1.04	

The cost requirements for each scenario are shown in **Table 5-26**.

Table 5-26: Costs and benefits of maintaining 50% of industrial output.

**<u>Note</u>**: 1 Required increase in normal billing to customers.

The combined cost is included in the bottom row of **Table 5-26**, along with the average annual benefit, which is the sum of the benefits for scenarios 6 and 9. Combing the scenarios has the effect of significantly increasing the net benefit and the benefit/cost ratio. The combined increase in the cost per kl of water supplied to paying water users comes to 1.04 c/kl, which is less than 0.1% of the normal water charge. Since the capital works will have to be paid off over a shorter period than used in the longer discounting period used to make valid comparisons, the actual change in the billing will be greater than 1.04 c/kl while the capital is being paid off, but thereafter for the rest of the life of

the works the capital redemption cost will drop to zero. Nevertheless, the increase in the billing will remain very small.

The benefit/cost ratio of 5.6 is very attractive.

Moreover, aside from the economic advantage, maintaining the employment of labourers working in this sector would hold the advantage sustaining their families.

Good communication with employees long before such an event happens could assist in increasing the proportion of the wet industries that can keep operating. Adequate transport arrangements to get employees to work could make a big difference. Some industries may also be in a position provide additional power backup, or to make use of alternative technologies to reduce their dependence on external electricity supply,

Naturally the provision of a basic minimum water supply to all domestic users is essential, since otherwise at best absenteeism due to people desperately looking for water will shut down all economic activity. Massive civil unrest and violence would also ensue, with possible much worse long term consequences.

## 5.5.6 Maintaining other sectors' economic activity

R179.4 billion of Tshwane's GDP is attributable to "other" economic sectors, such as finances, commerce and other services that for most of the time are impervious to restrictions in water supply. However, below a critical level the work force of these enterprises will be affected, resulting in absenteeism and impairment of production. It is reasonable to assume that this point will be reached once domestic water supply falls below a basic minimum requirement.

Water supply to these sectors would only be disrupted by a wide area power blackout affecting both Tshwane and Rand Water. It is assumed that water supply to these sectors, for which water is not a part of their product stream, can be reduced by 75% before serious impact on workers affects business. These sectors are less dependent on labourers and their wealthier employees would be better able to overcome transport difficulties. Moreover the electricity requirements to sustain core operations are more easily met by standby generators, many of which would already be in place after the long sequence of rolling blackouts. Hence the assumption has been made that 75% of the GDP-generating activities of these sectors could be maintained. Use has been made of readily available data to estimate the direct economic benefit.

The capital, annual capital maintenance and operating costs for the standby power generation plant required to maintain 75% of the GDP output of other economic sectors (excluding industries) during blackouts are summarised in Sections 5.4.2 to 5.4.10.

The cost requirements for each scenario are shown in **Table 5-27**.

				Mainte-	Onera-	Benefit	3% net discount rate annual					
	Scenario	RI	Capital	nance	tion	/event	Benefit	Cost	B-C	B/C	∆ billing <sup>1</sup>	
No	Description	Yr	mill. R	mill. R	mill. R	ratio	c/kl					
6	Large, 7 day	30	20.216	0.202	1.718	2578.6	85.953	1.291	84.663	66.6	0.564	
9	Large, 30 day	155	20.216	0.202	7.363	11051.3	71.299	1.281	70.018	55.6	0.560	
	Combined		20.216	0.202	-	-	157.252	1.339	155.913	117.4	0.586	

Table 5-27: Costs and benefits of maintaining 75% other sectors' output.

<u>Note</u>: 1 Required increase in normal billing to customers.

The combined cost and benefit for scenarios 6 and 9 is included in the last row of **Table 5-27**. The combined increase in the cost per kl of water supplied to paying water users comes to 0.59 c/kl, which represents a negligible increase that is below 0.05% of the normal water charge.

The benefit/cost ratio of 117 and the annual net benefit of R156 million are both huge, indicating that the small cost of protecting the "other" sectors of the economy is well worth the investment.

The large disparity between the benefits derived from these economic sectors, compared with those of the wet industries is immediately apparent. Moreover, at only 30 M $\ell$ /day (25% of normal demand), the estimated minimum water requirement to sustain these activities is frugal. In terms of minimum water use the "other" economic sectors contribute 17 times the economic contribution per unit of water used than is the case for industries. Under normal circumstances this comparison is immaterial and the disparity in the overall economic contribution much smaller. But when water supply is severely constrained it is a much more important consideration. It is therefore considered extremely important to provide enough emergency water supply to sustain these "other" economic sectors.

Underpinning this is the imperative to ensure a basic minimum water supply to domestic users. Without this the fabric of society will collapse, and with it all economic activity.

## 5.5.7 Indirect costs of electricity disruption events

Indirect costs include all other costs that can be related to the water supply interruption. The indirect costs are not so simple to estimate although it is expected that they will probably be considerably more than the direct costs. For instance, if an electricity disruption event that causes water supply interruptions triggers major city-wide (or nation-wide) civil unrest, it can result in the following indirect costs:

- Halt all economic activity during and after the water supply interruption,
- Result in political regime change or civil war,
- Loss of human life,
- Loss of infrastructure, and
- Economic vulnerability due to global uncertainty in South Africa's economy.

Violent regime change might shut down Tshwane's economy for a year or more, resulting in an economic cost equal to its entire GNP of R202 billion, with the attendant risk of an annual cost of R1.3 billion for a 155 year RI event.

Quantifying the indirect costs resulting from an electricity disruption event which causes water supply interruptions should be addressed as part of future studies.

#### **6** CONCLUSIONS AND RECOMMENDATIONS

#### 6.1 Conclusions

The following conclusions are drawn from the Case Study's review of the City of Tshwane's water infrastructure and the outcome of the scenario analyses:

- For short-term electricity disruption events: It is crucial to ensure (firstly) that reservoirs and elevated towers are large enough to be able to supply at least 2 days' AADD, and (secondly) that reservoirs and towers' operating rules are adhered to in order to ensure that water levels are maintained within the fluctuation volume of the reservoirs / towers.
- For medium- to long-term electricity disruption events: The volume of water stored is less important since the water stored in reservoirs will almost certainly runout if water is not supplied into the reservoir (due to high water losses and the storage volume in the reservoirs compared to the AADD).
- Reducing the city's real water losses is crucial for the city to be in a better position to supply water in the event of an electricity disruption event.
- Backup power generators (both mobile and permanent) will require ongoing servicing and maintenance this will have to be incorporated into the city's water department's operational and maintenance schedules.
- Providing emergency storage capacity for sewerage inflow in wastewater treatment works is more expensive than providing backup power generation at wastewater treatment works and that emergency storage will not be practical for medium- to long-term duration electricity disruption events.
- The supply and delivery of fuel to the city's water- and sewer pump stations and its water- and wastewater treatment works will have to be planned (and probably secured via a contract or some formal arrangement) to ensure fuel gets delivered in the event of an electricity disruption event.
- The City of Tshwane will, through preparing to address medium- to long-term electricity disruption events, mitigate all risks associated with short-term electricity disruption events this means that the capital cost of mitigating medium- to long-term risks will also address the short-term risks.
- The economic benefit of ensuring that water supply to the city's industries and other economic sectors far outweighs the cost of providing water to these entities.

- Water restrictions implementation and end-user buy-in will be critical to ensure that water supply to the city is not interrupted in the event of an electricity disruption event. The most effective way to restrict water use to domestic and commercial users during electricity disruption events will probably be to close reservoir and elevated tank outlet pipes and only opening the pipes at certain times of day (after getting community buy-in).
- Public buy-in and acceptance of all water supply mitigation options opted for by the City of Tshwane will be crucial to avoid intangible risks associated with water supply interruptions (such as wide-spread civil unrest, loss of human life, economic meltdown and civil war). The public has to believe that the mitigations opted for are firstly, put in place by the municipality in the public's best interest, secondly, not occurring unnecessarily as the result of negligence by any of the parties involved (e.g. Eskom, CoT or Rand Water) and thirdly, that the implementation of the mitigating options are the only way to ensure the well-being of residents and continued economic activity in the CoT.
- The direct benefits of ensuring uninterrupted minimum water supply to economically active sectors greatly outweigh the costs of doing so.
- The intangible risks associated with prolonged water supply interruptions (socioeconomic impacts) will probably be of greater concern than economic inactivity due to water supply interruptions.
- Reducing the risk of damage to Eskom's power generating facilities and distribution network during a blackout is highly desirable.
- Biogas power generation at wastewater treatment works can reduce, if not eliminate, the costs associated with standby power generation plant required to prevent sewage overflows.

As part of the Case Study various shortcomings of current available data were identified. The following additional information will be required to be able to plan in detail ways to mitigate the effects of electricity disruption on the City of Tshwane's water supply:

- Water demand and other relevant data for the City of Tshwane's industries, including:
  - $\circ$   $\;$  The economic output of individual wet- and dry-industries,
  - The water demand of wet- and dry-industries,
  - The spatial distribution of the city's wet- and dry industries, and

- Information on the current level of preparation of wet-industries to continue operations during electricity disruption events.
- Similar information regarding the "other" economically active sectors.
- Water demand of other critical services in the City of Tshwane including health services, water for the police and defence force, water for firefighting and water for other critical services such as laboratories and scientific research institutes.
- More detailed information on the City of Tshwane's water and sewer pump stations to be able to better determine the cost of mitigating the effect of electricity disruptions on water supply.
- The actual electricity demand of each of the City of Tshwane's water treatment works and wastewater treatment works will be required to accurately determine the size of backup generators and fuel storage required on site.
- The actual electricity demand of Water Service Providers (WSPs) supplying potable water to the City of Tshwane.

#### 6.2 Recommendations

In terms of the risk analysis, institution and design guidelines proposed:

- That the various stakeholders identified as part of this study (Eskom, Water Service Providers and Authorities) conduct a comprehensive risk assessment based on the guidelines proposed in this report.
- That the stakeholders review and develop a disaster risk management programme to mitigate the impact of electricity disruptions on water supply (or review it if it is already in place).
- That the stakeholders engage with each other on a regular basis to ensure communication is effective and to further reduce the risk of not being prepared in case of an electricity event.
- That the various stakeholders review their current institutional arrangement and infrastructure status to determine whether it is in line with the proposals made in this report.

• That active support is given to initiatives to curtail cable theft and associated damage to substations.

In terms of the outcome of the cost vs. benefit analysis of the case study:

• That the City of Tshwane put in place measures to mitigate the impact of electricity disruptions as the outcome of the case study indicates that the economic benefit of mitigating risks substantially outweigh the costs.

In terms of further research to support of management decisions:

- Assimilate the missing data discussed in the Conclusions.
- A study to quantify the indirect costs (socio-economic effect) associated with water supply interruptions due to electricity disruption events.
- A study to determine the level of water restriction at which the output of economic sectors other than wet-industries will be directly affected in the event of an electricity disruption event and if so to what extent economic activity will be affected.
- A study to determine the most efficient means of providing a minimum water supply to domestic users, given the propensity of users at the top end of distribution systems to over draw water and the effect of water losses.
- A study to determine the City of Tshwane's and Eskom's current (and required future) protection measures in place to protect electricity infrastructure if an electricity disruption event occurs.

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