

## TABLE OF CONTENTS

1. Introduction .....	1
2. FREEBOARD CALCULATION PROCEDURE .....	3
2.1 FLOODS .....	3
2.2 FLOOD SURCHARGE .....	3
2.2.1 Practical aspects in relation to flood surcharges and freeboard .....	4
2.3 WIND WAVES AND RUN-UP .....	5
2.3.1 Selection of design wind speed .....	5
2.3.2 Effective fetch .....	12
2.4 WIND SET-UP .....	13
2.5 DESIGN WAVE HEIGHT .....	14
2.5.1 Wave parameters .....	14
2.5.2 Design waves consideration .....	15
2.5.3 Empirical methods for wind wave prediction.....	17
2.5.4 Mathematical model for wind wave prediction .....	17
2.6 WAVE RUN-UP .....	19
2.6.1 Run-up on sloped structures .....	19
2.6.2 Vertical walls .....	20
2.6.3 Other considerations .....	20
2.7 SEICHES AND SURGES .....	21
2.8 WAVES AND SURGES DUE TO EARTHQUAKES.....	22
2.8.1 Background and Seismic hazard map .....	22
2.8.2 Estimation of wave heights in at a vertical concrete dam caused by the horizontal movement of a dam wall due to earthquakes .....	24
2.9 WAVES AND SURGES DUE TO LANDSLIDES .....	22
3. COMBINING FREEBOARD COMPONENTS .....	30
3.1 Deterministic approach .....	30
3.2 Risk analysis approach .....	32
3.2.1 Objectives of assessment .....	32
4. DAM SPECIFIC FREEBOARD REQUIREMENTS .....	33
4.1 GENERAL REMARKS .....	33
4.1.1 Different sizes and categories of dams and hazard potentials .....	33
4.1.2 General conditions .....	33
4.2 SPECIAL REQUIREMENTS FOR EARTHFILL DAMS .....	35
4.2.1 Introduction .....	35
4.2.2 Settlement of embankment and foundation .....	35
4.2.3 Top of impermeable zone .....	36
4.2.4 Parapet walls .....	36
4.2.5 Minimum requirements: Category I Dams .....	36
4.2.6 Overtopping during extreme floods (SED conditions) .....	36
4.2.7 Fuse plugs .....	37
4.2.8 Erosion resistance increase .....	37
4.3 SPECIAL REQUIREMENTS FOR ROCKFILL DAMS .....	37
4.3.1 Characteristics of rockfill dams.....	37
4.3.2 Safety against overtopping .....	38
4.3.3 Other precautions against overtopping failure associated with minimum freeboard .....	38
4.3.4 Type of dam .....	38
4.4 SPECIAL REQUIREMENTS FOR CONCRETE DAMS .....	39
4.5 SPECIAL REQUIREMENTS FOR COMPOSITE DAMS .....	39
5. CONCLUSIONS AND RECOMMENDATIONS .....	40
6. REFERENCES .....	42